AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Quattlebaum, Jr., Arthur M.	United States Fourth Circuit Court of Appeals	04/29/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Clement Haynesworth Federal Building 300 East Washington Street Greenville, SC 29601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Shareholder	Enveritas
2.	Director	Greater Greenville YMCA
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	SC Association of Justice	August 5-8, 2021	Hilton Head Island, SC	Professional association annual meeting	Hotel/meals/transportation
2.	Sage Colloquium	September 26-October 2, 2021	Pray, MT	Judicial Education	Hotel/meals/transportation
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Quattlebaum, Jr., Arthur M.	04/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Future Scholar 529 Plan (H)									
2. - Columbia FS Aggressive Track Ages 18+	A	Interest	L	T					
3. Future Scholar 529 Plan (H)									
4. - Columbia Bank Deposit 529 E	A	Interest	L	T					
5. Future Scholar 529 Plan (H)									
6. - Columbia Bank Deposit Plan	A	Interest	L	T					
7. AT&T, Inc.	A	Dividend	J	T					
8. LQ Janus Henderson IRA Account (H)									
9. - Janus Henderson Research D Shr	C	Dividend			Sold	12/16/21	M	E	
10. Foster Victor Taxable Account (H)									
11. - AMERICAN FUNDS NEW WORLD F1	A	Dividend	J	T	Sold (part)	12/16/21	J	A	
12. - Schwab Bank Cash Sweep	A	Interest	J	T					
13. - ISHARES 20 PLS YEAR TREASURY BND ETF	A	Dividend			Sold	10/28/21	J	A	
14. - ISHARES CORE S&P SMALL CAP ETF	A	Dividend	J	T	Sold (part)	01/20/21	J	B	
15.					Sold (part)	12/16/21	J	A	
16. - ISHARES MSCI EAF MIN VOLFCT ETF	A	Dividend	J	T					
17. - ISHARES NATIONAL MUNI BOND	A	Dividend	K	T	Buy (add'l)	01/20/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Buy (add'l)	07/29/21	J		
19.					Sold (part)	12/16/21	J	A	
20. - SCHWAB US LARGE CAP ETF	A	Dividend	K	T	Sold (part)	02/03/21	J	A	
21.					Sold (part)	12/16/21	J	C	
22. - SCHWAB US TIPS ETF	A	Dividend	J	T	Buy	10/28/21	J		
23. - SCHWAB VALUE ADVANTAGE MONEY FUND	A	Dividend	J	T	Sold (part)	04/23/21	J	A	
24.					Sold (part)	06/14/21	J	A	
25.					Sold (part)	06/29/21	J	A	
26.					Sold (part)	07/30/21	J	A	
27.					Sold (part)	11/16/21	J	A	
28.					Sold (part)	12/08/21	J	A	
29.					Sold (part)	12/16/21	J	A	
30. - T. ROWE PRICE BLUE CHIP GROWTH	C	Dividend	K	T	Sold (part)	12/16/21	J	C	
31. - T. ROWE PRICE OVERSEAS STOCK	A	Dividend	K	T	Sold (part)	02/03/21	J	A	
32.					Sold (part)	12/16/21	J	B	
33. - T. ROWE PRICE SUMMIT MUNICIPAL INTM INV	B	Dividend	L	T	Buy (add'l)	03/18/21	J		
34.					Buy (add'l)	05/27/21	J		

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35.					Sold (part)	12/16/21	J	A	
36. - VANGUARD MID CAP ETF	A	Dividend	K	T	Sold (part)	02/18/21	J	A	
37.					Sold (part)	12/16/21	J	B	
38. - VANGUARD REAL ESTATE ETF IV	A	Dividend	J	T	Sold (part)	12/16/21	J	A	
39. - VANGUARD SHORT-TERM BONDETF IV	A	Dividend	J	T					
