AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Newsom, Kevin C.	U.S. Court of Appeals, Eleventh Circuit	05/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Hugo L. Black United States Courthouse 1729 Fifth Avenue North Birmingham, AL 35203		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Faculty	Cumberland Law School
2.	Practice Seminar and Moot Courts Model Participant	Duke University Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2022	Cumberland Law School (teaching)	$16,497.00
2. 2022	Duke University (teaching)	$4,687.50
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2022	LTF Club Management Company LLC (wages)
2. 2022	Mountain Brook Club (wages)
3. 2022	Premier Fitness (wages)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. The Federalist Society	January 26-27, 2022	Chicago, IL	Invited Speaker	Transportation, meals, lodging
2. The Federalist Society	February 16-20, 2022	Los Angeles, CA	Invited Speaker	Transportation, meals, lodging
3. The Federalist Society	March 1-3, 2022	New York, NY	Invited Speaker	Transportation, meals, lodging
4. The Federalist Society	March 8-9, 2022	Cambridge, MA	Invited Speaker	Transportation, meals, lodging
5. University of Michigan Law School	March 20-22, 2022	Ann Arbor, MI	Moot Court Judge	Transportation, meals, lodging
6. The Federalist Society	March 31 - April 3, 2022	Los Angeles, CA	Invited Speaker	Transportation, meals, lodging

7.	The Federalist Society	April 13-15, 2022	Palo Alto, CA	Invited Speaker	Transportation, meals, lodging
8.	William & Mary School of Law	April 19-20, 2022	Williamsburg, VA	Invited Speaker	Transportation, meals, lodging
9.	George Mason University, Antonin Scalia Law School	May 12-13, 2022	Fairfax, VA	Scalia Lecture Attendee	Transportation, meals, lodging
10.	Georgetown Law Center	June 9-12, 2022	Sarasota, FL	Seminar Participant	Transportation, meals, lodging
11.	William & Mary School of Law	September 16-17, 2022	Williamsburg, VA	Invited Speaker	Transportation, meals, lodging
12.	The Federalist Society	October 24-26, 2022	New Haven, CT	Invited Speaker	Transportation, meals, lodging
13.	Case Western Reserve School of Law	October 26-27, 2022	Cleveland, OH	Invited Speaker	Transportation, meals, lodging
14.	The Federalist Society	November 2-3, 2022	Chicago, IL	Invited Speaker	Transportation, meals, lodging
15.	The Federalist Society	November 7-9, 2022	Stanford, CA	Invited Speaker	Transportation, meals, lodging
16.	The Federalist Society	November 10-13, 2022	Washington, DC	Invited Speaker	Transportation, meals, lodging
17.	The Federalist Society	November 15-16, 2022	Cambridge, MA	Invited Speaker	Transportation, meals, lodging
18.	The Federalist Society	November 16-17, 2022	Durham, NC	Invited Speaker	Transportation, meals, lodging

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Newsom, Kevin C.	05/12/2023

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo Bank (cash)	A	Interest	J	T					
