Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Schroeder, Mary M.
U.S. Court of Appeals - Ninth Circuit
Senior Circuit Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Schroeder, Mary M. [electronically signed on May 15, 2026 2:15pm by Schroeder, Mary M. in JEFS]
/s/ Schroeder, Mary M. [electronically signed on Apr 24, 2026 1:52pm by Schroeder, Mary M. in JEFS]

I. Positions

	ORGANIZATION/ENTITY NAME	ORGANIZATION/ENTITY TYPE	POSITION HELD
1.	AMERICAN LAW INSTITUTE	Non-Profit	COUNCIL MEMBER - EMERITUS
2.	TRUST #1	Trust	TRUSTEE
	Additional Explanation: Investments for this Trust are listed in Section VII of this report are under Headers (ACCOUNTS #4 and #5)		
3.	LOWELL OBSERVATORY	Non-Profit	ADVISORY BOARD MEMBER

II. Agreements

I do not have any Agreements to report
No entries in this section

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

	SOURCE	DESCRIPTION	HONORARIUM	HONORARIUM AMOUNT	HONORARIUM DATE
1.	ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT	No	$0.00	

IV. Reimbursements

	SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	AMERICAN LAW INSTITUTE	Jan 22, 2025	Jan 24, 2025	WASHINGTON, D.C.	MEETING	TRANSPORTATION & HOTEL

V. Gifts

I do not have any Gifts to report
No entries in this section

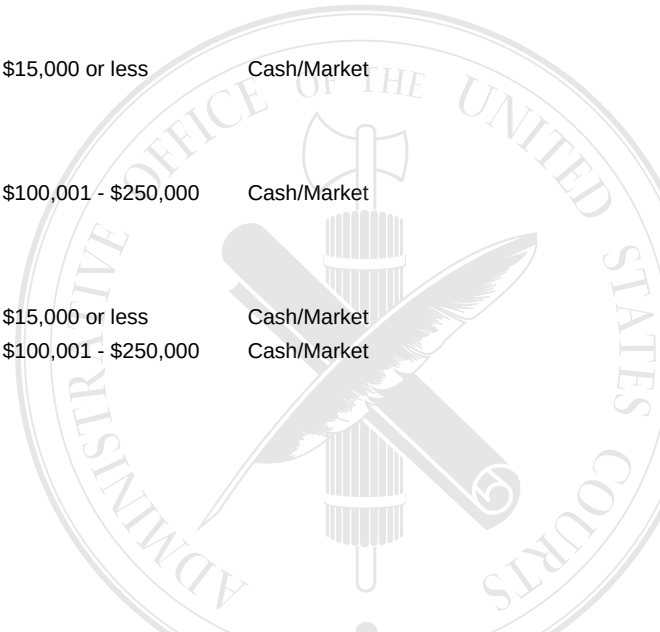
VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**AZ STATE CREDIT UNION ACCOUNTS**	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Distributed	Sep 18, 2025	$15,001 - $50,000	
2.	**(H) CWC ACCOUNT #1**				
2-A.	BIMBX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	May 27, 2025	$15,000 or less	
2-B.	BRTR	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Feb 6, 2025	$15,000 or less	
2-C.	FDRXX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Apr 29, 2025	$15,000 or less	
	(2).	Buy (add'l)	Sep 12, 2025	$15,000 or less	
	(3).	Buy (add'l)	Oct 7, 2025	$15,000 or less	
	(4).	Buy (add'l)	Nov 7, 2025	$15,000 or less	
	(5).	Buy (add'l)	Dec 5, 2025	$15,000 or less	
2-D.	FIDELITY CASH	$1,000 or less	Interest	$15,000 or less	Cash/Market
	Additional Explanation: Cash was swept from this account on a regular basis to make the purchases indicated for FDRXX. No money left in account at end of reporting period, however it did earn more than $200 interest during the reporting period so it was still reportable.				
2-E.	LFLIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	May 27, 2025	$15,000 or less	
2-F.	MAHQX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	May 28, 2025	$15,000 or less	
2-G.	PIPNX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	May 28, 2025	$15,000 or less	
2-H.	THIIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Feb 6, 2025	$15,000 or less	
	(2).	Sold (part)	May 27, 2025	$15,000 or less	
3.	**(H) CWC ACCOUNT #2**				
3-A.	-AOVIX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 30, 2025	$15,000 or less	
	(2).	Sold	May 22, 2025	$15,001 - $50,000	
3-B.	BDMIX	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
3-C.	BIMBX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
3-D.	BIVIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
3-E.	BROIX	$5,001 - $15,000	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
	(2).	Sold	Dec 30, 2025	$15,000 or less	
3-F.	BRTR	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
3-G.	BTC		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 30, 2025	$15,000 or less	
3-H.	FDRXX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
3-I.	GPEIX	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
3-J.	GQGIX	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
3-K.	HFGM	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
3-L.	HGER	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
3-M.	JAVA	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 30, 2025	$15,001 - $50,000	
3-N.	JUESX	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Dec 23, 2025	$15,001 - $50,000	
3-O.	LFLIX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
3-P.	MAHQX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
3-Q.	OABIX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Dec 10, 2025	$15,001 - $50,000	
3-R.	ODIDX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Nov 13, 2025	$15,000 or less	
3-S.	PCBIX	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
3-T.	PIMIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
3-U.	PRILX	$15,001 - $50,000	Dividend	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
	(2).	Sold (part)	Dec 30, 2025	$15,000 or less	