40. - VANGUARD VALUE ETF IV	A	Dividend	K	T					
41. Foster Victor IRA Rollover Account (H)									
42. - AMERISOURCEBERGEN CORP	A	Dividend			Sold	03/18/21	K	C	
43. - ADOBE INC		None	L	T	Buy (add'l)	01/20/21	J		
44.					Sold (part)	11/24/21	J	B	
45. - AMER ELECTRIC PWR CO	C	Dividend	M	T	Buy (add'l)	01/06/21	K		
46.					Buy (add'l)	03/04/21	J		
47. - AMGEN INC.	C	Dividend	M	T	Buy (add'l)	03/04/21	K		
48.					Buy (add'l)	08/26/21	J		
49.					Buy (add'l)	11/24/21	J		
50.					Buy (add'l)	12/09/21	J		
51. - AMERN TOWER CORP REIT	B	Dividend	L	T	Buy (add'l)	01/20/21	J		

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52. - AMAZON.COM INC		None	L	T	Buy (add'l)	01/20/21	J		
53.					Buy (add'l)	10/28/21	J		
54. - BROADCOM INC	C	Dividend	M	T	Buy (add'l)	08/12/21	K		
55.					Sold (part)	02/03/21	K	D	
56.					Sold (part)	12/09/21	K	E	
57. - BAIRD AGGREGATE BOND INV	B	Dividend	M	T	Buy (add'l)	01/20/21	J		
58. - BOOKING HOLDINGS INC		None	L	T	Buy (add'l)	12/09/21	J		
59. - BLACKROCK INC	A	Dividend	K	T					
60. - VANGUARD SHORT-TERM BONDETF IV	A	Dividend	K	T	Buy (add'l)	07/15/21	J		
61. - CERNER CORP	A	Dividend			Sold	03/18/21	K	A	
62. - SALESFORCE COM		None	K	T	Buy	03/18/21	K		
63.					Sold (part)	10/28/21	J	C	
64. - CISCO SYSTEMS INC	C	Dividend	M	T	Sold (part)	08/26/21	K	D	
65. - COSTAR GROUP INC		None	K	T	Buy	03/18/21	K		
66. - ISHARES MSCI EAF MIN VOLFCT ETF IV	B	Dividend	L	T					
67. - EMERSON ELECTRIC CO	B	Dividend	L	T					
68. - ENBRIDGE INC F	C	Dividend	L	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69. - FACEBOOK INC CLASS A		None	L	T	Buy (add'l)	02/03/21	J		
70. - GENERAL MILLS INC	B	Dividend	L	T	Buy (add'l)	08/26/21	J		
71. - ALPHABET INC. CLASS A		None	L	T	Sold (part)	05/27/21	J	C	
72.					Sold (part)	10/28/21	J	D	
73. - GENUINE PARTS CO	C	Dividend	M	T	Sold (part)	09/30/21	J	B	
74. - ISHARES CORE S&P SMALL CAP ETF IV	B	Dividend	L	T	Sold (part)	01/20/21	K	D	
75. - ILLUMINA INC		None	L	T	Buy (add'l)	05/27/21	J		
76.					Buy (add'l)	11/24/21	J		
77.					Sold (part)	02/03/21	K	C	
78.					Sold (part)	06/24/21	J	A	
79. - JOHNSON & JOHNSON	C	Dividend	M	T	Buy (add'l)	12/09/21	J		
80. - KEURIG DR PEPPER INC	C	Dividend	M	T					
81. - LOWES COMPANIES INC	A	Dividend	L	T	Buy (add'l)	02/18/21	J		
82.					Sold (part)	11/24/21	J	C	
83. - LAM RESEARCH CORP	A	Dividend	L	T	Buy (add'l)	10/28/21	J		
84.					Sold (part)	01/20/21	K	D	
85.					Sold (part)	12/09/21	J	D	

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86. - MASTERCARD INC CLASS A	A	Dividend	L	T	Buy (add'l)	02/18/21	J		
87.					Buy (add'l)	12/09/21	J		
88. - MONDELEZ INTL CLASS A	A	Dividend	L	T	Buy (add'l)	02/03/21	J		
89. - METLIFE INC	B	Dividend	L	T	Sold (part)	03/04/21	J	B	
90. - MICROSOFT CORP	A	Dividend	K	T	Sold (part)	11/24/21	J	C	
91. - BLACKROCK SHORT MATURITYBOND ETF	A	Dividend	K	T	Buy (add'l)	07/29/21	J		
92. - NOVARTIS AG FSPONSORED ADR1 ADR REPS1 ORD SHS	C	Dividend	M	T	Buy (add'l)	09/30/21	K		