3. Account #1 (H)									
4. Bank Nova Scotia Dow Jones Indust Avg LIRN Issuer (MLVJV) (06417P801)		None			Sold	05/16/22	K	D	
5. Kraft Foods Group Inc 3.5% Corp Bond 06/06/22 (50076QAZ9)	A	Interest			Redeemed	06/06/22	J		
6. CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest			Redeemed	05/31/22	J		
7. JPMorgan Chase Bank NA Stoxx Glbl Sel (48128HY44)		None			Redeemed	08/04/22	J	A	
8. JPMorgan Chase & Co 3.25% Corp Bond 09/23/22 (46625HJE1)	A	Interest			Redeemed	09/23/22	J	A	
9. JPMorgan Chase Finl Co Cap Lookback Ret ENH (48132PQX5)		None			Sold	09/27/22	K	B	
10. HSBC USA Inc Buf AMPS (40438CXL0)		None	K	T					
11. GS Finance Corp RTY (40057FBH6)		None	J	T					
12. GS Finance Corp QQQ (40057FC93)		None	J	T					
13. JPMorgan Chase Bank (cash)	A	Interest	K	T	Open	09/28/22	K		
14. Conoco 3.35% Corporate Bond 11/15/24 (20826FAD8)	A	Interest	J	T					
15. JPMorgan Chase Finl Co Cap Buf Ret Enh Note (48133GQE6)		None	K	T	Buy	05/31/22	K		
16. Wells Fargo & Co Medium Term Note CPN 3.550% (94974BGP9)		None	K	T	Buy	05/19/22	K		
17. Wells Fargo & Co Unsec Medium Term (95001DCG3)		None	K	T	Buy	10/26/22	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18.	GS Finance Corp Autocllb Indx (40057MGM5)		None	K	T	Buy	06/22/22	K		
19.	Citigroup Inc Sub (172967JC6)	A	Interest	J	T	Buy	06/03/22	K		
20.	CitiGroup Global Markets Holding Barrier SECS (17328WZA9)		None			Sold	06/10/22	K	B	
21.	Account #2 (H)									
22.	Stifel Insured Bank Deposit (cash)	A	Interest	J	T					
23.	Dow Jones Industrial Average LIRN Issuer BNS (MLVJV) (06417P801)		None			Sold	05/16/22	K	D	
24.	Kraft Food Group Inc 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest			Redeemed	06/06/22	K		
25.	CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest			Redeemed	05/31/22	K		
26.	Morgan Stanley Fin LLC Contin Income Note (61771BC28)	B	Interest			Redeemed	08/04/22	K		
27.	Celgene Corp 3.55% Corp Bond 08/15/22 (151020AR5)	A	Interest			Redeemed	08/15/22	K		
28.	JPMorgan Chase Finl Co Cap Lookback Ret ENH (48132PQX5)		None			Sold	09/27/22	K	C	
29.	Morgan Stanley Fin LLC Contin Income Secs (61771EWE4)	B	Interest	K	T					
30.	Goldman Sachs Group Inc 3.625% Corp Bond 01/22/23 (38141GRD8)	A	Interest	K	T					
31.	Morgan Stanley Fin LLC Contin Incm Secs 9.2% (61771E5A2)	B	Interest	J	T					
32.	JPmorgan Chase Finl Co Contin Int Note 12% (48132TUC8)	A	Interest			Redeemed	01/18/22	K		
33.	HSBC USA Inc BUF AMPS (40438CXL0)		None	K	T					
34.	GS Finance Corp Leveraged Buf Indx Note (40057ERM1)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.	Toronto Dominion Bank Contin Int 9.45% (89117GBB2)	B	Interest			Sold	12/06/22	K		
36.	GS Finance Corp Leveraged Buf Indx Note (40057EWV5)		None	J	T					
37.	GS Finance Corp Leveraged Buf Indx Note (40057EXB8)		None	J	T					
38.	GS Finance Corp Leveraged Buf Note Lkd Rty 0% (40057FBH6)		None	J	T					
39.	GS Finance Corp Leveraged Buf Note Lkd Rty 0% (40057FUQ5)		None	K	T					