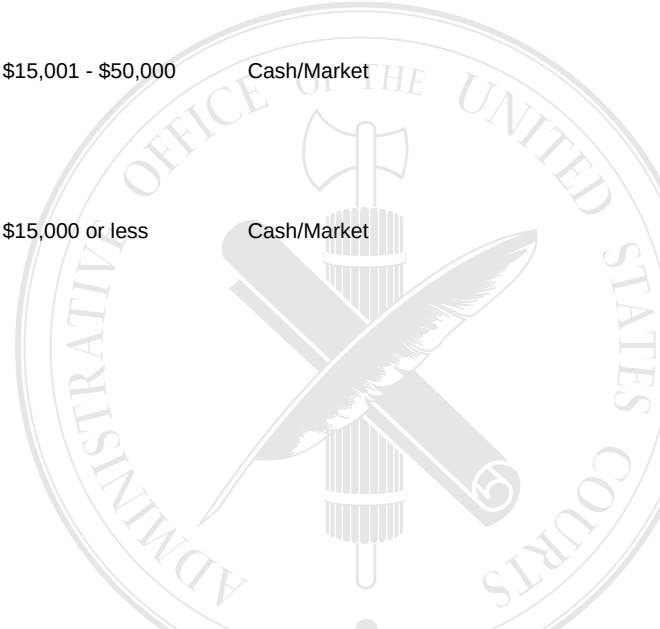


	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
3-V.	PVAL	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 30, 2025	$15,000 or less	
3-W.	REVIX	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Oct 27, 2025	$15,000 or less	
3-X.	SMLF		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Dec 30, 2025	$15,001 - $50,000	
3-Y.	THIIX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
3-Z.	VKSIX	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Dec 30, 2025	$15,001 - $50,000	
3-AA.	VT	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	May 22, 2025	$15,001 - $50,000	
	(2).	Sold	Oct 24, 2025	$15,001 - $50,000	
3-AB.	YFSIX	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market

4. **(H) CWC ACCOUNT #3**

Additional Explanation: CWC are not initials for an individual or family member. CWC are the initials for the financial management company.

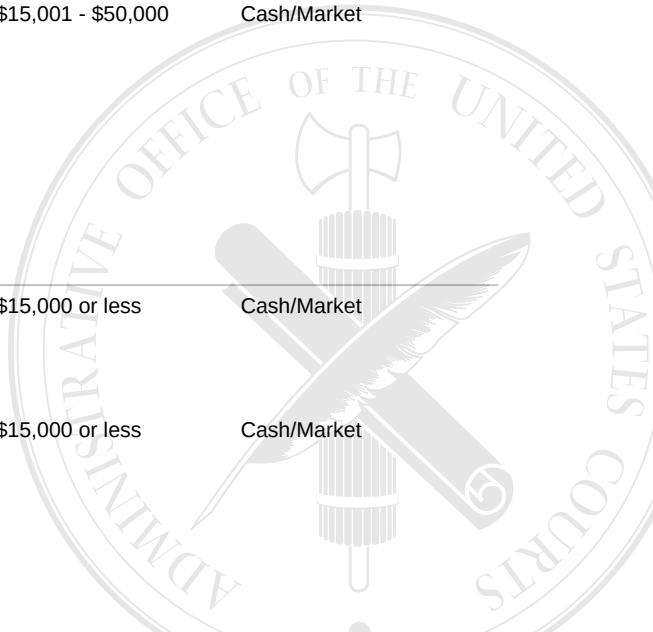
4-A.	BDMIX	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
4-B.	BIMBX		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Sep 3, 2025	$15,000 or less	
4-C.	BIVIX		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jun 2, 2025	$15,000 or less	
4-D.	BKCI	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jun 2, 2025	$15,000 or less	
4-E.	BROIX	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,001 - $50,000	
	(2).	Buy (add'l)	Jun 2, 2025	$15,001 - $50,000	
4-F.	BRTR	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Feb 6, 2025	$15,001 - $50,000	
	(2).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
4-G.	BTC		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Mar 10, 2025	$15,000 or less	
4-H.	DISRX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jan 3, 2025	$15,001 - $50,000	
4-I.	FBTC		None		



