AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thapar, Amul R.	U.S. Court of Appeals for the Sixth Circuit	8/11/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
United States District Court 35 W. Fifth Street Covington, Kentucky 41011		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Heyburn Initiative for Judicial Excellence
2.	Trustee	Centre College
3.	Adjunct Professor	University of Virginia Law School
4.	Adjunct Professor	Notre Dame Law School and Undergraduate University
5.	Adjunct Professor	Vanderbilt University Law School

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2023	University of Virginia Law School Teaching Salary	$9,200.00
2. 2023	Notre Dame Undergraduate Teaching Salary	$12,000.00
3. 2023	Regnery Publishing, book royalties	$26,000.00
4. 2023	Vanderbilt University Law School Teaching Salary	$10,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2023	Self-employed Real Estate Agent -- commissions on sales and rentals
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Harvard Federalist Society	1/4/23 to 1/6/23	Cambridge, MA	Speaker	Travel, meals, hotel
2.	University of Virginia	1/9/23 to 1/12/23	Charlottesville, VA	Teacher	Travel, meals, hotel
3.	Stanford Federalist Society	2/7/23 to 2/8/23	Palo Alto, CA	Speaker	Travel, meals, hotel
4.	Jack Miller Center Law Faculty Workshop	2/9/23 to 2/10/23	San Diego, CA	Speaker and conference participant	Travel, meals, hotel
5.	Vanderbilt University	2/28/23 to 3/4/23	Nashville, TN	Teacher	Travel, meals, hotel
6.	St. John's Federalist Society	3/11/23 to 3/14/23	New York, NY	Speaker	Travel, meal, hotel

7.	Kentucky Federalist Society	3/24/23	Lexington, KY	Speaker	Travel and meal
8.	Houston Federalist Society	3/27/23 to 3/29/23	Houston, TX	Speaker	Travel, meals, hotel
9.	Ohio Federalist Society	4/20/23 to 4/21/23	Columbus, OH	Speaker	Travel, meals, hotel
10.	Regnery	6/18/23 to 6/20/23	Washington, DC	Book promotion	Travel, meals, hotel
11.	Federalist Society	6/21/23 to 6/25/23	Washington, DC	Speaker	Travel, meals, hotel
12.	Chicago Federalist Society	6/28/23 to 6/30/23	Chicago, IL	Speaker	Travel, meals, hotel
13.	Columbus and Pittsburgh Federalist Society	7/12/23 to 7/14/23	Columbus, OH and Pittsburgh, PA	Speaker	Travel, meals, hotel
14.	Toledo Federalist Society	7/20/23	Toledo, OH	Speaker	Travel and meals
15.	New York City Federalist Society	7/25/23 to 7/26/23	New York, NY	Speaker	Travel, meals, hotel
16.	Federalist Society Student Division	7/27/23 to 7/30/23	Alexandria, VA	Speaker	Travel, meals, hotel
17.	Central Kentucky Federalist Society	8/9/23	Lexington, KY	Speaker	Travel and meal
18.	Iowa Federalist Society	8/14/23 to 8/15/23	Des Moines, IA	Speaker	Travel, meals, hotel
19.	Mobile Federalist Society	8/16/23 to 8/17/23	Mobile, AL	Speaker	Travel, meals, hotel
20.	Atlanta Federalist Society	8/22/23	Atlanta, GA	Speaker	Travel and meal
21.	Notre Dame University	8/27-29; 10/12-10/13; 10/22-10/23	Notre Dame, IN	Teaching	Travel, meals, hotel
22.	Northwestern and University of Illinois Federalist Society	8/30/23 to 9/1/23	Chicago, IL and Champaign, IL	Speaker	Travel, meals, hotel
23.	Alabama Federalist Society	9/7/23 to 9/8/23	Tuscaloosa, AL	Speaker	Travel, meals, hotel
24.	Tennessee Federalist Scoiety	9/14/23 to 9/16/23	Nashville, TN	Speaker	Travel, meals, hotel
25.	Case Western Law School	9/18/23 to 9/19/23	Cleveland, OH	Speaker	Travel, meals, hotel
26.	Cleveland Federalist Society	9/19/23	Cleveland, OH	Speaker	meal
27.	South Texas, Texas A&M, and SMU Federalist Society; Texas Federalist Society	9/22/23 to 9/29/23	Houston, Dallas, Fort Worth, and Tyler Tx	Speaker	Travel, meals, hotel
28.	University of Dallas	9/27/23	Dallas, TX	Speaker	meal
29.	Tampa Federalist Society	10/2/23 to 10/4/23	Tampa, FL	Speaker	Travel, meals, hotel
30.	North Carolina Federalist Society	10/5/23 to 10/7/23	Raleigh, NC	Speaker	Travel, meals, hotel
31.	Notre Dame Federalist Society	10/11/23 to 10/12/23	South Bend, IN	Speaker	Travel, meals, hotel
32.	Indianapolis Federalist Society	10/24/23	Indianapolis, IN	Speaker	Meal