40.	JPMorgan Chase Bank NA Stoxx Global 0.00% (48128LJE0)		None	K	T					
41.	Barclays Bank PLC Buf Supertrk 0% (06748EN66) (Y)									
42.	Barclays Bank PLC XXX 0.00% (06799BSH1) (X)		None			Sold	09/15/22	K	A	
43.	JPMorgan Chase Finl Co Contin Int Note 11.3% (48133CRR5)	A	Interest	K	T	Buy	01/14/22	K		
44.	GS Finance Corp Leveraged Buf 0% (40057JE77)		None	K	T					
45.	Barclays Bank PLC Buf Supertrk Note 0.00% (06747MKB1)		None			Sold	05/16/22	K	D	
46.	GS Finance Corp Leveraged Buf 0% (40057JNJ1)		None	K	T					
47.	GS Finance Corp Indx Lkd 0% (40057JXY7)		None	K	T					
48.	Delta Air Lines Inc De Note 2.900% (247361ZU5)	A	Interest	K	T					
49.	Goldman Sachs Bank USA Equity Lkd CD (38149LL86)		None	K	T	Buy	08/19/22	K		
50.	Norfolk Sthn Corp Sr Note 3.65% (655844CA4)	A	Interest	K	T	Buy	05/19/22	K		
51.	Synovus Finl Corp Sub Note 5.20% (87161CAN5)		None	K	T	Buy	08/11/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52. Murphy Oil Corp Note Cpn 5.75% (626717AJ1)	B	Interest	J	T	Sold (part)	11/30/22	J		
53. CitiGroup Global Markets Holding Barrier SECS (17328WZA9)		None			Sold	06/10/22	K	B	
54. Stryker Corp Sr Note 3.375% (863667AH4)	A	Interest	K	T	Buy	05/16/22	K		
55. Morgan Stanley Fin LLC Buf Partn Secs (61771ENW4)		None	J	T					
56. GS Finance Corp Leveraged Buf Indx Note (40057ERL3)		None	J	T					
57. Goldman Sachs BDC Inc Sr Note (38147UAD9)	A	Interest	K	T					
58. GS Finance Corp Buf Index (40057FBC7)		None	J	T					
59. GS Finance Corp Buf Index (40057H4J6)		None	K	T					
60. Wells Fargo & Co Medium Term Sr Note 4.1% (94974BFY1)	B	Interest	K	T	Buy	05/25/22	K		
61. Morgan Stanley Fin Llc Buf 0% (61773F3L5)		None	K	T					
62. Citigroup Inc Sub Note 4.3% (172967JC6)	A	Interest	K	T	Buy	06/03/22	K		
63. Morgan Stanley Fin Llc Buf 0% (61773F8B2)		None	K	T					
64. Goldman Sachs Bank USA Basket (38149JST8)		None	K	T					
65. GS Finance Corp Autocllb Index Lkd Note 0.00% (40057M5K1)		None	K	T	Buy	05/27/22	K		
66. GS Finance Corp Index Lkd Note 0.00% (40057MC49)		None	K	T	Buy	06/17/22	K		
67. Goldman Sachs Group Unc Insec Med Term Note Ser N 6.25% (38150APH2)		None	K	T	Buy	10/12/22	K		
68. Devon Energy Corp Sr Note 5.250% (25179MBD4)		None	K	T	Buy	12/06/22	K		

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69. Bank America Corp Sr Note Med Term Note 6.00% (06048WZ29)		None	K	T	Buy	10/18/22	K		
70. United Rent NA Inc Sr Secd Note (911365BM5)	A	Interest	K	T					
71. Bank America Corp Med Term Sr Note 6.00% (06048W2D1)		None	J	T	Buy	11/30/22	J		
72. JPmorgan Chase Bank Na 30Y USD 4% (48128US26)	A	Interest	K	T					
73. Account #3 (H)									
74. CREF Stock R3 Fund		None	J	T					
75. CREF Growth R3 Fund		None	J	T					
76. CREF Equity Index R3 Fund		None	J	T					
77. CREF Global Equities R3		None	J	T					
78. CREF Equity Index R2 Fund		None	K	T					
79. CREF Bond Market R2 Fund		None	J	T					
80. TIAA Traditional Fund	A	Interest	J	T					