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Mar 10, 2025	$15,000 or less	
4-J.	FDRXX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
4-K.	GPEIX		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Jun 2, 2025	$15,000 or less	
4-L.	GPGCX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jun 2, 2025	$15,001 - $50,000	
4-M.	GQGIX		None	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Jun 2, 2025	$15,000 or less	
4-N.	HFGM		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,000 or less	
	(2).	Buy (add'l)	Sep 3, 2025	$15,000 or less	
4-O.	HGER		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,000 or less	
	(2).	Buy (add'l)	Jun 20, 2025	$15,000 or less	
4-P.	IAU		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Apr 16, 2025	$15,000 or less	
	(2).	Sold	Jun 2, 2025	$15,000 or less	
4-Q.	IUSV	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$50,001 - $100,000	
	(2).	Sold (part)	Jun 2, 2025	$100,001 - $250,000	
	(3).	Sold	Jun 3, 2025	$15,000 or less	
4-R.	IVLU		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jan 3, 2025	$15,001 - $50,000	
4-S.	JAVA	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$50,001 - $100,000	
4-T.	JEPI	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jun 2, 2025	$15,000 or less	
	(2).	Sold	Jun 3, 2025	$15,000 or less	
4-U.	JUESX	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Buy (add'l)	Jun 2, 2025	$50,001 - $100,000	
	(3).	Sold (part)	Sep 3, 2025	$15,000 or less	
4-V.	LFLIX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

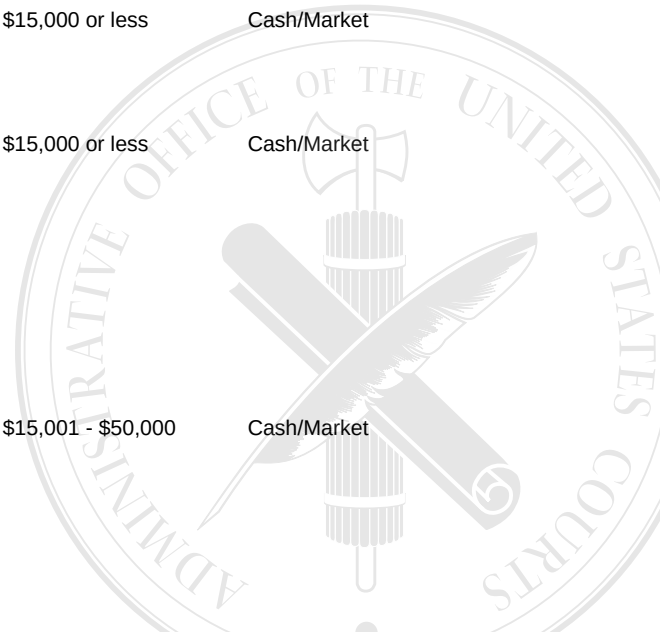
ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Sep 3, 2025	$15,000 or less	
4-W.	MAHQX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Sep 3, 2025	$15,000 or less	
4-X.	ODIDX	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
4-Y.	PCBIX		None	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
4-Z.	PFLEX	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Aug 8, 2025	$15,000 or less	
4-AA.	PIMIX	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Sep 3, 2025	$15,000 or less	
4-AB.	PRILX	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jan 3, 2025	$15,001 - $50,000	
	(2).	Buy (add'l)	Jun 2, 2025	$15,001 - $50,000	
4-AC.	PVAL	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$50,001 - $100,000	
4-AD.	REVIX		None	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 6, 2025	$15,000 or less	
	(2).	Buy (add'l)	Jun 2, 2025	$15,001 - $50,000	
4-AE.	THIXX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Feb 6, 2025	$15,001 - $50,000	
	(2).	Sold (part)	Sep 3, 2025	$15,000 or less	
4-AF.	VKSIX		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Buy (add'l)	Sep 3, 2025	$15,000 or less	
4-AG.	YFSIX		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jan 3, 2025	$15,001 - $50,000	
	(2).	Sold (part)	Jun 20, 2025	$15,001 - $50,000	
	(3).	Sold (part)	Jun 20, 2025	$15,000 or less	
5.	**(H) CWC ACCOUNT #4 (TRUST #1 - 1ST)**				
5-A.	BDMIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
5-B.	BIVIX		None	$15,000 or less	Cash/Market

