AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Phillips, Gregory A.	United States Court of Appeals for the Tenth Circuit	05/15/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Court Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
2120 Capitol Avenue O'Mahoney Federal Building, Room 2603 Cheyenne, Wyoming 82001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	State of Wyoming Retirement System
2.	2022	Laramie County Library System
3.	2022	Meals on Wheels of Cheyenne
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Harvard Kennedy School, Institute of Politics	10/05/2022	Cambridge, Massachussetts	Guest Speaker	Hotel, airfare
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Wells Fargo First Eagle Global Inc Fd, Cl A SGENX	A	Int./Div.	J	T	Buy (add'l)	12/02/22	J		
2.	Wells Fargo Blackrock Global Allocation Cl A MDLOX	A	Int./Div.	J	T	Buy (add'l)	07/15/22	J		
3.	Wyoming Retirement System-Pension	D	Int./Div.	K	T					
4.	Wells Fargo Cash Sweep Account	A	Interest	K	T					
5.	Wells Fargo Cash Sweep Account	A	Interest	J	T					
6.	Wells Fargo Cash Sweep Account	A	Interest	J	T					
7.	Wells Fargo Cash Sweep Account	A	Interest	J	T					
8.	WF-Allianz Life Index Adv Var Annuity - IDX Perf Strat Using S&P 500 I		None	M	T					
9.	WF-Allianz Life Index Adv Var Annuity - IDX Perf Strat Using S&P 500 I		None	M	T					
10.	Wyoming Retirement System LifePath Index 2025Q	C	Int./Div.	M	T					
11.	Wells Fargo Franklin U.S. Government Money 529 Portfolio - Class A	A	Int./Div.	L	T	Sold (part)	08/30/22	J	A	
12.	Meridian Trust Federal Credit Union Cash Accounts	A	Interest	L	T					
13.	Wells Fargo Alger Focus Equity Fund Z	A	Int./Div.	K	T	Sold (part)	11/14/22	J		
14.	Wells Fargo Fidelity Investments Money Market FDS INSTL FNSXX	A	Int./Div.	M	T					
15.	Wells Fargo Cohen & Steers PFD SECS & Income FD INC Class I	A	Int./Div.	J	T	Buy (add'l)	04/21/22	J		
16.						Sold (part)	11/14/22	J		
17.	Wells Fargo Cash Sweep Account	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/15/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Wells Fargo Calamos Market Neutral Income Fund Class I	A	Int./Div.	K	T	Buy (add'l)	04/21/22	J		
19.						Buy (add'l)	08/30/22	J		
20.						Sold (part)	11/14/22	J		
21.	Wells Fargo Janus Henderson Funds Balanced FD Class I	A	Int./Div.			Sold	08/30/22	K		
22.	Wells Fargo Lord Abbett Convertible FD CL I	A	Int./Div.			Sold	04/21/22	J		
23.	Wells Fargo Goldman Sachs TR Income Builder FD Instl CL	A	Int./Div.	K	T	Sold (part)	11/14/22	J		
24.	Wells Fargo Alger Focus Equity Fund Class C	A	Int./Div.	J	T					
25.	Wells Fargo Franklin Custodian FDS Income SER ADC VL AD	A	Int./Div.	K	T	Buy	08/30/22	K		
26.	Wells Fargo Pacific Funds Floating Rate Income I-2	A	Int./Div.	K	T	Buy	04/21/22	K		
27.						Buy (add'l)	08/30/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 3, 4, 16, 19, 22, and 23 of the prior year report have been omitted as they were entirely disposed in 2021.

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/15/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory A. Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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