81. CREF Stock R2 Fund		None	J	T					
82. CREF Growth R2 Fund (Y)									
83. CREF Global Equities R2		None	J	T					
84. CREF Growth R1 Fund		None	J	T					
85. CREF Equity Index R1 Fund		None	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. CREF Global Equities R1		None	J	T					
87. CREF Money Market Fund		None	J	T					
88. Account #4 (H)									
89. Fid Overseas K (FOSKX)		None	J	T					
90. Fid Growth Co K (FGCKX)		None	J	T					
91. Fid OTC K (FOCKX)		None	J	T					
92. Fid 500 Index (FXAIX)		None	K	T					
93. Account #5 (H)									
94. Fid Overseas K (FOSKX)		None	J	T					
95. Fid Growth Co K (FGCKX)		None	K	T					
96. Fid OTC Portfolio (FOCKX)		None	K	T					
97. Fid 500 Index (FXAIX)		None	K	T					
98. Fidelity Money Market Retirement Gov II (FRTXX) (cash eq.)		None	J	T					
99. Account #6 (H)									
100. Stifel Insured Bank Deposit Program (cash)	A	Interest	L	T					
101. IShares Mid Cap Russell (IWP)	A	Dividend	J	T					
102. IShares Russell 1000 Growth (IWF)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. HSBC USA Inc Leveraged Idx Ret (MLZNC) (40435D698)		None			Redeemed	05/27/22	L	E	
104. HSBC USA Inc Leveraged Indx Ret Note DJIA (MLTUR) (40435X371)		None	K	T					
105. Wells Fargo LIRN Dow Jones Ind Avg (MLVEQ) (94988U557)		None	K	T					
106. Bartow Cnty Ga Dev Auth (069643BK9)	A	Interest			Buy	06/08/22	L		
107.					Redeemed	08/19/22	K	A	
108. University AL Genl Rev Univ AL At Birmingham 5.00% (914026QT9)	A	Interest	K	T	Buy	04/28/22	K		
109. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056FRN7)		None			Redeemed	01/31/22	K	C	
110. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056XMZ6)		None			Redeemed	05/31/22	K	D	
111. GS Finance Corp Leveraged Indx Note 0.00% (40056FEL5)		None			Redeemed	06/02/22	K	C	
112. JPMorgan Chase Finl Co Bearish Knock Out Note (48132M3R0)		None			Redeemed	08/29/22	K		
113. Royal Bank Canada Contin CPN Barrier 14.25 (78016EPK7)		None			Sold	04/25/22	J		
114. Morgan Stanley Fin LLC BUF Plus (61771BCE2)		None			Sold	06/16/22	L	D	
115. BofA Finance LLC Note LKD SX5E (09709TTW1)		None	K	T					
116. Citigroup Glbl Mkts Hldg Unsec Med Term Note 1.857% (17328VEB2)		None	K	T	Buy	12/06/22	K		
117. GS Finance Corp Leveraged BUF Indx Note (40057EHM2)		None	K	T					
118. GS Finance Corp Leveraged BUF Indx Note (40057EHT7)		None	K	T					
119. GS Finance Corp Leveraged BUF Indx Note (40057EXT9)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GS Finance Corp Leveraged BUF Indx Note (40057FL44)		None			Sold	12/29/22	K		
121. GS Finance Corp Leveraged BUF Indx Note (40057FLD4)		None	K	T					
122. GS Finance Corp Leveraged BUF Indx Note (40057FUT9)		None	K	T					
123. Royal Bank Canada Contin Cpn 12% Lkd Fcx (78013G3Z6)	B	Interest	K	T	Buy	02/01/22	K		
124. GS Finance Corp Leveraged BUF Indx Note (40057JEE2)		None			Sold	12/29/22	K		
125. Barclays Bank PLC BUF Supertrk Note (06747MKB1)		None			Sold	06/16/22	K	D	
