AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Srinivasan, Srikanth	U.S. Court of Appeals for the District of Columbia Circuit	5/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Court of Appeals Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	

7. Chambers or Office Address

E. Barrett Prettyman U.S. Courthouse and William B. Bryant Annex
333 Constitution Avenue, NW
Washington, D.C. 20001

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor of Law	Georgetown University Law Center
2.	Trustee/Grantor	Trust #1
3.	Adviser	American Law Institute
4.	Member	Edward Coke Appellate Inn of Court
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2023	Georgetown University Law Center - Teaching in 2024
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Georgetown University Law Center - Teaching Income	$5,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	The Washington Post
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	University of Kentucky Rosenberg College of Law	1/26/2023-1/29/2023	Lexington, Kentucky	John G. Heyburn II Initiative Jurist-in-Residence	Lodging, meals, transportation
2.	Northwestern Law School	3/7/2023-3/8/2023	Chicago, Illinois	2023 Contemporary Issues in Complex Litigation Conference Speaker	Lodging, meals, transportation
3.	Duke University School of Law	10/4/2023-10/5/2023	Durham, North Carolina	Lunchtime Event Speaker	Lodging, meals, transportation

4.	University of North Carolina School of Law	10/5/2023-10/6/2023	Chapel Hill, North Carolina	North Carolina Law Review 2023 Symposium Keynote Dialogue Participant	Lodging, meals, transportation
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Express	Credit Card	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	5/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Brinker Destinations IRA (H)									
2. - FDIC Bank Deposit Sweep (QIWSQ)	A	Interest	J	T					
3. - Destinations Large Cap Equity (DLCFX)	E	Dividend	N	T	Sold (part)	03/07/23	K	D	
4.					Sold (part)	07/25/23	K	D	
5. - Destinations Small Mid Cap Equity (DSMFX)	A	Dividend	L	T	Sold (part)	03/07/23	J	B	
6.					Sold (part)	07/25/23	J	B	
7. - Destinations International Equity (DIEFX)	C	Dividend	M	T	Buy (add'l)	03/07/23	K		
8.					Buy (add'l)	07/25/23	J		
9. - Destinations Equity Income (DGEFX)	A	Dividend	K	T	Sold (part)	07/25/23	J	A	
10. - Destinations Multi Strategy (DMSFX)	B	Dividend	K	T	Sold (part)	03/07/23	J	A	
11.					Buy (add'l)	07/25/23	J		
12. - Destinations Core Fixed Income (DCFFX)	C	Dividend	L	T	Buy (add'l)	03/07/23	J		
13.					Buy (add'l)	07/25/23	K		
14. - Destinations Low Duration Fixed Income (DLDFX)	A	Dividend	J	T	Sold (part)	03/07/23	J		
15. -Destinations Global Fixed Income (DGFFX)	B	Dividend	K	T	Buy (add'l)	03/07/23	J		
16.					Buy (add'l)	07/25/23	J		
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. Trust #1 - Brinker Destinations Brokerage Account (H)									
19. - Fidelity Cash Account	A	Interest	J	T					
20. - Destinations Multi Strategy (DMSFX)	B	Dividend	K	T	Sold (part)	03/07/23	J		
21.					Buy (add'l)	07/25/23	J		
22. - Destinations Low Duration Fixed Income (DLDFX)	A	Dividend	K	T	Sold (part)	03/07/23	J		
23. - Destinations Global Fixed Income (DGFFX)	B	Dividend	K	T	Buy (add'l)	03/07/23	J		
24.					Buy (add'l)	07/25/23	J		
25. - Destinations Municipal Fixed Income (DMFFX)	C	Dividend	M	T	Buy (add'l)	03/07/23	J		
26.					Buy (add'l)	07/25/23	J		
27. - Destinations Large Cap Equity (DLCFX)	D	Dividend	M	T	Sold (part)	03/07/23	J	A	
28.					Sold (part)	07/25/23	K	B	
29. - Destinations Small Mid Cap Equity (DSMFX)	A	Dividend	K	T	Sold (part)	03/07/23	J	A	
30.					Sold (part)	07/25/23	J	A	
31. - Destinations Intl Equity Fd (DIEFX)	B	Dividend	M	T	Buy (add'l)	03/07/23	J		
32.					Buy (add'l)	07/25/23	J		
33. - Destinations Equity Income Fd (DGEFX)	A	Dividend	J	T	Sold (part)	07/25/23	J	A	
34.									

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35.									
36. American Funds Roth IRA (H)									
37. - American Funds AMCAP (AMCPX)	A	Dividend	K	T					
38. - American Funds New World (NEWFX)	A	Dividend	J	T					
39. - American Funds Small Cap World (SMCWX)	A	Dividend	J	T					
40. - American Funds Capital World Growth & Income (CWGIX)	A	Dividend	J	T					
41. - American Funds Washington Mutual (AWSHX)	A	Dividend	J	T					
42. - American Funds Income Fund of America (AMECX)	A	Dividend	J	T					
43.									
44.									
45. Wells Fargo Cash Account (H)	A	Interest	M	T					
46. Citibank Cash Account #1 (H)	A	Interest	J	T					
47.									
48. American Funds College Savings Plan (529) #1 (H)									
49. - College 2024 Fund-529F2 (FACRX)	D	Dividend	M	T	Buy (add'l)	02/09/23	L		
50. -AF U.S. Government Money Market-529F2 (FFFXX)	A	Dividend	J	T	Buy (add'l)	02/09/23	K		
51.					Distributed (part)	03/13/23	J		

