AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rushing, Allison J.	U.S. Court of Appeals for the Fourth Circuit	05/07/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Court of Appeals Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
100 Otis Street Suite 110 Asheville, NC 28801		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2017	Williams & Connolly defined benefit plan - the law firm ceased making contributions to the plan after my separation in 2019
2.		
3.		

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Rushing, Allison J.	05/07/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	CrossCountry Consulting LLC, wages
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Antonin Scalia Law School, George Mason University	October 20-21, 2021	Washington, D.C.	Symposium on Justice Thomas's Thirty-Year Legacy on the Supreme Court	Transportation, hotel, meal
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Rushing, Allison J.	05/07/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Navy Federal Credit Union cash accounts	B	Interest	M	T					
2. Investment Account (H)									
3. -Morgan Stanley Bank NA	A	Interest	J	T					
4. -Vanguard REIT Index Admiral	A	Dividend	K	T					
5. -Vanguard Mid-Cap ETF Index	B	Dividend	M	T	Buy (add'l)	03/03/21	J		
6. -IShares Global 100 ETF	B	Dividend	M	T	Buy (add'l)	03/03/21	J		
7. -Pioneer Mlt Asset Ultra-short Inc Y	A	Dividend	K	T	Buy (add'l)	03/03/21	J		
8. -Vanguard 500 Index Admiral	B	Dividend	M	T					
9. -SPDR S&P 500 ETF	C	Dividend	M	T	Sold (part)	03/03/21	J	B	
10. -Oakmark Equity & Income Adv					Buy (add'l)	03/03/21	J		
11.					Sold	07/09/21	K		
12. -Oakmark Equity & Income Inst	C	Dividend	K	T	Buy	07/09/21	K		
13. -Neuberger Berman EQ Inc Ins	A	Dividend	J	T	Buy (add'l)	03/03/21	J		
14. -Thornburg Inv Inc Builder I	A	Dividend	J	T	Buy (add'l)	03/03/21	J		
15. -AB Sel US Long/Short ADV	D	Dividend	L	T					
16. -ACAP Strategic Interval Common	B	Dividend	L	T					
17. -American Funds 529 College 2036 A	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. -American Funds 529 College 2036 C	C	Dividend	K	T	Buy (add'l)	01/04/21	J		
19.					Buy (add'l)	02/01/21	J		
20.					Buy (add'l)	03/01/21	J		
21.					Buy (add'l)	04/01/21	J		
22.					Buy (add'l)	05/03/21	J		
23.					Buy (add'l)	06/02/21	J		
24.					Buy (add'l)	07/01/21	J		
25.					Buy (add'l)	08/02/21	J		
26.					Buy (add'l)	09/01/21	J		
27.					Buy (add'l)	10/01/21	J		
28.					Buy (add'l)	11/01/21	J		
29.					Buy (add'l)	12/01/21	J		
30. -Calamos Market Neutral Inc I	A	Dividend	L	T					
31. -Delaware Value Institutional	E	Dividend	M	T	Buy (add'l)	03/01/21	J		
32. -Guggenheim Total Return Bond Fund I	B	Dividend	K	T	Buy (add'l)	03/03/21	J		
33. -Invesco Developing Mkts Y	C	Dividend	L	T	Buy (add'l)	03/03/21	J		
34. -Lord Abbett Short Duration Inc F	B	Dividend	L	T	Sold (part)	06/30/21	J	A	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35.					Sold (part)	08/24/21	J	A	
36.					Sold (part)	11/23/21	J	A	
37. -Metropolitan West Total Return Bond I	A	Dividend	K	T					
38. -Oakmark International Advisors					Buy (add'l)	03/03/21	J		
39.					Sold	07/09/21	L		
40. -Oakmark International Inst			L	T	Buy	07/09/21	L		
41. -Pimco Total Return 12	B	Dividend	K	T					
42. -Principal Blue Chip I	D	Dividend	L	T	Buy (add'l)	03/03/21	J		
43. -T Rowe Price Japan Fund	B	Dividend	K	T	Buy (add'l)	03/03/21	J		
44. -Vanguard FTSE Developed Mkts E	C	Dividend	L	T	Buy (add'l)	03/03/21	J		
45. -Vanguard Growth ETF	A	Dividend	L	T	Buy (add'l)	03/03/21	J		
46. -Virtus Kar Small Mid Cap Core I	A	Dividend	K	T	Buy (add'l)	03/03/21	J		
47. -Guggenheim Macro Opport I	A	Dividend	J	T	Buy	03/03/21	J		
48. CrossCountry Consulting Fidelity AF Target Date 2055 R6 Fund	D	Dividend	M	T					
49. Ernst & Young Fidelity 401(k) (H)									
50. -Dodge & Cox Stock	A	Dividend	J	T					
51. -TRP New Horizons I	A	Dividend	J	T					

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52. -Vang Cap Opps ADM	A	Dividend	J	T					
53. -Vanguard Target 2015	A	Dividend	J	T					
54. -Vang Sm Val IDX Inst					Sold	01/05/21	J		
55. -Blackrock R2500 IDX	A	Dividend	J	T	Buy	01/05/21	J		
56. -Fid 500 Index IPR	A	Dividend			Sold	08/24/21	J		
57. -SP500 Index PL CL E	A	Dividend	J	T	Buy	08/24/21	J		
58. -AF New Perspect R6	A	Dividend	J	T					
59. -Vanguard Target 2050	A	Dividend	J	T					
60. -AF Europac Growth R6	A	Dividend	J	T					
61. -Fid Divsfd Intl Pool	A	Dividend			Sold	08/24/21	J		
62. -Fid Div Intl PL CL 3	A	Dividend	J	T	Buy	08/24/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting	Date of Report
Rushing, Allison J.	05/07/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Allison J. Rushing

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544