AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Thacker, Stephanie D.	U.S. Court of Appeals for the Fourth Circuit	5/3/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Robert C. Byrd United States Courthouse 300 Virginia Street, East Room 7404 Charleston, West Virginia 25301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/3/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	West Virginia Bar Association	April 11-April 12, 2021	White Sulpher Springs, WV	Panelist at West Virginia State Bar Annual Meeting	Compensation; lodging; mileage and meals
2.	Villanova School of Law	November 18, 2021	Villanova, PA	Judge, Reimel Moot Court Competition	Competition; lodging, mileage and meals
3.					
4.					
5.					

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Thacker, Stephanie D.	5/3/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/3/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	BlackRock HighYield Bond Fund BHYIX	A	Interest	J	W	Buy (add'l)	01/13/21	J		
2.						Buy (add'l)	09/13/21	J		
3.						Buy (add'l)	11/17/21	J		
4.	Cambiar International Fund CAMYX	A	Dividend	K	W	Sold (part)	01/13/21	J	A	
5.						Buy (add'l)	09/13/21	J		
6.						Buy (add'l)	11/17/21	J		
7.	Clearbridge Large Cap Growth Fund SBLYX	A	Dividend	K	W	Sold (part)	01/13/21	J	B	
8.						Buy (add'l)	04/07/21	J		
9.						Sold (part)	09/13/21	J	B	
10.						Sold (part)	11/17/21	J	C	
11.						Sold (part)	11/19/21	J	A	
12.	Delaware Corporate Bond DGCIX	B	Interest	K	W	Buy (add'l)	01/13/21	J		
13.						Buy (add'l)	09/13/21	J		
14.						Buy (add'l)	11/17/21	J		
15.	Diamond Hill Large Cap DHLRX	A	Dividend	K	W	Sold (part)	01/13/21	J	A	
16.						Sold (part)	09/13/21	J	A	
17.						Buy (add'l)	11/17/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Edgewood Growth Fund EGFIX	A	Dividend	K	W	Sold (part)	01/13/21	J	B	
19.					Buy (add'l)	04/07/21	J		
20.					Sold (part)	09/13/21	J	D	
21.					Sold (part)	09/14/21	J	A	
22.					Sold (part)	11/17/21	J	B	
23.					Sold (part)	11/19/21	J	A	
24. Harding Loevner International Fund HLMIX	A	Dividend	K	W	Sold (part)	01/13/21	J	A	
25.					Buy (add'l)	09/13/21	J		
26.					Buy (add'l)	11/17/21	J		
27. Hartford Schroders Emerging Markets Fund SEMNX	A	Dividend	K	W	Buy (add'l)	01/13/21	J		
28.					Buy (add'l)	09/13/21	J		
29.					Buy (add'l)	11/17/21	J		
30. JP Morgan Equity Income Fund HLIEX	B	Dividend	L	W	Sold (part)	01/13/21	J	B	
31.					Sold (part)	09/13/21	J	A	
32.					Buy (add'l)	11/17/21	J		
33. JP Morgan Small Cap Fund OGGFX	A	Dividend	J	W	Buy	01/13/21	J		
34.					Buy (add'l)	09/13/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35.					Sold (part)	11/17/21	J	A	
36. PGIM Short Term Corporate Bond Fund PIFZX	A	Interest	K	W	Buy (add'l)	01/13/21	J		
37.					Buy (add'l)	09/13/21	J		
38.					Buy (add'l)	11/17/21	J		
39. Pimco International Bond Fund	A	Interest	J	W	Buy (add'l)	01/13/21	J		
40.					Buy (add'l)	09/13/21	J		
41.					Buy (add'l)	11/17/21	J		
42. Putnam Ultra Short Bond Fund PSDYX	A	Interest	K	W	Buy (add'l)	01/13/21	J		
43.					Buy (add'l)	09/13/21	J		
44.					Buy (add'l)	11/17/21	J		
45. T-Rowe Price U.S. Treasury Fund PRTIX	A	Interest	J	W	Sold (part)	01/13/21	J	A	
46.					Buy (add'l)	09/13/21	J		
47.					Buy (add'l)	11/17/21	J		
48. AllSpring Special Small Cap Fund ESPNX	A	Dividend	K	W	Buy (add'l)	01/13/21	J		
49.					Sold (part)	09/13/21	J	A	
50.					Buy (add'l)	11/17/21	J		
51. Bank of America FDIC Insured Account	A	Interest	K	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.	BlackRock Equity Dividend Fund MADVX	A	Dividend	J	W	Buy	04/07/21	J		
53.						Buy (add'l)	09/14/21	J		
54.						Buy (add'l)	11/19/21	J		
55.	Delaware Small Cap Core DCCIX	A	Dividend	J	W	Buy	04/07/21	J		
56.						Buy (add'l)	11/19/21	J		
57.	GS GQG Partners Fund GSIMX	A	Dividend	J	W	Buy	04/07/21	J		
58.						Sold (part)	09/14/21	J	A	
59.						Buy (add'l)	11/19/21	J		
60.	ISH USD High Yield USLTY	A	Interest	J	W	Buy	04/07/21	J		
61.	IShaire Russell 1000 Growth ETF IWF	A	Dividend	J	W	Buy	04/07/21	J		
62.						Sold (part)	11/19/21	J	A	
63.	IShare Russell 1000 Value ETF IWD	A	Dividend	J	W	Buy	04/07/21	J		
64.	IShare U.S. Treasury ETF GOVT	A	Interest	J	W	Buy	04/07/21	J		
65.						Buy (add'l)	11/19/21	J		
66.	IShare Inc. MSCI Emerging Market ETF IEMG	A	Dividend	J	W	Buy	04/07/21	J		
67.						Buy (add'l)	11/19/21	J		
68.	IShare TR Core MSCI EAF ETF IEFA	A	Dividend	J	W	Buy	04/07/21	J		

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69. MFS Value Fund MEIIX	A	Dividend	J	W	Buy	04/07/21	J		
70. Oakmark International Fund DANIX	A	Dividend	J	W	Buy	04/07/21	J		
71.					Buy (add'l)	09/14/21	J		
72. Pimco Investment Grade Bond Fund PBDPX	A	Interest	J	W	Buy	04/07/21	J		
73.					Buy (add'l)	09/14/21	J		
74.					Buy (add'l)	11/19/21	J		
75. Schwab U.S. Small Cap ETF SCHA	A	Dividend	J	W	Buy	04/07/21	J		
76. SPDR Bloomberg Barclays ETF BIL	A	Interest	J	W	Buy	04/07/21	J		
77. TCW Total Return Bond Fund TGLMX	A	Interest			Buy	04/07/21	J		
78.					Buy (add'l)	09/14/21	J		
79.					Sold	10/28/21	J	A	
80. Vanguard Intermediate Term Fund VCIT	A	Interest	J	W	Buy	04/07/21	J		
81.					Buy (add'l)	11/19/21	J		
82. Vanguard Mortgage Bond Fund VMBS	A	Interest	J	W	Buy	04/07/21	J		
83.					Buy (add'l)	11/19/21	J		
84. Vanguard Total International Bond Fund BNDX	A	Interest	J	W	Buy	04/07/21	J		
85. DoubleLine Total Return Bond Fund DBLTX	A	Interest	J	W	Buy	10/28/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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86.					Buy (add'l)	11/19/21	J		
87.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Thacker, Stephanie D.	5/3/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephanie D. Thacker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544