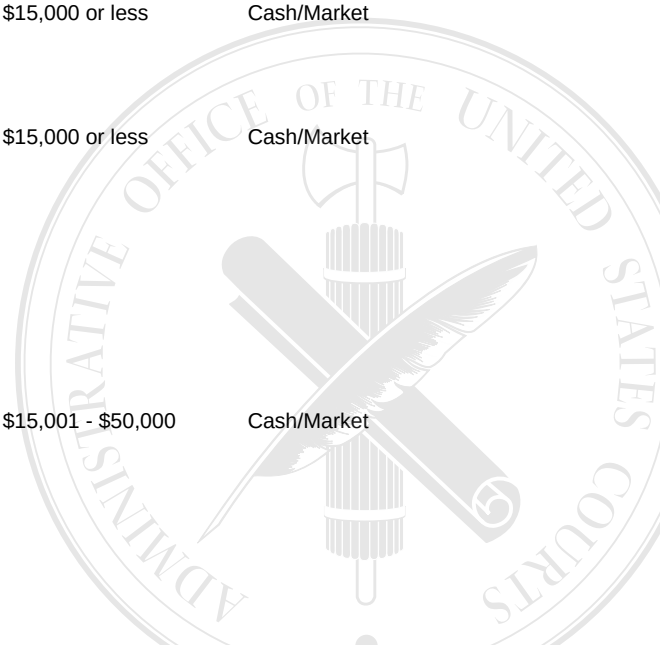


	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jun 2, 2025	$15,000 or less	
5-C.	BKCI	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jun 2, 2025	$15,001 - $50,000	
5-D.	BTC		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Mar 10, 2025	$15,000 or less	
5-E.	CGGR		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Apr 15, 2025	$15,001 - $50,000	
	(3).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
5-F.	CORO	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,001 - $50,000	
5-G.	DSTL	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Apr 17, 2025	$15,001 - $50,000	
	(3).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
5-H.	DYNF	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
	(3).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
5-I.	EMGF	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jan 3, 2025	$15,000 or less	
5-J.	FBTC		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Mar 10, 2025	$15,000 or less	
5-K.	FDRXX	$1,000 or less	Dividend	$15,000 or less	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Apr 29, 2025	$15,001 - $50,000	
	(2).	Buy (add'l)	May 1, 2025	$15,000 or less	
	(3).	Buy (add'l)	May 30, 2025	$15,000 or less	
	(4).	Buy (add'l)	Jun 3, 2025	$15,000 or less	
	(5).	Buy (add'l)	Jun 26, 2025	$15,000 or less	
	(6).	Buy (add'l)	Jul 3, 2025	$15,000 or less	
	(7).	Buy (add'l)	Jul 10, 2025	$15,000 or less	
	(8).	Buy (add'l)	Aug 4, 2025	$15,000 or less	
	(9).	Buy (add'l)	Aug 27, 2025	$15,000 or less	
	(10).	Buy (add'l)	Sep 3, 2025	$15,001 - $50,000	
	(11).	Buy (add'l)	Sep 9, 2025	$15,000 or less	
	(12).	Buy (add'l)	Sep 10, 2025	$15,000 or less	
	(13).	Buy (add'l)	Sep 11, 2025	$15,000 or less	
	(14).	Buy (add'l)	Sep 22, 2025	$15,000 or less	
	(15).	Buy (add'l)	Sep 24, 2025	$15,000 or less	
	(16).	Buy (add'l)	Sep 26, 2025	$15,000 or less	
	(17).	Buy (add'l)	Oct 3, 2025	$15,000 or less	
	(18).	Buy (add'l)	Oct 10, 2025	$15,000 or less	
	(19).	Buy (add'l)	Oct 23, 2025	$15,000 or less	
5-L.	FELV	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Apr 15, 2025	$15,001 - $50,000	
5-M.	FIDELITY CASH	$1,000 or less	Interest	$15,000 or less	Cash/Market
5-N.	FPADX		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jan 3, 2025	$15,000 or less	
5-O.	FSPSX		None	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
	(2).	Sold (part)	Aug 29, 2025	$15,000 or less	
5-P.	GPGCX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jan 3, 2025	$15,000 or less	
5-Q.	HFGM		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,000 or less	
5-R.	HGER		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,000 or less	
5-S.	IAU		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Apr 24, 2025	$15,000 or less	
5-T.	IJR	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Apr 10, 2025	$15,000 or less	
	(2).	Sold	Apr 11, 2025	$15,000 or less	
5-U.	INTF	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

