AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thapar, Amul R.	U.S. Court of Appeals for the Sixth Circuit	8/10/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States District Court 35 W. Fifth Street Covington, Kentucky 41011		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Heyburn Initiative for Judicial Excellence
2.	Trustee	Centre College
3.	Member (Term Completed 7/7/22)	American Law Institute
4.	Advisory Board Member	Berkeley Judicial Institute
5.	Adjunct Professor	University of Virginia Law School
6.	Adjunct Professor	Notre Dame Law School and Undergraduate University

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2022	University of Virginia Law School Teaching Salary	$10,000.00
2. 2022	Notre Dame Law School Teaching Salary	$10,000.00
3. 2022	Notre Dame Undergraduate Teaching Salary	$9,895.00
4. 2022	Regnery Publishing, book royalties	$14,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2022	Self-employed Real Estate Agent -- commissions on sales and rentals
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	UVA Federalist Society	1/12/22	Virtual	Speaker	Meal
2.	Federalist Society	1/26/22 to 1/28/22	Phoenix, AZ	Speaker	Travel, meals, hotel
3.	BYU Law School	2/2/22 to 2/5/22	Salt Lake City, UT	Speaker and conference participant	Travel, meals, hotel
4.	Federalist Society	3/3/2022 to 3/6/22	Charlottesville, VA	Speaker	Travel, meals, hotel
5.	University of Texas Federalist Society	3/17/22 to 3/21/22	Austin, TX	Speaker	Travel, meal, hotel
6.	American Enterprise Institute	3/23/22 to 3/24/22	Washington, DC	Speaker	Travel, meals, hotel

7.	Notre Dame University	3/24/22 to 3/26/22	Notre Dame, IN	Speaker and conference participant	Travel, meals, hotel
8.	Harvard Federalist Society	3/28/22 to 3/29/22	Cambridge, MA	Speaker	Travel, meals, hotel
9.	Duke Federalist Society	4/10/22 to 4/11/22	Durham, NC	Speaker	Travel, meals, hotel
10.	Northwestern Federalist Society	4/11/22 to 4/12/22	Chicago, IL	Speaker	Travel, meals, hotel
11.	Notre Dame Law School	7/19/22 to 7/22/22	Rome, Italy	Speaker and conference participant	Travel, meals, hotel
12.	Federalist Society	7/28/22 to 7/31/22	Arlington, VA	Speaker	Travel, meals, hotel
13.	Federalist Society	8/10/22 to 8/12/22	Park City, UT	Speaker	Travel, meals, hotel
14.	Notre Dame University	8/29-8/31; 9/5-9/6; 9/12-9/14; 9/19-9/21; 9/26-9/28; 10/3-10/5; 10/10-10/12;11/4-11/6	Notre Dame, IN	Teaching	Travel, meals, hotel
15.	Princeton University	9/22-9/23	Princeton, NJ	Speaker	Travel, meals, hotel
16.	Alabama Federalist Society	10/6/22 to 10/9/22	Tuscaloosa, AL	Speaker	Travel, meals, hotel
17.	Catholic University	10/12/22 to 10/14/22	Washington, DC	Speaker	Travel, meals, hotel
18.	Yale Federalist Society	10/17/22 to 10/19/22	New Haven, CT	Speaker	Travel, meals, hotel
19.	Federalist Society	11/9/22 to 11/13/22	Washington, DC	Speaker	Travel, meals, hotel

