AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Wilkinson III, James H.	Fourth US Circuit Court of Appeals	5/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US Circuit Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
255 W. Main Street Room 230 Charlottesville, VA 22902		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. to date	I will receive no salary from my former employer, the University of Virginia Law School. There will be no continuing participation (contd Part VIII)
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Oxford University Press, book royalties	$660.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Wilkinson III, James H.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Trust # 1 (H)									
2. - Albemarle Corp Common Stock	B	Dividend	M	T					
3. - AT&T Common Stock	D	Dividend	L	T					
4. - Corteva Inc.	A	Dividend	K	T					
5. - Deere & Co Common Stock	D	Dividend	P1	T					
6. - Dow Inc.	C	Dividend	L	T					
7. - DuPont De Nemours Inc. Common Stock	B	Dividend	L	T					
8. - Duke Energy Corp Common Stock	D	Dividend	M	T					
9. - Enbridge Inc. Common Stock	D	Dividend	L	T					
10. - Johnson & Johnson Common Stock	B	Dividend	L	T					
11. - Lilly Eli & Co Common Stock	C	Dividend	N	T					
12. - Merck & Co Common Stock	D	Dividend	M	T					
13. Organon & Co.		None			Spinoff (from line 12)	06/03/21	J		
14.					Sold (part)	06/11/21	J	D	
15.					Sold	06/24/21	J	A	
16. - Mondelez International Inc Common Stock	A	Dividend	K	T					
17. - Pfizer Inc Common Stock	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Wilkinson III, James H.	5/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. - Proctor & Gamble Co Common Stock	D	Dividend	O	T					
19. - Royal Dutch Petroleum Shell Co Common Stock	C	Dividend	L	T					
20. - Truist Financial Corp Common Stock	B	Dividend	L	T					
21. - Vanguard 500 Index Fd	D	Dividend	P1	T					
22. - Vanguard Emerging Markets Stock Index Fund	B	Dividend	L	T					
23. - Vanguard Limited-Term Tax-Exempt Fund	E	Dividend	P1	T					
24. - Vanguard Mid-Cap Index Fund	C	Dividend	M	T					
25. - Vanguard Developed Mkts Index Fd	E	Dividend	N	T					
26. - Federated Fd - TR MMMKT	A	Interest	M	T					
27.									
28. IRA #1 (H)									
29. - Vanguard 500 Index Fd	B	Dividend	M	T					
30. - Vanguard Dev Mkts Index Fd	A	Dividend	K	T					
31. Vanguard Emerging Markets Stock Index Fund	A	Dividend	J	T					
32. - Vanguard Growth Index Fd	A	Dividend	L	T	Sold (part)	05/19/21	J	D	
33. - Vanguard Mid-Cap Value Index Fund Admiral	A	Dividend	J	T					
34. - Vanguard Small-Cap Index Fund	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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35. Truist Bank Trust Deposit Account - Wealth (formerly - SunTrust Bank)	A	Interest	J	T					
36.									
37. Trust #2 (H)									
38. - Albemarle Corp Common Stock	A	Dividend	L	T					
39. - AT&T Inc Common Stock	B	Dividend	K	T					
40. - Corteva Inc.	A	Dividend	J	T					
41. - Dow Inc. Common Stock	A	Dividend	J	T					
42. - DuPont De Nemours Inc. Common Stock (formerly DowDuPont Inc.)	A	Dividend	J	T					
43. - Duke Energy Corp Common Stock	A	Dividend	K	T					
44. - Enbridge Inc. Common Stock	A	Dividend	J	T					
45. - Johnson & Johnson Common Stock	C	Dividend	M	T					
46. - Lincoln Natl Corp Common Stock	C	Dividend	M	T					
47. - Merck & Co Inc Common Stock	B	Dividend	L	T					
48. Organon & Co.		None			Spinoff (from line 47)	05/19/21	J		
49.					Sold (part)	06/24/21	J	A	
50.					Sold	11/08/21	J	A	
51. - Pfizer Inc Common Stock	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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52. - Royal Dutch Shell Sponsored Common Stock	B	Dividend	K	T					
53. - Vanguard 500 Index Fund	D	Dividend	N	T					
54. - Vanguard Dev Mkts Index Fd	D	Dividend	M	T					
55. - Vanguard Emerging Markets Stock Index Fund	A	Dividend	K	T					
56. - Vanguard Growth Index Fd	A	Dividend	M	T					
57. - Vanguard Intermediate Term Tax-Exempt Fund	D	Dividend	N	T					
58. - Vanguard Mid-Cap Growth Index Fund	A	Dividend	K	T					
59. - Vanguard Mid-Cap Value Index Fund Admiral	A	Dividend	K	T					
60. - Vanguard - Small Cap Index Fund	A	Dividend	L	T					
61. Viatris Common Stock		None			Sold	11/08/21	J	A	
62. - Federated Fd - TR MMMKT	A	Interest	K	T					
63.									
64. Deposit Accounts (H)									
65. - Truist Interest Checking #1 (formerly SunTrust)	A	Interest	L	T					
66. - Truist Checking #2 (formerly SunTrust)		None	K	T					
67. - Truist Checking #3 (formerly SunTrust)		None	J	T					
68.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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69. Trust #3 (H)									
70. - Vanguard 500 Index Fd	B	Dividend	M	T					
71. - Vanguard Dev Mkts Index Fd	C	Dividend	L	T	Sold (part)	05/13/21	J	B	
72. - Vanguard Emerging Markets Stock Index Fund	B	Dividend	L	T					
73. - Vanguard Growth Index Fd	B	Dividend	N	T					
74. - Vanguard Limited-Term Tax-Exempt Fd	D	Dividend	N	T					
75. - Vanguard Mid-Cap Index Fund	A	Dividend	L	T					
76. - Vanguard Russell 1000 Value Index Fund	B	Dividend	M	T					
77. Truist Bank Trust Deposit Account - Wealth (formerly - SunTrust Bank)	A	Interest	J	T					
78.									
79. Davenport Brokerage (H)									
80. - Davenport Core Mutual Fund (X)	C	Dividend	N	T					
81. - Davenport Equity Opptys Mutual Fund (X)	D	Distribution	N	T					
82. - U S Treasury Oligs Cii (X)	A	Interest	M	T					
83.									
84.									
85.									

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86.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II: Agreements
RE: I will rec, contd… in any benefit plan provided by the University, although past pension benefits are vested. My mortgage was provided at a favorable rate available to faculty members and had to be refinanced within 6 months of leaving the University. The mortgage was paid in full on 02/09/1985.

Part VII: Investments and Trusts
Trust #1
[redacted] Revocable Trust

Spinoff of Organon & Co by Merck & Co effective 06/02/2021

IRA
[redacted] current IRA

Trust #2
[redacted] Revocable Trust

Spinoff of Organon & Co by Merck & Co effective 06/02/2021

Trust #3
Irrevocable GST Trust for [redacted] (from [redacted] trust).
This trust was created from [redacted] Trust ([redacted] Revocable Trust became an Irrevocable Interim Trust - after [redacted] death) which was split into two shares, after IRS approval was received, for [redacted] me. My share later became this trust.

Davenport Brokerage
[redacted] account

These two mutual funds and the U.S. Treasury Oligs were inherited by [redacted] from [redacted] in 2018.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James H. Wilkinson III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544