AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nguyen, Jacqueline H.	U.S. Court of Appeals for the Ninth Circuit	08/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Richard H. Chambers U.S. Courthouse 125 S. Grand Avenue Pasadena, CA 91105		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (reportable assets are listed in Part VII)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Nguyen, Jacqueline H.	08/10/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (attorney)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo Bank	Mortgage for 1/2 interest in Rental Property #1	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Bank of America (cash)	A	Interest	K	T					
3. Southland Federal Credit Union (cash)	A	Interest	M	T					
4. Wells Fargo (cash)	A	Interest	K	T					
5. Rental Property #1 Orange County, CA (1/2 interest)	D	Rent	N	W					
6. Account #1 (H)									
7. Putnam Sustainable Leaders Fund CL A (PNOPX)	C	Dividend	K	T					
8. Account #2 (H)									
9. Putnam Sustainable Leaders Fund CL A (PNOPX)	D	Dividend	L	T					
10. Account #3 (H)									
11. Charles Schwab Bank Sweep (cash)	A	Interest	J	T					
12. Janus Henderson Mid-Cap Value T (JMCVX)		None			Sold	09/08/21	K		
13. Schwab S&P 500 Index Fd (SWPPX)	B	Dividend	M	T	Buy	09/08/21	M		
14. Schwab US Large Cap Growth Index FD (SWLGX)	B	Dividend	M	T	Buy	09/08/21	L		
15.					Buy (add'l)	09/09/21	K		
16. Account #4 (H)									
17. Empower Savings 2020 Target Date Fund		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Nguyen, Jacqueline H.	08/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Empower Savings 2030 Target Date Fund		None	O	T					
19. Empower Savings Small Cap Equity Fund		None	M	T					
20. Empower Savings Large Cap Equity Fund		None	L	T					
21. Empower Savings Stable Value Fund		None	K	T					
22. Account #5 (H)									
23. Horizons 2030 Target Date Fund		None	N	T					
24. Account #6 (H)									
25. Charles Schwab Bank Sweep (cash)	A	Interest	J	T					
26. Applied Materials (AMAT)	A	Dividend	J	T					
27. Cisco Systems (CSCO)	A	Dividend	J	T					
28. Microsoft Corp (MSFT)	A	Dividend	K	T					
29. Janus Henderson Growth & Income T (JAGIX)	B	Dividend	K	T					
30. Account #7 (H)									
31. ScholarShare College Savings Plan-CA Porfolio 2021 Age Based		None			Sold	06/11/21	M		
32. Enrollment Year Portfolio Passive		None			Buy	06/11/21	M		
33.					Distributed (part)	09/21/21	J		
34.					Sold	11/19/21	L		

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35. Active Growth Portfolio		None	L	T	Buy	11/19/21	L		
36. Active Moderate Growth Portfolio		None	K	T	Buy	11/19/21	K		
37. Account #8 (H)									
38. ScholarShare College Savings Plan-CA Porfolio 2018 Age Based		None			Sold	09/21/21	M		
39. Active Growth Portfolio		None	K	T	Buy	09/21/21	K		
40.					Sold (part)	11/17/21	J		
41. Active Moderate Growth Portfolio		None	L	T	Buy	09/21/21	L		
42.					Sold (part)	11/17/21	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Account #5 is employer sponsored and managed retirement plan. Specific transaction data is not provided or otherwise available to the plan participant. Transactions are identified in this report to the best of the filer's information and belief.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jacqueline H. Nguyen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544