AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Niemeyer, Paul V.	Court of Appeals - Fourth Circuit	08/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
910 United States Courthouse 101 West Lombard Street Baltimore, Maryland 21201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Eccumenical Institute, St. Mary's Seminary
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	Since 1984	LexisNexis Matthew Bender, Albany, New York -- Royalty agreement jointly with co-author Linda M. Schuett on sale of book.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	LexisNexis Matthew Bender, Albany, New York	$5,261.94
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Niemeyer, Paul V.	08/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T. Rowe Price Prime Reserve (money market account)	A	Dividend	J	T					
2. T. Rowe Price Spectrum	A	Dividend			Sold (part)	08/03/22	J	A	
3.					Sold	11/30/22	K	A	
4. Northwestern Mutual policy (whole life)	C	Dividend	M	T					
5. Northwestern Mutual policy (whole life)	C	Dividend	M	T					
6. Mass. Mutual whole life policies	B	Dividend	L	T					
7. T. Rowe Price Capital Apprec.	E	Dividend	M	T					
8. Vanguard Total Stock Index	C	Dividend	N	T					
9. T. Rowe Price Comm. & Tech.	D	Dividend	L	T					
10. T. Rowe Price New Horizons	A	Dividend	K	T					
11. Apple, Inc. stock	A	Dividend	L	T					
12. M&T Bank Account	A	Interest	J	T					
13. T. Rowe Price Health Sciences	A	Dividend	J	T					
14. TRP Emerging Mkt	A	Dividend	J	T					
15. Vanguard Int'l Growth	A	Dividend			Sold	07/26/22	J	A	
16. Raytheon Technologies (formerly United Technologies)	A	Dividend	J	T					
17. Carrier Global Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Niemeyer, Paul V.	08/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Otis Worldwide Corp.	A	Dividend	J	T					
19. J.P. Morgan Chase & Co.	A	Dividend	J	T					
20. Md. Coll. Invest. Plan (1)	A	Dividend	N	T	Sold (part)	03/21/22	J	A	
21.					Sold (part)	06/21/22	J	A	
22.					Sold (part)	06/30/22	K	D	
23.					Sold (part)	07/19/22	K	E	
24.					Sold (part)	07/20/22	J	D	
25.					Sold (part)	08/08/22	J	A	
26.					Sold (part)	11/11/22	K	D	
27.					Sold (part)	12/12/22	K	E	
28. Md. Coll. Invest. Plan (2)	A	Dividend	N	T	Sold (part)	08/01/22	K	E	
29.					Sold (part)	11/18/22	K	E	
30. T. Rowe Price All-Cap Opport.	A	Dividend			Sold (part)	01/03/22	K	A	
31.					Sold	02/23/22	K	A	
32. T. Rowe Price Golbal Stk.	A	Dividend	L	T	Sold (part)	11/30/22	J	A	
33. T. Rowe Price Global Tech.	A	Dividend	K	T					
34. Vanguard Total Bond Index	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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35. Vanguard Total Int'l Bond Index	A	Interest	K	T					
36. Vanguard Int'l Stk. Index	D	Dividend	M	T					
37. Bank America Corp	A	Dividend	J	T	Buy	01/03/22	J		
38. Wells Fargo & Co	A	Dividend	J	T	Buy	03/25/22	J		
39. Nvidia Corp	A	Dividend	K	T	Buy	04/18/22	J		
40.					Buy (add'l)	04/25/22	J		
41.					Buy (add'l)	12/15/22	K		
42. Advanced Micro Devices Inc.	A	Dividend	J	T	Buy	08/04/22	J		
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Niemeyer, Paul V.	08/11/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul V. Niemeyer

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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