AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
TRAXLER, Jr., William B.	U.S. Court of Appeals, Fourth Circuit	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Court Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
300 E. Washington Street, Suite 222 Greenville, SC 29601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Furman University
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	05/00/92	State of S.C. Circuit Judge Retirement benefit (see III.A.1)
2.		
3.		

Name of Person Reporting	Date of Report
TRAXLER, Jr., William B.	05/01/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	State of S.C. Circuit Judge Retirement benefit	$108,702.96
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	State of S.C. Retirement benefit
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
TRAXLER, Jr., William B.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Sonoco stock (common)	A	Dividend	K	T					
2.	Motorola Solutions stock (common)	A	Dividend	K	T					
3.	McDonalds stock (common)	A	Dividend	J	T					
4.	Kellanova (formerly Kellogg's) stock (common)	A	Dividend	J	T					
5.	RPM stock (common)	A	Dividend	J	T					
6.	[redacted] Trust #1 (H) - Income Beneficiary									
7.	--Wells Fargo National Bank - cash account	A	Interest	J	T					
8.	--Greenville County, SC	A	Rent	L	W					
9.	TD Bank - cash equivalent account	D	Interest	N	T					
10.	Vanguard Group Funds: Growth & Income Portfolio	C	Distribution	L	T					
11.	First Citizens Bank - cash equivalent account	A	Interest	K	T					
12.	Charles Schwab (formerly Ameritrade) IRA (H)									
13.	--MIPS Technologies stock (common)	A	Dividend	J	T					
14.	--Honeywell stock (common)	A	Dividend	J	T					
15.	--Applied Materials stock (common)	A	Dividend	J	T					
16.	--Texas Instrument stock (common)	A	Dividend	J	T					
17.	Home Depot stock (common)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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TRAXLER, Jr., William B.	05/01/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Athene -- whole life insurance policies	A	Interest	J	T					
19. Protective Life -- whole life insurance policy	A	Interest	J	T					
20. Jefferson National Life -- whole life insurance policy	A	Interest	J	T					
21. Jefferson County, Florida	C	Rent	N	W					
22. SPDR Trust Series 1: SPY Fund ETF (SPY) (Equity Security)	B	Dividend	L	T					
23. Antigonish County, Nova Scotia, Canada		None	N	T					
24. TD Canada Trust - Canada - cash equivalent account		None	J	T					
25. Southern First Bank - cash equivalent account	D	Interest	O	T					
26. Pinnacle Bank - cash equivalent accounts	D	Interest	O	T					
27. United Community Bank - cash equivalent accounts	E	Interest	M	T					
28. First Bank, F/K/A Grand South Bank - cash equivalent accounts	E	Interest	J	T					
29. TD Canada Trust - Canada - cash equivalent account	A	Interest	M	T					
30. Henderson County, NC		None	O	T					
31. Heritage Federal Credit Union cash equivalent account	C	Interest	M	T					
32. [redacted] Trust #2 (H)									
33. --Macon County, NC		None	K	W					
34. --Prime Meridian f/l/aTruist Financial - Cash Equivalent Account	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
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35. Capital One - Cash Equivalent Account	A	Interest	J	T					
36. iShares Core Conserv Allocation ETF (AOK) IRA	C	Dividend	M	T	Sold (part)	04/10/23	J	B	
37. Pfizer (PFE) (common stock)	A	Dividend	J	T					
38. Ingles Markets (IMKTA) (common stock)	A	Dividend	J	T					
39. Occidental Petroleum (OXY) (common stock)	A	Dividend	J	T					
40. Tyson Foods (TSN) common stock	A	Dividend	J	T					
41. Ameriprise Financial (AMP) (common stock)	A	Dividend	L	T					
42. Duke Energy (DUK) (common stock)	A	Dividend	J	T					
43. Wells Fargo (WFC) (common stock)	A	Dividend	J	T					
44. Allspring Muni Bond Fund (WMFAX)	A	Interest	K	T					
45. Fidelity Investments Money Market Port Fund (FNSXX)	A	Interest	J	T					
46. Raymond James Bank - cash equivalent account (X)	A	Interest	J	T					
47. Bank of Travelers Rest - Cash Equivalent Account		None	N	T	Open	11/30/23	N		
48. Fifth Third Bank - Cash Equivalent Account	A	Interest	L	T	Open	08/31/23	L		
49. W.K. Kellogg Stock (Common)	A	Dividend	J	T	Spinoff (from line 4)	10/02/23	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Parts II and III.A.1 -- I am eligible for a lifetime monthly retirement benefit of approximately 18/24 of 71.3% of the salary of a Circuit Judge of South Carolina. Payment began when I turned 55 years of age on May 1, 2003.

In Part VII, Line 44 -- The Fund Family is Allspring Global Investments. All mutual funds within the Wells Fargo Funds family were rebranded as Allspring Funds.

In Part VII, Line 12 - This IRA is now handled by Charles Schwab which acquired Ameritrade.

In Part VII, Lines 4 and 49 - In October 2023, Kellogg Company finalized the separation of its American cereal business, resulting in two independent public companies - Kellanova and W.K. Kellogg Co. Kellanova kept the "K" ticker symbol, while W.K. Kellogg Co. uses the ticker symbol "KLG".

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ William B. TRAXLER, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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