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2. Value Codes (See Columns C1 and D3)
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52.					Distributed (part)	03/13/23	K		
53.					Distributed (part)	10/16/23	J		
54. -College Enrollment Fund-529F2 (FAADX)	A	Dividend	J	T	Sold (part)	02/09/23	L		
55.					Sold (part)	02/09/23	K		
56.					Distributed (part)	09/11/23	K		
57.									
58. American Funds College Savings Plan (529) #2 (H)									
59. -College 2024 Fund-529F2 (FACRX)	D	Dividend	M	T	Buy (add'l)	02/09/23	L		
60. -AF U.S. Government Money-Market 529F2 (FFFXX)	A	Dividend	J	T	Buy (add'l)	02/09/23	K		
61.					Distributed (part)	03/13/23	J		
62.					Distributed (part)	03/13/23	J		
63. -College Enrollment Fund-529F2 (FAADX)	A	Dividend	J	T	Sold (part)	02/09/23	L		
64.					Sold (part)	02/09/23	K		
65.					Distributed (part)	09/11/23	K		
66. -Preservation Portfolio-529F2 (FPPPX)	A	Dividend	J	T					
67.									
68.									

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2. Value Codes (See Columns C1 and D3)
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69. Mass Mutual Variable Life Insurance Policy (H)									
70. -Mass Mutual VUL Guard Guaranteed Account	B	Interest	L	T	Buy (add'l)	08/15/23	J		
71. -Deutsche Small Cap Index		None	K	T	Buy (add'l)	08/15/23	J		
72. -Mass Mutual VUL Guard Small Cap Equity		None	K	T					
73.									
74. LPL Financial TOD Account 1 (H)									
75. -US Bank National Association Insured Cash Account (X)	A	Interest	K	T					
76. -SPDR Mortgage Backed Bond ETF (SPMB) (X)	A	Dividend	J	T					
77. -SPDR Bloomberg Barclays Short Term High Yield Bond ETF (SJNK)(X)	B	Dividend	J	T					
78. -SPDR Portfolio Emerging Markets ETF (SPEM) (X)	A	Dividend	J	T					
79. -SPDR Portfolio Developed World Ex-US ETF (SPDW) (X)	B	Dividend	K	T					
80. -SPDR Portfolio Intermediate Term Corp Bond ETF (SPIB) (X)	B	Dividend	K	T					
81. -SPDR Portfolio Aggregate Bond ETF (SPAB) (X)	B	Dividend	K	T					
82. -SPDR Portfolio S&P 500 ETF (SPLG) (X)	B	Dividend	M	T					
83. -SPDR Portfolio Short Term Treasury ETF (SPTS) (X)	A	Dividend	J	T					
84. -SPDR S&P Intl Small Cap ETF (GWX) (X)	A	Dividend	J	T					
85. -Widsomtree US Small Cap ETF (EES) (X)	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. -Wisdomtree US Short Term Corp Bond ETF (SFIG) (X)	A	Dividend	K	T					
87.									
88. LPL Financial TOD Account 2 (H)									
89. -US Bank National Association Insured Cash Account (X)	A	Interest	J	T					
90. -JPMorgan Liquid Assets Money Market Fund (PJLXX) (X)	B	Dividend	L	T	Buy	01/13/23	K		
91.									
92. LPL PTC Cust Rollover IRA (H)									
93. Morgan Stanley Insured Cash Account (X)	A	Interest	J	T					
94. -Eventide Gilead Fund (ETILX) (X)		None	J	T	Buy (add'l)	02/01/23	J		
95. -Impax Global Environmantal Markets Fund (PGINX) (X)	A	Dividend	J	T	Sold (part)	02/01/23	J		
96. -Impax International Sustainable Economy Fund (PXNIX) (X)	B	Dividend	K	T	Sold (part)	02/01/23	J		
97. -Impax Small Cap Fund (PXSIX) (X)		None	K	T					
98. -Impax Ellevate Global Women's Leadership Fund (PXWIX) (X)	A	Dividend	K	T					
99. -iShares ESG Aware MSCI EM ETF (ESGE) (X)	A	Dividend	J	T					
100. -Paranassus Core Equity Fund (PRBLX) (X)	D	Dividend	M	T	Buy (add'l)	02/01/23	J		
101. -Touchstone Sands Capital Intl Growth Equity Fund (TPYYX) (X)	B	Dividend	K	T	Sold (part)	02/01/23	J		
102. -Trillium ESG Global Equity Fund (PORIX) (X)	A	Dividend	J	T					

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103.									
104. Citibank Cash Account #2 (H) (X)	A	Interest	L	T					
105.									
106. Vanguard Target Retirement 2045 Fund (VTIVX) (H)(X)	B	Dividend	L	T					
107.									
108.									
109.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	5/15/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

We note that the asset listed as "Citibank Cash Account (H)" in row 53 of the Financial Disclosure Report for Calendar Year 2022 has been renamed "Citibank Cash Account #1 (H)"(row 46) given the addition of "Citibank Cash Account #2 (H)(X)" in row 104 that is newly reportable because of marriage.

We note that all assets including an (X) at the end of their description are newly reportable because of marriage.

Name of Person Reporting	Date of Report
Srinivasan, Srikanth	5/15/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Srikanth Srinivasan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
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