126. GS Finance Corp Leveraged BUF Indx Note (40057JNK8)		None			Sold	12/29/22	K		
127. JPMorgan Chase Finl Co Contin Int Note 11.8% (48133GLJ0)	B	Interest			Buy	05/31/22	K		
128.					Redeemed	12/06/22	K		
129. GS Finance Corp Leveraged BUF Indx Note (40057KBP7)		None	K	T					
130. GS Finance Corp Leveraged Buf Note Lkd Svx Cpn 0.00% (40057KXJ7)		None	K	T	Buy	02/23/22	K		
131. Morgan Stanley Fin LLC BUF Partn SECS 0.00% (61769HMZ5)		None	K	T					
132. Morgan Stanley Fin LLC BUF Partn SECS 0.00% (61769HSV8)		None			Sold	06/16/22	K	C	
133. Morgan Stanley Fin LLC BUF Plus (61770FNH5)		None			Sold	04/25/22	K	D	
134. Morgan Stanley Fin LLC BUF Partn SECS (61771BCG7)		None			Sold	04/29/22	K	C	
135. Citigroup Glbl Mkts Hldg Mkt Lkd Note (17330PEN5)		None	K	T	Buy	08/26/22	K		
136. JPMorgan Chase Finl Co Uncap Contin BUF Ret ENH (48132PYJ7)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. GS Finance Corp Leveraged BUF Indx Note (40057FXT6)		None	K	T					
138. GS Finance Corp Autocllb Indx Note (40057MGM5)		None	K	T	Buy	06/22/22	K		
139. GS Finance Corp Leveraged BUF Indx Note (40057HZA1)		None	K	T					
140. GS Finance Corp Leveraged BUF Indx Note (40057KBC6)		None	K	T					
141. GS Finance Corp Autocllb Index Lkd Note 0.00% (40057M5K1)		None	K	T	Buy	05/27/22	K		
142. GS Finance Corp Index Lkd Note (40057MC49)		None	K	T	Buy	06/17/22	K		
143. Citigroup Glbl Mkts Hldg Unsec Medium Term Note (17330PMF3)		None	K	T	Buy	06/22/22	K		
144. Wells Fargo & Co Dr Note Medium Term Note 6.0% (95001DCM0)	B	Interest	K	T	Buy	11/22/22	K		
145. American Fund Bal (ABALX)	A	Dividend	K	T					
146. American Growth (AGTHX)	B	Dividend	K	T					
147. Account #7 (H)									
148. Stifel Insured Bank Program Money Market (cash)	A	Dividend	K	T					
149. Green Brick Partners Inc Dep 5.75% (392709200)		None			Sold	04/12/22	K		
150. JPMorgan Chase Finl Co Cap BUF Eqty Note (48132P3G7)		None			Redeemed	01/28/22	K	B	
151. Morgan Stanley 2.75% Corp Bond 05/19/22 (61744YAH1)		None			Redeemed	05/19/22	K		
152. Kraft Food Group 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest			Redeemed	06/06/22	K		
153. Kinder Morgan Ener Part 3.95% Corp Bond 09/01/22 (494550BL9)	A	Interest			Redeemed	06/01/22	K		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
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154. Hewlett-Packard Co 4.05% Corp Bond 09/15/22 (428236BXO)	B	Interest			Redeemed	09/15/22	K		
155. Oneok Partners 3.375% Corp Bond 10/01/22 (68268NAJ2)	A	Interest			Redeemed	07/12/22	K		
156. McKesson Corp New Note 2.700% (581557AZ8)	A	Interest			Redeemed	12/15/22	K		
157. Morgan Stanley Fin LLC Contin Incm Secs (61771EWE4)	B	Interest	K	T					
158. Morgan Stanley Fin Llc Contin 9.2% (61771E5A2)	C	Interest	K	T					
159. Citigroup Glbl Mkts Hldg Contin 8.65% (17328YWV2)	B	Interest	K	T					
160. Southwest Airlines Co Unsecd Note (844741BH0)	A	Interest			Redeemed	08/31/22	K	A	
