AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rosenbaum, Robin S.	U.S. Court of Appeals for the Eleventh Circuit	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 299 E. Broward Blvd., Room 108 Fort Lauderdale, Florida 33301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Executive Board Member	Federal Judges Association
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Holland & Knight -- salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rosenbaum, Robin S.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	AF Europac Growth R6	A	Int./Div.	K	T	Buy	05/05/21	K		
2.	Ameritrade Money Market Account	A	Interest	J	T					
3.	Astropower common		None	J	T					
4.	Bank of America--check/savings	A	Interest	J	T					
5.	Cisco Systems common	A	Dividend	K	T					
6.	DiscoverBank Savings and Money Market Account & CDs	C	Interest	N	T					
7.	Dodge & Cox Int'l Stock Fund	A	Int./Div.			Sold	05/05/21	K	D	
8.	Domini Impact Equity	A	Int./Div.	K	T					
9.	Earnest SM Mid Core Fund	A	Dividend	M	T	Buy	05/05/21	K		
10.	Ericsson L M Tel Co. ADR	A	Dividend	J	T					
11.	Fidelity Cash Reserves - Government Money Market	A	Dividend	J	T					
12.	Fidelity Contrafund (H&K 401k)	A	Int./Div.			Sold	05/05/21	M	E	
13.	Fidelity Contrafund (IRA)	A	Int./Div.	M	T					
14.	Fidelity Low Priced Stock Fund	A	Dividend							
15.	Fidelity Municipal Money Market Fund	A	Interest	J	T					
16.	Fidelity Retirement Government Money Market Fund (RIA)	A	Dividend	K	T					
17.	Fidelity Unique NH 2021 Portfolio Index	A	Int./Div.			Sold	02/24/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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Rosenbaum, Robin S.	05/09/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Fidelity Unique NH Portfolio 2024 Index	A	Int./Div.	K	T	Buy	02/24/21	K	A	
19.	Fidelity Unique NH Bank Deposit Portfolio	A	Int./Div.	K	T	Sold (part)	01/19/21	K	A	
20.	Fidelity Total Market Index Fund	A	Int./Div.	K	T	Buy	07/08/21	K		
21.	Fidelity Small Cap Index Fund	A	Int./Div.	J	T	Buy	07/27/21	J		
22.	Fidelity 500 Index	A	Int./Div.	K	T	Buy	05/05/21	L	E	
23.	Harbor Capital Appreciation	A	Int./Div.	M	T	Buy	05/05/21	M		
24.	Intel Corp.	A	Dividend	K	T					
25.	Janus Henderson Forty Fund	A	Dividend	K	T					
26.	Janus Global Research Fund	A	Dividend	K	T					
27.	Lumentum Holdings, Inc. common		None	J	T					
28.	MassMutual VUL: Inv. V.I. Capital Appreciation Fund (name change)		None	K	T					
29.	MassMutual VUL: Inv. V. I. Discovery Midcap Growth Fund (name change)		None	K	T					
30.	NVIDIA common	A	Dividend	K	T					
31.	Parnassus Mid Cap Growth Fund	A	Int./Div.	L	T					
32.	PRU Total Return bond Fund-Holland & Knight 401k	A	Int./Div.	K	T					
33.	Roku Inc. common		None	J	T					
34.	T. Rowe Price 529 College Savings Plan Portfolio 2021	A	Int./Div.			Sold	04/13/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
35. T. Rowe Price 529 College Savings Plan Portfolio 2024	A	Int./Div.	K	T	Buy	04/13/21	K	A	
36. T. Rowe Price 529 College Savings Plan Money Market Portfolio	A	Int./Div.	M	T	Buy (add'l)	04/13/21	K	A	
37. T. Rowe PriceBlue Chip Growth Fund	A	Int./Div.	K	T					
38. T. Rowe Price Health Sciences Fund [redacted] - Account 1	A	Int./Div.	J	T					
39. T. Rowe Price Health Sciences Fund [redacted] - Account 2	A	Int./Div.	J	T					
40. Universal Display Corp. common	A	Dividend	K	T					
41. Vanguard Institutional Index Fund Holland & Knight 401k	A	None			Sold	05/05/21	K	E	
42. Viavi Solutions, Inc. common		None	J	T					
43. Wells Fargo Small Company Growth Fund-Holland & Knight 401k	A	Int./Div.			Sold	05/05/21	L	E	
44. Advanced Micro Devices		None	J	T	Buy	09/01/21	J	A	
45. Apple	A	Dividend	K	T					
46. Ameritrade Money Market Account	A	Interest	J	T					
47. Microsoft	A	Dividend	K	T	Buy	08/18/21	K	A	
48. Netflix					Sold	08/18/21	K	D	
49. Trust #1	A	Interest	N	T					
50. -MML VUL: MassMutual Life Blend		None	K	T					
51. -MML VUL: Invesco V.I Main Street (name change from Oppenheimer)		None	L	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. -MML VUL: Janus Henderson Forty		None	L	T					
53. -MML VUL: Inv. V.I Discovery Mid Cap Growth (name change Oppenheimer)		None	L	T					
54. -MML VUL: T. Rowe Price Mid-Cap Growth		None	L	T					
55. -MML VUL: Invesco V.I Global (name change from Oppenheimer)		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. Positions

2. I began serving on the Federal Judges Association Executive Board in 2021. From approximately 2015-2021, I served on the FJA's Board of Directors. I realized while preparing this year's Financial Disclosure Form that I had inadvertently neglected to include that information on my Financial Disclosure Forms for 2015-2020. I regret the error.

VII. Investments and Trusts

1. Lines 44-48 are held by [redacted], but I am listed as [redacted] tenant on [redacted] account. This has been the case for several years. I derive no income or other benefits from [redacted] investments.

2. Lines 50-55 list the assets in Trust #1. I do not receive any income or other benefits from this trust or from serving as the trustee, nor am I a beneficiary of the trust.

3. Line 49 reflects the cumulative value of Trust #1. As I indicated in Note 2 above, lines 50-55 list the specific mutual-fund holdings that make up this Trust.

4. Line 7 (Dodge & Cox Int'l Stock Fund) was exchanged into Line 1 (AF Europac Growth R6).

5. Line 12 (Fidelity Contrafund (H&K 401k) was exchanged into Line 23 (Harbor Capital Appreciation).

6. Lines 14 (Fidelity Low Priced Stock fund) and 43 (Wells Fargo Small Company Growth Fund-Holland & Knight 401k) were exchanged into Line 9 (Earnest SM Mid Core Fund)

7. Line 17 (Fidelity RUnique NH 2021 Portfolio Index) was exchanged into Line 18 (Fidelity Unique NH Portfolio 2024 Index).

8. Line 41 (Vanguard Institutional Index Fund Holland & Knight 401k) was exchanged into Line 22 (Fidelity 500 Index Fund).

Name of Person Reporting	Date of Report
Rosenbaum, Robin S.	05/09/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robin S. Rosenbaum

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544