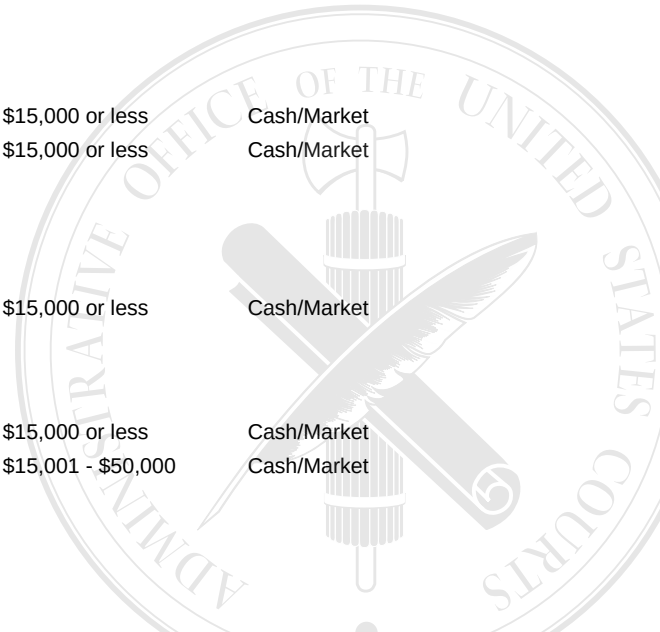


	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
5-V.	IUSV	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Apr 15, 2025	$15,001 - $50,000	
	(3).	Buy (add'l)	Oct 24, 2025	$15,001 - $50,000	
5-W.	JAVA	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold	Jun 2, 2025	$15,000 or less	
5-X.	JEPI	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Sold (part)	Sep 5, 2025	$15,000 or less	
5-Y.	JQUA	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Jan 3, 2025	$15,000 or less	
	(2).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
5-Z.	PBAIX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jun 2, 2025	$15,000 or less	
5-AA.	PCBIX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Jan 3, 2025	$15,001 - $50,000	
	(2).	Sold	Oct 24, 2025	$15,001 - $50,000	
5-AB.	PRILX	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Sep 5, 2025	$15,000 or less	
	(2).	Sold	Oct 24, 2025	$15,001 - $50,000	
5-AC.	PVAL	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,000 or less	
	(2).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
5-AD.	QDSIX		None	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jun 2, 2025	$15,000 or less	
5-AE.	QGRO	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Apr 15, 2025	$15,000 or less	
5-AF.	QQQ	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Apr 15, 2025	$15,000 or less	
	(2).	Sold	Oct 24, 2025	$15,000 or less	
5-AG.	REVIX		None	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Oct 27, 2025	$15,000 or less	
5-AH.	RSP	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jan 3, 2025	$15,000 or less	
	(2).	Sold	Jun 2, 2025	$15,000 or less	
5-AI.	SMLF	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Apr 10, 2025	$15,000 or less	
	(2).	Buy (add'l)	Jun 2, 2025	$15,000 or less	
5-AJ.	SPY	$1,000 or less	Dividend		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Mar 12, 2025	$15,001 - $50,000	
	(2).	Sold	Apr 14, 2025	$15,001 - $50,000	
5-AK.	SUPP		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Jan 3, 2025	$15,000 or less	
5-AL.	VFLO	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Apr 17, 2025	$15,001 - $50,000	
	(2).	Sold (part)	Oct 24, 2025	$15,000 or less	
5-AM.	VO	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy	Jan 3, 2025	$15,000 or less	
	(2).	Buy (add'l)	Oct 24, 2025	$15,000 or less	
5-AN.	VOO	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Mar 12, 2025	$15,001 - $50,000	
	(2).	Buy (add'l)	Apr 14, 2025	$15,001 - $50,000	
	(3).	Buy (add'l)	Oct 24, 2025	$15,001 - $50,000	
5-AO.	YACKX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Aug 5, 2025	$15,000 or less	
	(2).	Sold	Aug 29, 2025	$15,001 - $50,000	
6.	**(H) CWC ACCOUNT #5 (TRUST #1 - 2ND)**				
6-A.	BDMIX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
6-B.	BIVIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-C.	CGGR	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-D.	CORO	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Dec 4, 2025	$15,000 or less	
