AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
TRAXLER, Jr., William B.	U.S. Court of Appeals, Fourth Circuit	05/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Court Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
300 E. Washington Street, Suite 222 Greenville, SC 29601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Furman University
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	05/00/92	State of S.C. Circuit Judge Retirement benefit (see III.A.1)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	State of S.C. Circuit Judge Retirement benefit	$101,707.14
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	State of S.C. Retirement benefit
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
TRAXLER, Jr., William B.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. (2) Leon County, Fla.		None	L	W					
2. (3) Leon County, Fla.		None	K	W					
3. Sonoco stock (common)	A	Dividend	K	T					
4. American Funds: Washington Mutual Investors Fund IRA		None			Sold	02/26/21	K	E	
5. Motorola Solutions stock (common)	A	Dividend	K	T					
6. McDonalds stock (common)	A	Dividend	J	T					
7. Kellogg's stock (common)	A	Dividend	J	T					
8. RPM stock (common)	A	Dividend	J	T					
9. Truist (formerly Sun Trust) stock (common)`	A	Dividend			Sold	02/26/21	J	C	
10. [redacted] Trust #1 (H) - Income Beneficiary									
11. --Wells Fargo National Bank - cash account	A	Interest	J	T					
12. --Greenville County, SC	A	Rent	L	W					
13. TD Bank - cash equivalent account	A	Interest	K	T					
14. Vanguard Group Funds: Growth & Income Portfolio	B	Dividend	K	T					
15. American Funds: Growth Fund of America IRA		None			Sold	02/26/21	L	F	
16. American Funds: New Perspective Fund IRA		None			Sold	02/26/21	L	F	
17. First Citizens Bank - cash equivalent account	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
TRAXLER, Jr., William B.	05/16/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Ameritrade IRA (H)									
19. --MIPS Technologies stock (common)	A	Dividend	J	T					
20. --Honeywell stock (common)	A	Dividend	J	T					
21. --Applied Materials stock (common)	A	Dividend	J	T					
22. --Texas Instrument stock (common)	A	Dividend	J	T					
23. Home Depot stock (common)	A	Dividend	J	T					
24. Athene -- whole life insurance policies	A	Interest	J	T					
25. Protective Life -- whole life insurance policy	A	Interest	J	T					
26. Jefferson National Life -- whole life insurance policy	A	Interest	J	T					
27. Conagra stock (common)	A	Dividend			Sold	02/26/21	J	B	
28. Regions Financial Corp. stock (common)	A	Dividend			Sold	02/26/21	J	B	
29. Jefferson County, Florida	C	Rent	N	W					
30. SPDR Trust Series 1: SPY Fund ETF (SPY) (Equity Security)	A	Dividend	L	T					
31. Antigonish County, Nova Scotia, Canada		None	N	T					
32. RBC Bank - Canada - cash equivalent account		None			Closed	10/13/21	J		
33. TD Canada Trust - Canada - cash equivalent account		None	K	T					
34. Southern First Bank - cash equivalent account	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35. Pinnacle Bank - cash equivalent accounts	B	Interest	N	T					
36. United Community Bank - cash equivalent accounts	A	Interest	M	T					
37. Grand South Bank - cash equivalent accounts	C	Interest	P1	T					
38. TD Canada Trust - Canada - cash equivalent account	A	Interest	M	T					
39. SC State Pub Svc Auth Rev 7 Bond	A	Interest	K	T					
40. Henderson County, NC		None	O	T					
41. Heritage Federal Credit Union cash equivalent account	A	Interest	K	T					
42. INVESCO: Invesco QQQ ETF (equity security)	A	Dividend			Sold	02/26/21	K	E	
43. [redacted] Trust #2 (H)									
44. --(4) Leon County, FL		None			Sold	06/10/21	O		
45. --Macon County, NC		None	K	W					
46. --Prime Meridian f/l/aTruist Financial - Cash Equivalent Account	A	Interest	J	T					
47. Capital One - Cash Equivalent Account	A	Interest	L	T					
48. SPDR Series Trust Prtflo S&P 500 GW ETF (SPYG) (Equity Security)	A	Dividend			Sold	02/26/21	K	B	
49. Citibank - Cash Equivalent Account	A	Interest			Closed	06/15/21	K		
50. iShares Core Conserv Allocation ETF (AOK) IRA	A	Dividend	M	T	Buy	12/21/21	M		
51. Lincoln Nat'l (LNC) (Common Stock) (X)	A	Dividend			Sold	06/22/21	L	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Pfizer (PFE) (common stock) (X)	A	Dividend	J	T					
53. American Express (AXP) (common stock) (X)	A	Dividend			Sold	11/26/21	M	F	
54. Ingles Markets (IMKTA) (common stock) (X)	A	Dividend	J	T					
55. Occidental Petroleum (OXY) (common stock) (X)	A	Dividend	J	T					
56. Tyson Foods (TSN) common stock (X)	A	Dividend	K	T					
57. Ameriprise Financial (AMP) (common stock) (X)	A	Dividend	L	T					
58. Dominion Energy (common stock) (X)	A	Dividend			Sold	11/09/21	J		
59. (Duke Energy (DUK) (common stock) (X)	A	Dividend	J	T					
60. Viatris (common stock) (X)	A	Dividend			Sold	11/09/21	J		
61. Wells Fargo (WFC) (common stock) (X)	A	Dividend	J	T					
62. Wells Fargo Allspring Muni Bond Fund (WMFAX)(X)	A	Interest	K	T					
63. Greenville County, SC (X)		None	M	T					
64.									
65.									
66.									
67.									
68.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Parts II and III.A.1 -- I am eligible for a lifetime monthly retirement benefit of approximately 18/24 of 71.3% of the salary of a Circuit Judge of South Carolina. Payment began when I turned 55 years of age on May 1, 2003.

In Part VII, Line 46 -- This entry was formerly listed as Truist Bank which is not reportable for 2021. Another cash equivalent account for this out of state, [redacted] member trust was opened in 2019 at Prime Meridian Bank, of which I was previously unaware. It was in existence in 2019 and 2020 and the amounts reportable for each of those years were "A" for Interest Income and "J" for value.

In Part VII, Line 62 -- The Fund Family is Allspring Global Investments.

Name of Person Reporting	Date of Report
TRAXLER, Jr., William B.	05/16/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William B. TRAXLER, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544