93. - AMERICAN FUNDS NEW WORLD F1	D	Dividend	L	T					
94. - NXP SEMICONDUCTORS F	A	Dividend	L	T	Sold (part)	01/20/21	J	B	
95. - REALTY INCM CORP REIT	D	Dividend	M	T	Buy (add'l)	02/03/21	K		
96. - ORION OFFICE REIT INC	A	Dividend			Sold	11/24/21	J	A	
97. - O REILLY AUTOMOTIVE		None	L	T	Buy (add'l)	02/03/21	J		
98.					Sold (part)	09/30/21	J	A	
99.					Sold (part)	10/28/21	J	C	
100. - PEPSICO INC	B	Dividend	L	T	Buy (add'l)	02/18/21	J		
101. - PFIZER INC	C	Dividend	L	T	Buy (add'l)	03/04/21	J		
102.					Sold (part)	08/12/21	K	D	

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103.					Sold (part)	12/23/21	K	D	
104. - PROCTER & GAMBLE	B	Dividend	L	T	Buy (add'l)	02/03/21	J		
105. - PHILIP MORRIS INTL	C	Dividend	L	T					
106. - PPL CORP	B	Dividend	L	T	Buy (add'l)	12/09/21	J		
107. - PAYPAL HOLDINGS INCORPOR		None	L	T	Buy (add'l)	03/18/21	J		
108.					Buy (add'l)	10/28/21	K		
109.					Buy (add'l)	11/24/21	J		
110.					Sold (part)	02/18/21	J	D	
111. - SCHWAB US TIPS ETF	A	Dividend	K	T	Buy	10/28/21	J		
112.					Buy (add'l)	11/11/21	K		
113. - SCHWAB US LARGE CAP ETF	C	Dividend	N	T	Sold (part)	07/15/21	J	B	
114.					Sold (part)	11/11/21	K	D	
115. - SOUTHERN CO	B	Dividend	L	T	Buy (add'l)	03/04/21	J		
116. - TE CONNECTIVITY LTD F	B	Dividend	M	T	Sold (part)	08/12/21	K	D	
117. - TRUIST FINL CORP	C	Dividend	L	T					
118. - ISHARES 20 PLS YEAR TREASURY BND ETF	A	Dividend			Buy (add'l)	02/03/21	J		
119.					Sold	10/28/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Quattlebaum, Jr., Arthur M.	04/29/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. - T. ROWE PRICE BLUE CHIP GROWTH	D	Dividend	M	T	Sold (part)	11/11/21	L	E	
121. - T. ROWE PRICE OVERSEAS STOCK	C	Dividend	M	T					
122. - UNITED PARCEL SRVC CLASS B	B	Dividend	L	T	Sold (part)	05/13/21	J	D	
123. - VANGUARD INTRMDIAT TRM TRSRY ETF	A	Dividend	K	T	Buy (add'l)	01/20/21	J		
124.					Sold (part)	11/11/21	K	A	
125. - VALERO ENERGY CORP	D	Dividend	M	T	Buy (add'l)	08/12/21	K		
126.					Buy (add'l)	12/23/21	J		
127.					Sold (part)	01/06/21	K	A	
128.					Sold (part)	02/18/21	J	A	
129.					Sold (part)	03/04/21	K	A	
130.					Sold (part)	09/30/21	K	B	
131. - VULCAN MATERIALS COM	A	Dividend			Sold	03/18/21	K	D	
132. - VANGUARD REAL ESTATE ETF IV	B	Dividend	L	T					
133. - VANGUARD MID CAP ETF IV	B	Dividend	M	T	Sold (part)	02/03/21	J	A	
134.					Sold (part)	07/29/21	J	B	
135. - VERTEX PHARMACEUTICA		None	K	T	Buy	03/18/21	K		
136.					Buy (add'l)	06/24/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. - VANGUARD VALUE ETF IV	B	Dividend	M	T	Buy (add'l)	11/11/21	L		
138. - VERIZON COMMUNICATN	B	Dividend	K	T	Buy (add'l)	08/26/21	J		
139. - Schwab Bank Cash Sweep	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Quattlebaum, Jr., Arthur M.	04/29/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur M. Quattlebaum, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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