6-E.	DSTL	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 3, 2025	$15,000 or less	
6-F.	DYNF	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Dec 4, 2025	$15,000 or less	
6-G.	EMGF	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 3, 2025	$15,000 or less	
6-H.	FDRXX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Oct 30, 2025	$15,000 or less	
	(2).	Buy (add'l)	Nov 4, 2025	$15,000 or less	
	(3).	Sold (part)	Nov 12, 2025	$15,000 or less	
	(4).	Sold (part)	Dec 11, 2025	$15,000 or less	
6-I.	FELV		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Dec 4, 2025	$15,001 - $50,000	
6-J.	FIDELITY CASH	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
6-K.	FPADX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 3, 2025	$15,000 or less	
6-L.	FSPSX	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Dec 4, 2025	$15,000 or less	
6-M.	HFGM	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-N.	HGER	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-O.	INTF	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Dec 4, 2025	$15,000 or less	
6-P.	IUSV	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
6-Q.	JEPI	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold (part)	Dec 4, 2025	$15,000 or less	
6-R.	JQUA	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 3, 2025	$15,000 or less	
	(2).	Sold (part)	Dec 8, 2025	$15,000 or less	
6-S.	PVAL	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 3, 2025	$15,000 or less	
	(2).	Sold (part)	Dec 8, 2025	$15,000 or less	
6-T.	QDSIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-U.	QGRO	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-V.	REVIX		None		
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Sold	Dec 4, 2025	$15,001 - $50,000	
6-W.	SMLF	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions	**Transaction Type**	**Transaction Date**	**Transaction Value**	
	(1).	Buy (add'l)	Dec 3, 2025	$15,000 or less	
6-X.	VFLO	$1,000 or less	Dividend	$15,000 or less	Cash/Market
6-Y.	VO	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
6-Z.	VOO	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 3, 2025	$15,001 - $50,000
(2).	Sold (part)	Dec 4, 2025	$15,000 or less

7.	**FIDELITY MIDCAP (NATIONWIDE)**	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Distributed	Nov 6, 2025	$15,000 or less

8.	**JP MORGAN CHASE ACCOUNTS (TRUST #1)**	$1,000 or less	Interest	$15,000 or less	Cash/Market
9.	**LINCOLN NAT'L LIFE INSURANCE - WHOLE LIFE POLICY**	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Distributed	Sep 26, 2025	$15,001 - $50,000

10.	**TIAA TRADITIONAL (RET. ANN)**	$2,501 - $5,000	Interest	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Distributed (part)	Sep 30, 2025	$100,001 - $250,000
(2).	Distributed (part)	Dec 31, 2025	$15,001 - $50,000

VIII. Additional Information or Explanations

	ADDITIONAL INFORMATION OR EXPLANATION
1.	IN SECTION VII. INVESTMENS AND TRUSTS, CWC REFERENCE IN THE VARIOUS HEADERS IS NOT A PERSONAL IDENTIFIER OF ANY INDIVIDUAL. CWC IS THE IDENTIFIER OF THE FINANCIAL MANAGEMENT FIRM THAT MANAGES THESE INVESTMENTS.