161. Morgan Stanley 4.1% Corp Bond 05/22/23 (61747YDU6)	B	Interest	K	T					
162. Morgan Stanley Fin Llc Contin 14.25% (61773FJE4)	B	Interest			Sold	10/25/22	K		
163. Morgan Stanley Fin Llc Contin 7.75% (61773FJU8)	B	Interest			Sold	11/21/22	K		
164. Morgan Stanley Fin Llc Contin 8% (61773FNL3)	B	Interest			Sold	11/21/22	K		
165. Bank Montreal Barrier Nt Contin 7.5% (06368EWK4)	A	Interest			Sold	11/21/22	K		
166. Barclays Bank Plc Contin Cpn 9.4% (06799BUX3)	B	Interest			Redeemed	09/15/22	K		
167. Royal Bank Canada Contin Cpn 8.75% (78013G3T0)	B	Interest			Sold	12/29/22	K		
168. JPMorgan Chase Finl Contin Int Note 10.1% (48133CUU4)	B	Interest			Buy	01/21/22	K		
169.					Sold	11/21/22	K		
170. HSBC USA Inc Cllb Note Contin 10% (40428HRW4)	B	Interest	K	T	Buy	01/27/22	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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171. Morgan Stanley Fin LLC Mkt LKD Note (61770FCS3)		None	K	T					
172. GS Finance Corp Leveraged Buf 0% (40057JNM4)		None	K	T					
173. Citigroup Inc FLT% Corp Bond 05/17/24 (172967LL3)	A	Interest	K	T					
174. Delta Air Lines Inc De 2.9% (247361ZU5)	A	Interest	K	T					
175. Freeport Mcmoran Inc Sr Note 4.55% (35671DBL8)	A	Interest	K	T	Buy	07/12/22	K		
176. Vulcan Matls Co Note 4.500% (929160AS8)	B	Interest	K	T					
177. Autozone Inc 3.25% Corp Bond 04/15/25 (053332AR3)	A	Interest	K	T					
178. Murphy Oil Corp Note Cpn 5.75% (626717AJ1)	B	Interest	J	T	Sold (part)	11/30/22	J		
179. Goldman Sachs Group Inc Unsecd Medium Term Note 5.75% (38150APJ8)		None	K	T	Buy	09/30/22	K		
180. Wells Fargo & Co Medium Term Sr Note 4.1% (94974BFY1)	B	Interest	K	T	Buy	05/25/22	K		
181. Bank America Corp Medium Term Note 4.25% (06051GFL8)	A	Interest	K	T	Buy	04/26/22	K		
182. Jefferies Grp LLC Cap Fin Inc Sr Note (47233JAG3)	A	Interest	K	T	Buy	06/01/22	K		
183. United Techs Corp Note 3.125% (913017CR8)	A	Interest	K	T	Buy	04/25/22	K		
184. Southern Co Remarketable Jr Sub Note (842587DD6)		None	K	T	Buy	09/14/22	K		
185. Devon Energy Corp Sr Note 5.25% (25179MBD4)		None	K	T	Buy	11/29/22	K		
186. Bank America Corp Sr Medium Term Note 6.35% (06048WZ86)		None	K	T	Buy	10/27/22	K		
187. Marvell Tech Inc Sr Note 4.875% (573874AN4)	A	Interest	K	T	Buy	12/14/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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188. Synovus Finl Corp Sub Note Var Cpn 5.900% (87161CAM7)	C	Interest	K	T					
189. Pacific Premier Bancorp Inc Sub Note FXD/ VAR (69478XAE5)	B	Interest	K	T					
190. Account #8 (H)									
191. Virginia 529 2024 Age-Based Portfolio		None	M	T	Sold (part)	08/02/22	K		
192.					Sold (part)	12/12/22	K		
193. Account #9 (H)									
194. My529 Target Enrollment 2024/2025 Portfolio		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Parenthetical numbers in Part VII are CUSIP numbers.

Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kevin C. Newsom

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544