AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Newsom, Kevin C.	U.S. Court of Appeals, Eleventh Circuit	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Hugo L. Black United States Courthouse 1729 Fifth Avenue North Birmingham, AL 35203		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Faculty	Cumberland Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Cumberland Law School (teaching)	$16,497.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Golds Holding Corp (wages)
2.	2021	LTF Club Management Company LLC (wages)
3.	2021	Mountain Brook Club (wages)
4.	2021	Premier Fitness (wages)

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Georgetown University	June 17-20, 2021	Sarasota, FL	Educational Seminar	Transportation, meals, lodging
2.	Federalist Society	July 8-9, 2021	Washington, DC	Professional Activity	Transportation, meals, lodging
3.	William and Mary	September 10-11, 2021	Williamsburg, VA	Educational Activity	Transportation, meals, lodging
4.	Federalist Society	November 11-14, 2021	Washington, DC	Professional Activity	Transortation, meals, lodging
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo Bank (cash)	A	Interest	K	T					
3. Account #1 (H)									
4. Stifel Insured Bank Deposit (cash) (Y)									
5. Bank Nova Scotia Dow Jones Indust Avg LIRN Issuer (MLVJV) (06417P801)		None	K	T					
6. Morgan Stanley Fin LLC Contin Income SECS (61771EAJ7)	A	Interest			Redeemed	02/01/21	K		
7. Kraft Foods Group Inc 3.5% Corp Bond 06/06/22 (50076QAZ9)	A	Interest	J	T					
8. CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest	J	T					
9. JPMorgan Chase Bank NA Stoxx Glbl Sel (48128HY44)		None	J	T					
10. JPMorgan Chase & Co 3.25% Corp Bond 09/23/22 (46625HJE1)	A	Interest	J	T					
11. JPMorgan Chase Finl Co Cap Lookback Ret ENH (48132PQX5)		None	K	T					
12. HSBC USA Inc Buf AMPS (40438CXL0)		None	K	T					
13. GS Finance Corp RTY (40057FBH6)		None	J	T	Buy	02/23/21	J		
14. GS Finance Corp QQQ (40057FC93)		None	K	T	Buy	02/23/21	J		
15. Conoco 3.35% Corporate Bond 11/15/24 (20826FAD8)	A	Interest	J	T					
16. CitiGroup Blobal Markets Holding Barrier SECS (17328WZA9)		None	K	T					
17. Account #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18.	Stifel Insured Bank Deposit (cash) (X)	A	Interest	J	T					
19.	Dow Jones Industrial Average LIRN Issuer BNS (MLVJV) (06417P801)		None	K	T					
20.	Pioneer Natural Resource 3.45% Corp Bond 01/15/21 (723787AL1)	A	Interest			Redeemed	01/15/21	K		
21.	JPMorgan Chase Finl Co Cap DUF Ret ENH (48132KGZ2)		None			Redeemed	05/14/21	K	C	
22.	USD Goldcorp Inc 3.625% Corp Bond 06/09/21 (380956AF9)	A	Interest			Redeemed	04/09/21	K		
23.	HP Enterprise Co 3.5% Corp Bond 10/05/21 (42824CBC2)	A	Interest			Redeemed	09/07/21	K		
24.	Barclays Bank PLC Buf Supertrk Note 0.00% (06747MLP9)		None			Redeemed	10/29/21	K	D	
25.	HSBC USA Inc Buf Amps Lkd 0.00% (40435UKT0)		None			Redeemed	11/01/21	K	D	
26.	BofA Finance LLC Note Lkd 0.00% (09709TVP3)		None			Redeemed	11/02/21	K	A	
27.	Cigna Corp 4.0% Corp Bond 02/15/22 (125509BS7)	A	Interest			Redeemed	04/06/21	K	A	
