AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sutton, Jeffrey S.	Sixth Circuit Court of Appeals	04/28/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Chief Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
85 Marconi Boulevard Columbus, OH 43215		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Faculty	The Ohio State University College of Law
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1995	Ohio Public Employee's Retirement System; vested retirement account.
2.	1997	Ohio State Teacher's Retirement System; vested retirement account.
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	The Ohio State University College of Law - Teaching	$29,895.00
2.	2022	Oxford University Press - Royalties	$1,292.00
3.	2022	West - Royalties	$862.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	[redacted]
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The University of Georgia School of Law	02/09/2022	Athens, GA	Speak to law school class and meet with law students.	Transportation, Meals
2.	Judicial Education Institute	02/21/22 to 02/25/22	White Sulphur Springs, WV	Participate in conference and present lecture.	Transportation, Meals, Room, Entertainment
3.	The Federalist Society	03/01/22 to 03/04/22	San Francisco, Palo Alto, and Berkeley, CA	Present lectures.	Transportation, Meals, Room
4.	The Federalist Society	03/04/22 to 03/05/22	Charlottesville, VA	Participate in conference panel.	Transportation, Meals, Room
5.	The Federalist Society	03/31/22	Phoenix, AZ	Present lecture.	Transportation, Meals

6.	Sandra Day O'Connor College of Law - Arizona State University	04/01/22	Phoenix, AZ	Present lecture.	Transportation, Meals, Room
7.	The Federalist Society	04/05/22 to 04/06/22	Arlington, VA	Present lecture.	Transportation, Meals, Room
8.	State of Florida	04/12/22 to 04/13/22	Gainesville, FL	Present lecture.	Transportation, Meals, Room
9.	The Federalist Society	05/13/22 to 05/15/22	Chicago, IL	Present lecture and participate in conference panel.	Transportation, Meals, Room
10.	National Constitution Center	05/15/22 to 05/16/22	Coral Gables, FL	Participate in conference panel.	Transportation, Meals, Room
11.	American Law Institute	05/16/22 to 05/19/22	Washington, DC	Attend Annual Meeting.	Transportation, Meals, Room,
12.	The University of Tulsa College of Law	05/26/22 to 05/27/22	Tulsa and Oklahoma City, OK	Present lectures.	Transportation, Meals, Room
13.	The Federalist Society	06/02/22 to 06/05/22	Napa, CA	Participate in conference panel.	Transportation, Meals, Room
14.	The Supreme Court Historical Society	06/05/22 to 06/07/22	San Francisco, CA	Present lecture.	Transportation, Meals, Room
15.	Pennsylvania Department of Judicial Education	06/14/22 to 06/16/22	Bedford Springs, PA	Present lecture.	Transportation, Meals, Room
16.	National Security Institute, Antonin Scalia Law School	07/11/22 to 07/15/22	Padua, Italy	Teach and meet with students.	Transportation, Meals, Room
17.	National Foundation for Judicial Excellence	07/16/22	Chicago, IL	Deliver keynote address at symposium.	Transportation, Meals
18.	Institute of Judicial Administration at New York University School of Law	07/24/22 to 07/27/22	New York, NY	Present lectures.	Transportation, Meals, Room
19.	Quill Project, Pembroke College, University of Cambridge	07/27/22 to 07/31/22	Oxford, United Kingdom	Participate in workshop.	Transportation, Meals, Room
20.	Big Sky Labor and Employment Institute	08/03/22 to 08/09/22	Big Sky, MT	Moderate a conference panel.	Transportation, Meals, Room
21.	The Federalist Society	08/09/22 to 08/10/22	Park City, UT	Present lecture.	Transportation, Meals, Room
22.	State of Florida	09/06/22 to 09/08/22	Jensen Beach, FL	Present lecture.	Transportation, Meals, Room
23.	The Federalist Society	09/08/22 to 09/10/22	Birmingham, AL	Present lecture.	Transportation, Meals, Room
24.	National Association of Attorneys General	09/15/22 to 09/16/22	San Francisco, CA	Present lecture.	Transportation, Meals, Room
25.	The Federalist Society	10/06/22 to 10/07/22	Wichita, KS	Present lecture.	Transportation, Meals, Room
26.	The Federalist Society	10/11/22 to 10/12/22	Ann Arbor, MI	Present lecture.	Transportation, Meals
27.	The American Law Institute	10/20/22 to 10/21/22	New York, NY	Attend Council Meeting.	Transportation, Meals, Room
28.	Thomas R. Kline School of Law of Duquesne University	10/25/22 to 10/26/22	Pittsburgh, PA	Present lecture.	Transportation, Meals, Room

29.	The Federalist Society	11/09/22 to 11/11/22	Washington, DC	Participate in conference panel.	Transportation, Meals, Room
30.	Notre Dame Law School	11/17/22 to 11/19/22	South Bend, IN	Participate in conference panel.	Transportation, Meals, Room, Entertainment
31.	The American Law Institute	12/09/22	Chicago, IL	Attend meeting.	Transportation

Name of Person Reporting	Date of Report
Sutton, Jeffrey S.	04/28/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Sutton, Jeffrey S.	04/28/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Chase checking account	A	Interest	K	T					
2. Fidelity Investment Grade Bond Fund (IRA)	A	Interest	J	T					
3. Fidelity Cash Reserves Mutual Fund (IRA)	A	Interest	K	T	Sold (part)	01/10/22	K		
4. Fidelity Select Health Care Mutual Fund (IRA)	A	Dividend	L	T					
5. Fidelity Strategic Bond Fund (IRA)	A	Interest	J	T					
6. Fidelity Magellan Mutual Fund (IRA)	A	Dividend	K	T					
7. Fidelity Overseas Mutual Fund (IRA)	A	Dividend	K	T					
8. Vanguard Money Market Fund	A	Interest	L	T					
9. Vanguard S&P 500 Index Fund (IRA)	B	Dividend	M	T					
10. TIAA Traditional	A	Dividend	K	T					
11. CREF Stock	A	Dividend	L	T					
12. TIAA Real Estate	A	Dividend	J	T					
13. CREF Bond	A	Dividend	J	T					
14. T Rowe Price 2025 Fund Class	A	Dividend	M	T					
15.									
16.									
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Sutton, Jeffrey S.	04/28/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey S. Sutton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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