AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thomas, Sidney R.	Ninth Circuit Court of Appeals	07/01/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
P.O. Box 31478 Billings MT 59107-1478		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Ninth Circuit Historical Society
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2024	Self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thomas, Sidney R.	07/01/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Northwest Billings Associates (Partnership)	D	Distribution	J	W					
2. UBS USA Deposit Account	A	Interest	J	T					
3.									
4. INVESTMENT ACCOUNT									
5.									
6. AB Intermediate Muni Fund (AIDYX)	A	Dividend	J	T					
7.					Buy (add'l)	01/31/24	J		
8.					Buy (add'l)	09/12/24	J		
9. AB Large Cap Growth Fund (APGYX)	A	Dividend	J	T	Buy	09/11/24	J		
10. Catalyst/Milburn Hedge Strategy Fund Class 1 (MBXIX)	A	Dividend			Sold	01/31/24	J	A	
11. Clearbridge International Growth (LMGNX)	A	Dividend	J	T					
12.					Sold (part)	01/31/24	J	A	
13.					Buy (add'l)	09/11/24	J		
14. First Eagle High Yield Muni Bond Fund (FEHIX)	A	Dividend	J	T	Buy	09/09/24	J		
15.					Sold (part)	09/12/24	J	A	
16. First Eagle Small Cap Opportunity Fund (FESCX)	A	Dividend	J	T					
17.					Buy (add'l)	01/31/24	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Thomas, Sidney R.	07/01/2025