33.	Puerto Rico Federalist Society	10/29/23-11/1/23	Puerto Rico	Speaker	Travel, meals and hotel
34.	Harvard Federalist Society	11/7/23 to 11/8/23	Cambridge, MA	Speaker	Travel, meals, and hotel
35.	Federalist Society	11/9/23 to 11/11/23	Washington, DC	Speaker	Travel, meals, hotel
36.	Philadelphia Federalist Society	12/12/23 to 12/13/23	Philadelphia, PA	Speaker	Travel, meals, hotel

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thapar, Amul R.	8/11/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Capital One	A	Interest	J	T					
2. Charles Schwab (formerly TD Ameritrade)	A	Interest	J	T					
3. Fifth Third Bank (Checking Acct.)	A	Interest	J	T					
4. US Bank (Checking and Savings Acct)	A	Interest	J	T					
5. SoFi Technologies Inc.	A	Interest	K	T					
6. Vanguard Windsor Portfolio	A	Dividend			Sold	1/18/23	L	E	
7. Vanguard S&P 500 ETF	A	Dividend	J	T					
8. Invesco QQQ Trust	A	Dividend	J	T					
9. Vanguard Mid-Cap Index Portfolio	A	Dividend			Sold	1/18/23	J	A	
10. Vanguard Small-Cap Index	A	Dividend			Sold	1/18/23	J	A	
11. Vanguard Total Stock Market Portfolio	A	Dividend	K	T	Sold (part)	1/18/23	J	A	
12. Vanguard Target Enrollment 2020/2021 (became Commencement Portfolio)	A	Dividend	K	T	Sold (part)	4/26/23	J	A	
13.					Sold (part)	7/18/23	J	A	
14.					Sold (part)	8/28/23	J	A	
15.					Sold (part)	12/1/23	J	A	
16. Vanguard Target Enrollment 2024/2025*	A	Dividend	L	T	Buy	1/18/23	L		
17.					Sold (part)	7/24/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Thapar, Amul R.	8/11/2024

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18. Vanguard Information Technology Fund (VGT)	A	Dividend	J	T	Buy	8/7/23	J		
19. Amplitude Inc.(AMPL)		None	J	T					
20. ARK ETF TR Genomic Rev ETF (ARKG)		None	J	T					
21. Berkshire Hathaway Cl B (BRK.B)		None	J	T					
22. Bill Holdings, Inc. (BILL)		None	J	T					
23. Chewy Inc (CHWY)		None	J	T					
24. Crowdstrike Holdings (CRWD)		None	K	T					
25. Datadog Inc (DDOG)		None	K	T					
26. Elkay Chemicals Private Limited (X)	A	Dividend	J	W					
27. The Walt Disney Company (DIS)		None	J	T					
28. Docusign Inc (DOCU)		None	J	T					
29. Fubo TV (FUBO)		None	J	T					
30. Mongo Database (MDB)		None	J	T					
31. Mercadolibre Inc (MELI)		None	J	T					
32. Magnite Inc (MGNI)		None	J	T					
33. Monday.com Ltd (MNDY)		None	K	T					
34. Nike Inc (NKE)		None	J	T					

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35. Cloudflare (NET)		None	J	T					
36. Opendoor Technologies (OPEN) (Y)									
37. Palantir Technologies, Inc (PLTR)		None	J	T					
38. Roku Inc (ROKU)		None	J	T					
39. Sentinel One (S)		None	J	T					
40. Sea Limited ADR (SE)		None	J	T					
41. Shopify Inc (SHOP)		None	J	T					
42. Stitch Fix Inc (SFIX)		None	J	T					
43. Snowflake Inc (SNOW)		None	J	T					
44. SPDR Series Trust Portfolio S & P 500 (SPYG)	A	Dividend	J	T					
45. Teladoc Health Inc (TDOC)		None	J	T					
46. Tesla Inc (TSLA)		None	K	T					
47. The Trade Desk (TTD)		None	J	T					
48. Twilio Inc (TWLO)		None	J	T					
49. Ulta Beauty Inc (ULTA)		None	J	T					
50. Upstart Holdings Inc (UPST)		None	K	T					
51. Zoominfo Technologies (ZI)		None	J	T					

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52. Zscaler (ZS)		None	K	T					
53. McDonald's Corporation (MCD)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

We invest monthly in many of the mutual funds listed but the purchases are below the reportable amount.

In Vanguard 529, opened commencement portfolio and transferred assets from Vanguard Windsor, Small Cap, Mid Cap and a portion of Total Market

For Elkay Chemical, I did not recieve any dividends in 2023, but should in 2024. It does pay a dividend so I listed it as such.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amul R. Thapar

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544