AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wilkinson III, James H.	Fourth US Circuit Court of Appeals	05/10/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US Circuit Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	1/01/2022 **to** 12/31/2022
	5b. ☐ Amended Report	
7. Chambers or Office Address		
255 W. Main Street Room 230 Charlottesville, VA 22902		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	to date	I will receive no salary from my former employer, the University of Virginia Law School. There will be no continuing participation (contd Part VIII)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Oxford University Press, book royalties	$507.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Wilkinson III, James H.	05/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Trust # 1 (H)									
2. - Albemarle Corp Common Stock	B	Dividend	M	T					
3. - AT&T Common Stock	C	Dividend	L	T					
4. - Warner Brothers Discovery Inc Common Stock		None	J	T	Spinoff (from line 3)	04/12/22	J		
5.			J	T	Sold (part)	04/21/22	J	A	
6. - Corteva Inc. Common Stock	A	Dividend	L	T					
7. - Deere & Co Common Stock	D	Dividend	P1	T					
8. - Dow Inc. Common Stock	C	Dividend	K	T					
9. - DuPont De Nemours Inc. Common Stock	B	Dividend	L	T					
10. - Duke Energy Corp Common Stock	D	Dividend	M	T					
11. - Enbridge Inc. Common Stock	D	Dividend	L	T					
12. - Johnson & Johnson Common Stock	C	Dividend	M	T					
13. - Lilly Eli & Co Common Stock	C	Dividend	N	T					
14. - Merck & Co Common Stock	D	Dividend	M	T					
15. - Mondelez International Inc Common Stock	A	Dividend	K	T					
16. - Pfizer Inc Common Stock	C	Dividend	L	T					
17. - Proctor & Gamble Co Common Stock	D	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Wilkinson III, James H.	05/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. - Royal Dutch Petroleum Shell Co Common Stock	C	Dividend	M	T					
19. - Truist Financial Corp Common Stock	B	Dividend	M	T					
20. - Vanguard 500 Index Fund	E	Dividend	O	T					
21. - Vanguard Developed Mkts Index Fund	D	Dividend	N	T					
22. - Vanguard Emerging Markets Stock Index Fund	B	Dividend	L	T					
23. - Vanguard Limited-Term Tax-Exempt Fund	E	Dividend	P1	T					
24. - Vanguard Mid-Cap Index Fund	C	Dividend	M	T					
25. - Federated Fd - TR MMMKT	C	Interest	M	T					
26.									
27. IRA #1 (H)									
28. - Vanguard 500 Index Fund	B	Dividend	L	T					
29. - Vanguard Dev Mkts Index Fund	A	Dividend	J	T					
30. - Vanguard Emergin Markets Stock Index Fund	A	Dividend			Sold	06/02/22	J		
31. - Vanguard Growth Index Fund	A	Dividend	L	T					
32. - Vanguard Mid-Cap Value Index Fund	A	Dividend	J	T					
33. - Vanguard Small-Cap Index Fund	A	Dividend	J	T					
34. - Truist Bank Trust Deposit Account - Wealth	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35.									
36. Trust #2 (H)									
37. - Albemarle Corp Common Stock	A	Dividend	L	T					
38. - AT&T Inc Common Stock	B	Dividend	J	T					
39. -Warner Brothers Discover Inc Common Stock		None	J	T	Spinoff (from line 38)	04/12/22	J		
40.		None	J	T	Sold (part)	04/21/22	J	A	
41. - Corteva Inc. Common Stock	A	Dividend	J	T					
42. - Dow Inc. Common Stock	A	Dividend	J	T					
43. - Duke Energy Corp Common Stock	A	Dividend	K	T					
44. - DuPont De Nemours Inc. Common Stock	A	Dividend	J	T					
45. - Enbridge Inc. Common Stock	A	Dividend	J	T					
46. - Johnson & Johnson Common Stock	C	Dividend	M	T					
47. - Lincoln Natl Corp Common Stock	C	Dividend	L	T					
48. - Merck & Co Inc Common Stock	B	Dividend	L	T					
49. - Pfizer Inc Common Stock	B	Dividend	K	T					
50. - Royal Dutch Petroleum Shell Co Common Stock	A	Dividend	J	T					
51. - Vanguard 500 Index Fund	D	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. - Vanguard Dev Mkts Index Fund	C	Dividend	M	T					
53. - Vanguard Emerging Markets Stock Index Fund	A	Dividend	K	T					
54. - Vanguard Growth Index Fund	A	Dividend	M	T					
55. - Vanguard Intermediate Term Tax-Exempt Fund	D	Dividend	N	T					
56. - Vanguard Mid-Cap Growth Index Fund	A	Dividend	K	T					
57. - Vanguard Mid-Cap Value Index Fund	A	Dividend	K	T					
58. - Vanguard - Small Cap Index Fund	A	Dividend	L	T					
59. - Federated Fd - TR MMMKT	B	Interest	M	T					
60.									
61. Trust #3 (H)									
62. - Vanguard 500 Index Fund	B	Dividend	M	T					
63. - Vanguard Dev Mkts Index Fund	B	Dividend	L	T					
64. - Vanguard Emerging Markets Stock Index Fund	A	Dividend			Sold	04/07/22	L	B	
65. - Vanguard Growth Index Fund	B	Dividend	M	T					
66. - Vanguard Limited-Term Tax-Exempt Fund	D	Dividend	N	T	Buy (add'l)	04/07/22	K		
67. - Vanguard Mid-Cap Index Fund	B	Dividend	L	T					
68. - Vanguard Russell 1000 Value Index Fund	B	Dividend	M	T					

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69. - Truist Bank Trust Deposit Account - Wealth	A	Interest	J	T					
70.									
71. Davenport Brokerage (H)									
72. - Davenport Core Mutual Fund (X)	C	Dividend	N	T					
73. - Davenport Equity Opptys Mutual Fund (X)	D	Distribution	N	T					
74. - U S Treasury Oligs Cii (X)	A	Interest	M	T					
75.									
76. Deposit Accounts (H)									
77. - Truist Interest Checking #1	A	Interest	L	T					
78. - Truist Checking #2		None	K	T					
79. - Truist Checking #3		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Agreements
RE: I will rec, contd… in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

Part VII: Investments and Trusts
Trust #1
My Revocable Trust

Spinoff of Warner Brothers Discover Inc Common Stock, effective 04/12/2022

IRA
My current IRA

Trust #2
[redacted] Revocable Trust

Spinoff of Warner Brothers Discover Inc Common Stock, effective 04/12/2022

Trust #3
Irrevocable GST Trust for me (from [redacted] trust).
This trust was created from [redacted] Trust ([redacted] Revocable Trust became an Irrevocable Interim Trust - after [redacted] death) which was split into two shares, after IRS approval was received, for [redacted]. My share later became this trust.

Davenport Brokerage
[redacted] account

These two mutual funds and the U.S. Treasury Oligs were inherited by [redacted] from [redacted] in 2018.

Name of Person Reporting	Date of Report
Wilkinson III, James H.	05/10/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James H. Wilkinson III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544