AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Sutton, Jeffrey S. | Sixth Circuit Court of Appeals | 04/25/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Chief Circuit Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final | 01/01/2023 **to** 12/31/2023 |
| | **5b.** ☐ Amended Report | |
| **7. Chambers or Office Address** | | |
| 85 Marconi Boulevard<br>Columbus, OH 43215 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Adjunct Faculty | The Ohio State University College of Law |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 1995 | Ohio Public Employee's Retirement System; vested retirement account. |
| 2. | 1997 | Ohio State Teacher's Retirement System; vested retirement account. |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2023 | The Ohio State University College of Law - Teaching | $31,815.00 |
| 2. | 2023 | Oxford University Press - Royalties | $3,602.00 |
| 3. | 2023 | West/Leg, Inc. - Royalties | $4,040.00 |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2023 | The Columbus Academy |
| 2. | 2023 | Substitute Teaching - Bexley and Upper Arlington Public Schools |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Harvard Law School | 1/2/23 to 1/6/23; 1/9/23 to 1/18/23 | Cambridge, MA | Teach course at Harvard Law School. | Transportation, Meals, Room |
| 2. | American Law Institute | 1/18/23 to 1/19/23 | Philadelphia, PA | Attend Council meeting. | Transportation, Meals, Room |
| 3. | George Mason Law & Economics Center, Antonin Scalia Law School | 1/21/23 to 1/25/23 | Honolulu, HI | Present lectures. | Transportation, Meals, Room |
| 4. | University of Georgia Law School | 2/1/23 to 2/2/23 | Athens, GA | Teach a law school class. | Transportation, Meals, Room |
| 5. | The Federalist Society | 2/7/23 to 2/8/23 | Dallas, TX | Present lecture. | Transportation, Meals, Room |

| | | | | | |
|---|---|---|---|---|---|
| 6. | National Center for State Courts, Conference of Chief Justices | 2/13/23 to 2/14/23 | Austin, TX | Participate in conference panel. | Transportation, Meals, Room |
| 7. | The Federalist Society | 2/15/23 | Ada, OH | Present lecture. | Transportation, Meals |
| 8. | The Federalist Society | 2/21/23 to 2/22/23 | Tuscaloosa, AL | Present lecture. | Transportation, Meals, Room |
| 9. | The Federalist Society | 2/28/23 | South Bend, IN | Present lecture. | Transportation |
| 10. | Notre Dame Law School | 3/1/23 | South Bend, IN | Teach a law school class. | Meals, Room |
| 11. | Lee University | 3/16/23 to 3/17/23 | Cleveland, TN | Present lecture. | Transportation, Meals, Room |
| 12. | University of Chicago Law School | 4/20/23 to 4/21/23 | Chicago, IL | Present lecture. | Transportation, Meals, Room |
| 13. | Antonin Scalia Law School | 5/4/23 to 5/6/23 | Arlington, VA | Present lecture. | Transportation, Meals, Room |
| 14. | American Law Institute | 5/21/23 to 5/24/23 | Washington, DC | Attend Annual Meeting. | Transportation, Meals, Room |
| 15. | Georgetown University Law School | 6/1/23 to 6/3/23 | Sarasota, FL | Deliver keynote address. | Transportation, Meals, Room |
| 16. | National Association of Appellate Court Attorneys | 7/12/23 to 7/13/23 | Pittsburgh, PA | Present lecture. | Transportation, Meals, Room |
| 17. | Institute of Judicial Administration at New York University School of Law | 7/16/23 to 7/21/23 | New York, NY | Present lectures and participate in conference programming. | Transportation, Meals, Room |
| 18. | Quill Project, Pembroke College, University of Cambridge | 7/23/23 to 7/28/23 | Oxford, United Kingdom | Delivery plenary address and participate in workshop. | Transportation, Meals, Room |
| 19. | Rodel Institute | 8/23/23 to 8/27/23 | Sun Valley, ID | Attend seminar. | Transportation, Meals, Room |
| 20. | Utah Valley University | 9/13/23 to 9/16/23 | Orem, UT | Participate in conference panel and deliver keynote address. | Transportation, Meals, Room |
| 21. | Notre Dame Law School | 9/21/23 to 9/24/23 | South Bend, IN | Participate in conference panel. | Transportation, Meals, Room |
| 22. | New Hampshire Supreme Court Society and New Hampshire Bar Foundation | 10/5/23 to 10/8/23 | Concord and Meredith, NH | Present lectures. | Transportation, Meals, Room |
| 23. | University of Idaho | 10/11/23 to 10/13/23 | Boise, ID | Present lecture. | Transportation, Meals, Room |
| 24. | National Center for State Courts, Council of Chief Judges of State Courts of Appeal | 10/26/23 to 10/27/23 | Charleston, SC | Participate in conference panel. | Transportation, Meals, Room |
| 25. | | | | | |
| 26. | | | | | |
| 27. | | | | | |
| 28. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | Australia High Court | Airfare for travel to Australian government functions in my official capacity. | $10,444.25 |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Sutton, Jeffrey S.** | 04/25/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Chase checking account | A | Interest | K | T | | | | | |
| 2. | Fidelity Investment Grade Bond Fund (IRA) | A | Interest | J | T | | | | | |
| 3. | Fidelity Cash Reserves Mutual Fund (IRA) | A | Interest | K | T | | | | | |
| 4. | Fidelity Select Health Care Mutual Fund (IRA) | A | Dividend | L | T | | | | | |
| 5. | Fidelity Strategic Bond Fund (IRA) | A | Interest | J | T | | | | | |
| 6. | Fidelity Magellan Mutual Fund (IRA) | A | Dividend | L | T | | | | | |
| 7. | Fidelity Overseas Mutual Fund (IRA) | A | Dividend | K | T | | | | | |
| 8. | Vanguard Money Market Fund | B | Interest | M | T | | | | | |
| 9. | Vanguard S&P 500 Index Fund (IRA) | C | Dividend | M | T | | | | | |
| 10. | TIAA Traditional | A | Dividend | K | T | | | | | |
| 11. | CREF Stock | B | Dividend | L | T | | | | | |
| 12. | TIAA Real Estate | A | Dividend | J | T | | | | | |
| 13. | CREF Bond | A | Dividend | J | T | | | | | |
| 14. | T Rowe Price 2025 Fund Class | B | Dividend | M | T | | | | | |
| 15. | | | | | | | | | | |
| 16. | | | | | | | | | | |
| 17. | | | | | | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Sutton, Jeffrey S.** | 04/25/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Jeffrey S. Sutton**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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