AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rosenbaum, Robin S.	U.S. Court of Appeals for the Eleventh Circuit	05/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Courthouse 299 E. Broward Blvd., Rm. 108 Fort Lauderdale, FL 33301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Executive Board Member	Federal Judges Association
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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Rosenbaum, Robin S.	05/11/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Holland & Knight -- salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	4/29/22-5/3/22	Washington, DC	FJA Executive Board and Board of Directors Meeting	2 nights' hotel, airfare, meals, taxi
2.	Jederal Judges Association	2/25/22-2/27/22	Washington, DC	FJA Executive Board and Board of Directors Meeting	2 nights' hotel, airfare, meals, taxi
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Rosenbaum, Robin S.	05/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	AF Europac Growth R6	A	Int./Div.	K	T					
2.	Ameritrade Mondy Market Account	A	Interest	J	T					
3.	Astropower common		None	J	T					
4.	Bank of America--check/savings	A	Interest	J	T					
5.	Cisco Systems common	A	Dividend	K	T					
6.	CoinBase Global common		None	J	T	Buy	04/05/22	K		
7.	DiscoverBank Savings and Money Market Account & CDs	C	Interest	N	T					
8.	Domini Impact Equity	A	Int./Div.	K	T					
9.	Earnest SM Mid Core Fund	A	Dividend	M	T					
10.	Ericsson L M Tel Co. ADR	A	Dividend	J	T					
11.	Fidelity Cash Reserves - Government Money Market	A	Dividend	J	T					
12.	Fidelity Contrafund (IRA)	A	Int./Div.	M	T					
13.	Fidelity Municipal Money Market Fund	A	Interest	J	T					
14.	Fidelity Retirement Government Money Market Rund (IRA)	A	Dividend	K	T					
15.	Fidelity Unique NH Portfolio 2024 Index	A	Int./Div.	J	T	Sold (part)	10/26/22	L	A	
16.	Fidelity Unique NH Bank Deposit Portfolio	A	Int./Div.	M	T	Buy (add'l)	10/26/22	K		
17.	Fidelity Total Market Index Fund	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. Fidelity Small Cap Index Fund	A	Int./Div.	J	T					
19. Fidelity 500 Index	A	Int./Div.	K	T					
20. Harbor Capital Appreciation	A	Int./Div.	L	T					
21. Intel Corp.	A	Dividend	K	T					
22. Janus Henderson Forty Fund	A	Dividend	K	T					
23. Janus Global Research Fund	A	Dividend	K	T					
24. Lumentum Holdings, Inc. common		None	J	T					
25. MassMutual VUL: Inv. V.I. Capital Appreciation Fund		None	K	T					
26. MassMutual VUL: Inv.V.I. Discovery Midcap Growth Fund		None	K	T					
27. NVIDIA common	A	Dividend	K	T	Buy (add'l)	04/05/22	J		
28. Parnassus Mid Cap Growth Fund	A	Int./Div.	L	T					
29. Precigen Inc.		None	J	T	Buy	11/01/22	J		
30. PRU Total Resturn Bond Fund-Holland & Knight 401k	A	Int./Div.	K	T					
31. Roku Inc. common		None	J	T					
32. T. Rowe Price 529 College Savings Plan Portfolio 2027	A	Int./Div.	K	T	Buy	05/16/22	K		
33. T. Rowe Price 529 College Savings Plan Portfolio 2024	A	Interest			Sold	02/25/22			
34. T. Rowe Price 529 College Savings Plan Money Market Portfoliio	A	Int./Div.	L	T					

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35. T. Rose Price Blue Chip Growth Fund	A	Int./Div.	K	T					
36. T. Rowe Price Health Sciences Fund ([redacted]) - Account 1	A	Int./Div.	J	T					
37. T. Rowe Price Health Scienses Fund ([redacted]) - Account 2	A	Int./Div.	J	T					
38. Universal Display Corp. common	A	Dividend	K	T					
39. Viavi Solutions, Inc. common		None	J	T					
40. Advanced Micro Devices		None			Sold	12/20/22	J	A	
41. Apple	A	Dividend	K	T					
42. Ameritrade Money Market Account	A	Interest	J	T					
43. Microsoft	A	Dividend	J	T					
44. Trust #1	A	Interest	N	T					
45. -MML VUL: MassMutual Life Blend		None	K	T					
46. -MML VUL: Invesco V.I Main Street		None	L	T					
47. -MML VUL: Janus Henderson Forty		None	K	T					
48. -MML VUL: Inv. V.I Discovery Mid-Cap Growth		None	L	T					
49. -MML VUL: T. Rowe Price Mid-Cap Growth		None	L	T					
50. -MML VUL: Invesco V.I Global		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

1. Lines 40-43 are held by my [redacted], but I am listed as [redacted] tenant on [redacted] account. This has been the case for several years. I derive no income or other benefits from my [redacted] investments.

2. Lines 45-50 list the assets in Trust #1. I do not receive any income or other benefits from this trust or from serving as the trustee, nor am I a beneficiary of the trust.

3. Line 44 reflects the cumulative value of Trust #1. As I indicated in Note 2 above, lines 45-50 list hte specific mutual-fund holdings that make up this Trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robin S. Rosenbaum

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544