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	09/11/24	J		
19. Grant Park Multi Alternative Strats Fund (GPAIX)	A	Dividend			Sold	01/31/24	J	A	
20. Invesco AMT-Free Municipal Fund (LTEFX)	A	Dividend	J	T					
21.					Buy (add'l)	01/31/24	J		
22.					Buy (add'l)	09/12/24	J		
23. Invesco S&O 500 Equal Weight ETF (RSP)	A	Dividend	J	T					
24.					Buy (add'l)	01/31/24	J		
25. Janus Henderson Global Equity Income Fund Class 1 (HFQIX)	A	Dividend			Sold	03/05/24	J	A	
26. JP Morgan Equity Income Fund (HLIEX)	A	Dividend	J	T					
27.					Sold (part)	01/31/24	J	A	
28.					Sold (part)	09/12/24	J	A	
29. Matthews Emerging Markets Small Companies Fund (MSMLX)	A	Dividend	J	T					
30.					Buy (add'l)	01/31/24	J		
31.					Buy (add'l)	09/12/24	J		
32. MFS Mid-Cap Value (MCVIX))	A	Dividend	J	T					
33.					Sold (part)	01/31/24	J	A	
34.					Sold (part)	09/12/24	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Nuveen High Yield Muni Bond (NHMRX)	A	Dividend							
36.					Buy (add'l)	01/31/24	J		
37.					Sold	09/09/24	J	A	
38. Pacer Developed Markets Intl Cash Cows (ICOW)	A	Dividend	J	T	Buy	03/27/24	J		
39.					Sold (part)	01/12/24	J	A	
40. UBS Government Money Market Investments Fund (PCEXX)	A	Dividend	J	T					
41. Vanguard Mid-Cap Index Fund (VIMAX)	A	Dividend	J	T					
42.					Buy (add'l)	01/31/24	J		
43.					Sold (part)	09/12/24	J	A	
44. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T					
45.					Buy (add'l)	01/31/24	J		
46.					Sold (part)	09/12/24	J	A	
47.					Sold (part)	09/13/24	J	A	
48. Vanguard S&P Mid-Cap 400 ETF (IVOO)	A	Dividend	J	T	Buy	01/30/24	J		
49.									
50. FIRST IRA ACCOUNT									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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52. AB Large Cap Growth Fund (APGYX)	B	Dividend	J	T					
53.					Sold (part)	01/31/24	J	B	
54.					Buy (add'l)	09/13/24	J		
55. American S Mortgage F-2 FBOID (MFAFX)	A	Dividend							
56.					Buy (add'l)	01/31/24	J		
57.					Sold	03/22/24	J	D	
58. Catalyst/Milburn Hedge Strategy Fund Class I (MBXIX)	A	Dividend			Sold	01/31/24	J	D	
59. Clearbridge Intrntl Growth Fund (LMGNX)	A	Dividend	J	T					
60.					Sold (part)	01/31/24	J	A	
61.					Sold (part)	03/25/24	J	D	
62.					Buy (add'l)	09/13/24	J		
63. First Eagle Small Cap Opportunity Fund (FESCX)	A	Dividend	J	T					
64.					Buy (add'l)	09/13/24	J		
65. Invesco S&P 500 Equal Weight ETF (RSP)	A	Dividend	J	T					
66.					Buy (add'l)	01/31/24	J		
67. Janus Henderson Global Equity Income Fund (HFQIX)	A	Dividend			Sold	01/31/24	J	B	
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69.					Sold (part)	01/31/24	J	D	
70.					Sold (part)	09/13/24	J	A	
71. Loomis Sayles Investment Grade Bond Class Y (LSIIX)	A	Dividend	J	T					
72.					Buy (add'l)	01/31/24	J		
73.					Buy (add'l)	09/13/24	J		
74. Lord Abbett Short Duration Income (LDLFX) Fund	A	Dividend	J	T					
75.					Buy (add'l)	01/31/24	J		
76.					Buy (add'l)	09/13/24	J		
77. Mathews Emerging Markets Companies Fund (MSMLX)	A	Dividend	J	T					
78.					Buy (add'l)	01/31/24	J		
79.					Buy (add'l)	09/13/24	J		
80. MFS Mid-Cap Value (MCVIX)	A	Dividend	J	T					
81.					Sold (part)	01/31/24	J	D	
82.					Sold (part)	09/13/24	J	A	
83. Pacer Developed Markets Intl Cash Cows (ICOW)	A	Dividend	J	T	Buy	03/26/24	J		
84.					Sold (part)	09/13/24	J	A	
85. Pimco Income Fund (PONPX)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
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86.					Buy (add'l)	01/31/24	J		
87.					Buy (add'l)	09/13/24	J		
88. UBS Government Money Market Class P (PCEXX)	A	Dividend	J	T					
89.					Sold (part)	01/31/24	J	A	
90. Vanguard Mid-Cap Index Fund (VIMAX)	A	Dividend	J	T					
91.					Sold (part)	01/31/24	J	B	
92.					Sold (part)	09/13/24	J	A	
93. Vangaurd S&P 500 ETF (VOO)	A	Dividend	J	T	Buy	01/31/24	J		
94. Vangaurd S&P Mid-Cap 400 ETF (IVOO)	A	Dividend	J	T	Buy	01/31/24	J		
95. Victory Core Plus Intermediate Bond Fund (UIITX	A	Dividend	J	T	Buy	03/22/24	J		
96.					Buy (add'l)	09/13/24	J		
97. Misc. Accounts									
98.									
99. U.S. Bank Accounts	A	Interest	J	T					
100. Wells Fargo Account	A	Interest	J	T					
101. Real Property - Alfalfa County Oklahoma	A	Rent	J	W					
102. Mineral Interest - Alfalfa County Oklahoma	C	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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103.									
104. SECOND IRA ACCOUNT									
105.									
106. iShares Russell 1000 Growth ETF (IWF)	A	Dividend	M	T					
107. Vanguard Growth ETF (VUG)	B	Dividend	N	T					
108. Ishares Edge MSCI USA Quality Factor ETF (QUAL)	C	Dividend	N	T					
109. Vangaurd Large CapETFIV (VV)	D	Dividend	N	T					
110. Schwab Treasury Oblig Money Inv (SNOXX)	D	Dividend	L	T					
111. Vanguard Value (VTV)	B	Dividend	K	T	Buy	06/03/24	K		
112. SPDR Industrial Sector (XU)	A	Dividend	K	T					
113. S&P 500 (VOO)	A	Dividend	K	T					
114. US Treasury NT 0.25%06/24 [redacted]	A	Interest			Redeemed	06/15/24	M	A	
115. US Treas NT [redacted] Due 3/14/24	B	Interest			Redeemed	03/14/24	N	A	
116. US Treas NT [redacted] Due 5/31/24	B	Interest			Redeemed	05/31/24	M	A	
117. US Treas NT [redacted] Due 10/31/24	C	Interest			Redeemed	10/31/24	M	A	
118. US Treas NT [redacted] Due 4/17/25	C	Interest	L	T	Buy	05/17/24	L		
119. US Treas NT [redacted] Due 5/31/25	C	Interest	M	T	Buy	06/14/24	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Thomas, Sidney R.	07/01/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. US Treas NT [redacted] Due 5/31/25	A	Interest	M	T	Buy	06/24/24	M		
121. US Treas NT [redacted] Due 09/30/26	C	Interest	N	T	Buy	11/22/24	N		
122. US Treas NT [redacted] Due 2/28/25	D	Interest	N	T	Buy	03/26/24	N		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This report does not contain listing of assets and property that are solely owned by my [redacted]. Those assets were not derived from my assets, income, or activities. I have no control over those assets. I possess no interest, income or benefit from any of the omitted assets, nor do I expect to receive any interest or benefit from them. I have no personal knowledge of the details of any of the omitted assets, except that it has been represented to me that none of the assets consist of common stocks.

In Part VII, several funds are listed twice because they were purchased in separate investment accounts. These investments are differentiated in different secctions labeled "Investment Account," "IRA Account," or "Second IRA Account."

Name of Person Reporting	Date of Report
Thomas, Sidney R.	07/01/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sidney R. Thomas

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544