AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
WALLACE, J. CLIFFORD	United States Court of Appeals for the Ninth Circuit	02/03/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Judge -- Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

United States Courthouse
221 W. Broadway, Suite 4192
San Diego, CA 92101

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.	Advisor	ABA Rule of Law Initiative Council
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	Consolidated Roche Retirement Plan Judicial Retirees and Survivors
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Judicial Education Institute	3/21/2023 to 3/23/2023	Washington DC	Speak at Corpus Linguistics Judicial Retreat	Travel, Hotel, Meals
2.	World Law Congress	4/13/2023 to 4/15/2023	New York	Speak at Opening Session of the World Law Congress	Travel, Hotel, Meals
3.	Duke Law School	6/15/2023 to 6/17/2023	Raleigh, NC	Speak at Rule of Law Class at Duke Law School	Travel, Hotel, Meals
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
WALLACE, J. CLIFFORD	02/03/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Transwest Credt Union	B	Int./Div.	M	T					
2.	Changing Parameters Fund (Gemini Fund Services)	D	Dividend	N	T					
3.	Changing Parameters Fund (Gemini Fund Services)	D	Dividend	N	T					
4.	Variable Life Investors Preferred									
5.	H&L Inurance Fund of the SALI Multi Series Fund VI	A	Dividend	O	T					
6.	Investment Tasty Toade common stock	A	Dividend	L	U					
7.	First Republic Bank, purchased by Chase	A	Interest	J	T					
8.	Merrill Lynch - Fidelity MSCI Utilities	A	Dividend	J	T					
9.	Merrill Lynch - First Trust Cloud	A	Dividend	J	T					
10.	Merrill Lynch - Global X Funds SHS US	A	Dividend	J	T					
11.	Merrill Lynch - Health Care Select SPDR	B	Dividend	J	T					
12.	Merrill Lynch - Invesco KBW Bank ETF	A	Dividend	J	T					
13.	Merrill Lynch - Invesco Emerging Markets	A	Dividend	J	T					
14.	Merrill Lynch - Invesco Preferred ETF	A	Dividend	J	T					
15.	Merrill Lynch - Ishares Biotechnology	A	Dividend	J	T					
16.	Merrill Lynch - Ishares Iboxx $	A	Dividend	J	T					
17.	Continued in PART VIII. Additional Information									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation from Part VII. Investments and Trusts.
A. Description B1. Income Amount B2. Invome Type C1. Value Code C2. Value Method

17. Merrill Lynch - Ishares 20+ year B Dividend J T
18. Merrill Lynch - Ishares Edge MSCI Min A Dividend J T
19. Merrill Lynch - Ishares Tips A Dividend J T
20. Merrill Lynch - Ishares Edge MSCI Min A Dividend J T
21. Merrill Lynch - Ishares US Aerospace ETF A Dividend J T
22. Merrill Lynch - Ishares U.S. Regional A Dividend J T
23. Merrill Lynch - Ishares MBS ETF B Dividend J T
24. Merrill Lynch - Ishares Inc Core MSCI A Dividend J T
25. Merrill Lynch - Ishares TR Core MSCIEAF B Dividend J T
26. Merrill Lynch - Materials Select Sector A Dividend J T
27. Merrill Lynch - Pacer Data & Infra A Dividend J T
28. Merrill Lynch - Real Estate Select A Dividend J T
29. Merrill Lynch - Schwab Short-term U.S. B Dividend J T
30. Merrill Lynch - Sector SPDR Consmrs Stpl A Dividend J T
31. Merrill Lynch - Sector SPDR Energy A Dividend J T
32. Merrill Lynch - Sector SPDR Industrial A Dividend J T
33. Merrill Lynch - Vaneck Semiconductor A Dividend J T
34. Merrill Lynch - Vanguard Small Cap B Dividend J T
35. Merrill Lynch - Vanguard Financials ETF B Dividend J T
36. Merrill Lynch - Vanguard Information B Dividend J T
37. Merrill Lynch - Vanguard Short-term B Dividend J T
38. Merrill Lynch - Vanguard Intrmdiate-term B Dividend J T
39. Merrill Lynch - Vanguard Total Int'l A Dividend J T

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ J. CLIFFORD WALLACE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544