AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Randolph, Arthur R.	U.S. Court of Appeals for the District of Columbia	02/05/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Court of Appeals for the DIstrict of Columbia Circuit 333 Constitution Avenue, N.W. Room 5010 Washington, D.C. 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Randolph, Arthur R.	02/05/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Money Market at Morgan Stanley Smith Barney	A	Interest	M	T					
2. Pillsbury Retirement Savings Plan - Fidelity PRY INX LFC	E	Dividend	P1	T					
3. Citibank - Savings	A	Interest	M	T					
4. 401(K) Fidelity - Floating Symbol FFRTX	A	Dividend	K	T					
5. 401(K) - Gov't Goldman Sachs Symbol GSGQX	A	Dividend	K	T					
6. Mutual Fund - Putnam Symbol PDINX	A	Dividend	K	T					
7. 401(K) Mutual Fund - ClearBridge Large Cap Growth Symbol SLCCX	A	Dividend	L	T					
8. 401(K) - Goldman Equity Inc. Symbol GSBFX	A	Dividend	K	T					
9. [redacted] - 1st Eagle Global Symbol SGENX	A	Dividend	K	T					
10. [redacted] - Am Infl. Bond Symbol AIAVX	A	Dividend	K	T					
11. [redacted]. IShares Mun Symbol MUB	A	Dividend	K	T					
12. Blackrock Global Sm. C Symbol MDGCX	A	Dividend	J	T					
13. Del. Small Cap. Diversified Symbol DPDFX	A	Dividend	K	T					
14. [redacted] - IShares High Div. Symbol HDV	B	Dividend	K	T					
15. [redacted]. - Ivy Sc. & Tec. Symbol WSTAX	A	Dividend	K	T					
16. Capital One - Savings	A	Interest	M	T					
17. Goldman US Eq Symbol GSPAX	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Lord Ab. Flt Symbol LFRAX	B	Dividend	K	T					
19. Ivy Em. Mkt Symbol IPOCX	A	Dividend	L	T					
20. Ab High Inc. Symbol AGDCX	A	Dividend	K	T					
21. Legg Mason Core Symbol WABCX	A	Dividend	K	T					
22. Angel Oak Mult. Symbol ANGCX	B	Dividend	K	T					
23. Columbia St. Inc. Symbol CLSCX	B	Dividend	L	T					
24. Oppenheimer (Invesco) Eng Mk Symbol OEMCX	C	Dividend	K	T					
25. Federated Prime Symbol PCOXX	A	Dividend	L	T					
26. Touchstone Credit Synmbol TMARX	A	Dividend	K	T					
27. Touchstone Div. Symbol TQCAX	A	Dividend	K	T					
28. Vanguard Div. App. Symbol VIG	A	Dividend	K	T					
29. Fidelity Cash Reserves Symbol FDRXX	A	Interest	J	T					
30. Fidelity Inflation Symbol FIFGX	A	Dividend	J	T					
31. Strategic Adv. Symbol FSLTX	A	Dividend	J	T					
32. Strategic Large Cap Symbol FALCX	A	Dividend	M	T					
33. Strategic Emerging Mkts. Symbol FSAMX	A	Dividend	K	T					
34. Strategic Small-Mid Cap Symbol FSCFX	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Strategic Int'l Fund Symbol FILFX	A	Dividend	L	T					
36. Strategic Short Duration Symbol FAUDX	A	Dividend	J	T					
37. Strategic Income Symbol FPIOX	A	Dividend	J	T					
38. Strategic Core Inc. Symbol FPICX	A	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Randolph, Arthur R.	02/05/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur R. Randolph

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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