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thapar, Amul R.	8/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Capital One	A	Interest	J	T					
2. TD Ameritrade (Ameritrade Money Market)	A	Interest	J	T					
3. Fifth Third Bank (Checking Acct.)	A	Interest	J	T					
4. US Bank (Checking and Savings Acct)	A	Interest	J	T					
5. SoFi Technologies Inc.	A	Interest	K	T					
6. Vanguard Windsor Portfolio	A	Dividend	K	T	Sold (part)	11/17/22	J	A	
7. Vanguard S&P 500 ETF	A	Dividend	J	T	Open	08/24/22	J		
8. Invesco QQQ Trust	A	Dividend	J	T	Open	10/10/22	J		
9. Vanguard Mid-Cap Index Portfolio	A	Dividend	J	T	Sold (part)	11/17/22	J	A	
10. Vanguard Small-Cap Index	A	Dividend	J	T	Sold (part)	11/17/22	J	A	
11. Vanguard Total Stock Market Portfolio	A	Dividend	K	T	Sold (part)	11/17/22	J	A	
12. Vangard Commencement Portfolio	A	Dividend			Sold	7/26/22	J	A	
13. Vanguard Target Enrollment 2020/2021	A	Dividend	K	T	Sold (part)	07/26/22	J	A	
14.					Sold (part)	11/17/22	J	A	
15. Airbnb Inc Common ClA(ABNB) (Y)									
16. Amazon.com Inc (AMZN) (Y)									
17. Amplitude Inc.(AMPL)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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18. ARK ETF TR Genomic Rev ETF (ARKG)		None	J	T					
19. Berkshire Hathaway Cl B (BRK.B)		None	J	T					
20. Bill Holdings, Inc. (BILL)		None	J	T	Open	02/18/22	J		
21.					Buy (add'l)	02/24/22	J		
22. Chewy Inc (CHWY)		None	J	T					
23. Celsius Holdings Inc(CELH) (Y)									
24. Crowdstrike Holdings (CRWD)		None	K	T					
25. Datadog Inc (DDOG)		None	K	T					
26. The Walt Disney Company (DIS)		None	J	T					
27. Draftkings Inc (DKNG)		None			Closed	02/28/22	J	A	
28. Docusign Inc (DOCU)		None	J	T					
29. Editas Medicine Inc(EDIT) (Y)									
30. EtsyInc (ETSY) (Y)									
31. Fastly Inc (FSLY) (Y)									
32. Fubo TV (FUBO)		None	J	T					
33. Fiverr International (FVRR) (Y)									
34. Global-E Online(GLBE) (Y)									

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35. Jumia Technologies (JMIA) (Y)									
36. Lucid Group Inc (LCID)		None			Closed	02/28/22	J		
37. Lemonade Inc (LMND) (Y)									
38. Lighspeed Commerce(LSPD) (Y)									
39. Mongo Database (MDB)		None	J	T	Open	05/10/22	J		
40. Mercadolibre Inc (MELI)		None	J	T	Buy (add'l)	02/24/22	J		
41. Magnite Inc (MGNI)		None	J	T					
42. Monday.com Ltd (MNDY)		None	K	T	Buy (add'l)	01/03/22	J		
43.					Buy (add'l)	01/07/22	J		
44.					Buy (add'l)	02/03/22	J		
45. Cloudflare (NET)		None	J	T	Sold (part)	02/28/22	J	A	
46. Nike Inc (NKE)		None	J	T					
47. Nvidia (NVDA) (Y)									
48. Opendoor Technologies (OPEN)		None	J	T					
49. Pintrest Inc (PINS)		None			Sold	02/28/22	J	A	
50. Palantir Technologies Inc (PLTR)		None	J	T					
51. Peloton Interactive Inc (PTON) (Y)									

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52. Redfin Corp (RDFN) (Y)									
53. Roku Inc (ROKU)		None	J	T					
54. Sentinel One (S)		None	J	T	Open	01/03/22	J		
55.					Buy (add'l)	01/14/22	J		
56. Sea Limited ADR (SE)		None	J	T					
57. Shopify Inc (SHOP)		None	J	T	Buy (add'l)	02/22/22	J		
58.					Buy (add'l)	02/23/22	J		
59.					Buy (add'l)	07/06/22	J		
60. Stitch Fix Inc (SFIX)		None	J	T					
61. Snowflake Inc (SNOW)		None	J	T	Buy (add'l)	01/03/22	J		
62.					Buy (add'l)	03/07/22	J		
63. SPDR Series Trust Portfolio S & P 500 (SPYG)	A	Dividend	J	T					
64. Square Inc (SQ)		None			Sold	02/28/22	J	A	
65. Stoneco Ltd (STNE) (Y)									
66. Teladoc Health Inc (TDOC)		None	J	T					
67. Tesla Inc (TSLA)		None	K	T	Sold (part)	02/28/22	J	A	
68. The Trade Desk (TTD)		None	J	T					

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69. Twilio Inc (TWLO)		None	J	T	Buy (add'l)	03/07/22	J		
70. Ulta Beauty Inc (ULTA)		None	J	T					
71. Upstart Holdings Inc (UPST)		None	K	T	Buy (add'l)	02/14/22	J		
72.					Sold (part)	02/28/22	J	A	
73. Wingstop Inc (WING)	A	Dividend			Sold	02/28/22	J	A	
74. Zoominfo Technologies (ZI)		None	J	T					
75. Zoom Video Communications (ZM)		None			Sold	02/28/22	J	A	
76. Zscaler (ZS)		None	K	T	Buy (add'l)	2/25/22	J		
77. McDonald's Corporation (MCD)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Mutual Funds are all part of a 529 plan. We invest monthly in the plans on the same date and the monthly investment is below the reportable amount.

We also created a regular investment plan to invest in both Vanguard S&P 500 ETF (VOO) and the NASDAQ ETF(QQQ). The monthly investments are below the reportable amount.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amul R. Thapar

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544