28.	Kraft Food Group Inc 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest	K	T					
29.	JPMorgan Chase & Co FLT% Corp Bond 06/18/22 (46647PAT3)	A	Interest			Redeemed	06/18/21	K		
30.	CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest	K	T					
31.	Morgan Stanley Fin LLC Contin Income Note (61771BC28)	C	Interest	K	T					
32.	Celgene Corp 3.55% Corp Bond 08/15/22 (151020AR5)	A	Interest	K	T					
33.	JPMorgan Chase Finl Co Cap Lookback Ret ENH (48132PQX5)		None	K	T					
34.	Murphy Oil Corp Note 4.450% (626717AF9)		None			Redeemed	03/09/21	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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35. Morgan Stanley Fin LLC Contin Income Secs (61771EWE4)	B	Interest	K	T					
36. Goldman Sachs Group Inc 3.625% Corp Bond 01/22/23 (38141GRD8)	A	Interest	K	T					
37. Morgan Stanley Fin LLC Contin Incm Secs 9.2% (61771E5A2)	A	Interest	J	T	Buy	02/23/21	J		
38. JPmorgan Chase Finl Co Contin Int Note 12% (48132TUC8)	B	Interest	K	T	Buy	04/12/21	K		
39. Health Care Reit Inc 3.75% Corp Bond 03/15/23 (42217KBA3)	A	Interest			Redeemed	04/15/21	K	B	
40. HSBC USA Inc BUF AMPS (40438CXL0)		None	K	T					
41. GS Finance Corp Leveraged Buf Indx Note (40057ERM1)		None	J	T					
42. Toronto Dominion Bank Contin Int 9.45% (89117GBB2)	B	Interest	K	T	Buy	06/23/21	K		
43. GS Finance Corp Leveraged Buf Indx Note (40057EWV5)		None	J	T	Buy	01/26/21	J		
44. GS Finance Corp Leveraged Buf Indx Note (40057EXB8)		None	J	T	Buy	01/26/21	J		
45. GS Finance Corp Leveraged Buf Note Lkd Rty 0% (40057FBH6)		None	J	T	Buy	02/23/21	J		
46. BofA Finance LLC Contin Income Note 12.15% (09709TZ79)	A	Interest			Redeemed	02/03/21	K		
47. GS Finance Corp Leveraged Buf Note Lkd Rty 0% (40057FUQ5)		None	K	T	Buy	07/27/21	K		
48. JPMorgan Chase Bank NA Stoxx Global 0.00% (48128LJE0)		None	L	T					
49. Barclays Bank PLC Buf Supertrk 0% (06748EN66)		None	K	T	Buy	05/25/21	J		
50. GS Finance Corp Leveraged Buf 0% (40057JE77)		None	K	T	Buy	09/27/21	K		
51. Barclays Bank PLC Buf Supertrk Note 0.00% (06747MKB1)		None	K	T					

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52. GS Finance Corp Leveraged Buf 0% (40057JNJ1)		None	K	T	Buy	10/26/21	K		
53. GS Finance Corp Indx Lkd 0% (40057JXY7)		None	K	T	Buy	11/23/21	K		
54. Delta Air Lines Inc De Note 2.900% (247361ZU5)	A	Interest	K	T					
55. Murphy Oil Corp Note Cpn 5.75% (626717AJ1)	A	Interest	K	T	Buy	03/09/21	K		
56. CitiGroup Global Markets Holding Barrier SECS (17328WZA9)		None	K	T					
57. Morgan Stanley Fin LLC Buf Partn Secs (61771ENW4)		None	J	T					
58. GS Finance Corp Leveraged Buf Indx Note (40057ERL3)	A	Interest	K	T					
59. Goldman Sachs BDC Inc Sr Note (38147UAD9)		None	K	T					
60. GS Finance Corp Buf Index (40057FBC7)		None	J	T	Buy	02/23/21	J		
61. GS Finance Corp Buf Index (40057H4J6)		None	K	T	Buy	05/25/21	K		
62. Morgan Stanley Fin Llc Buf 0% (61773F3L5)		None	K	T	Buy	10/26/21	K		
63. Morgan Stanley Fin Llc Buf 0% (61773F8B2)		None	K	T	Buy	11/19/21	K		
64. Goldman Sachs Bank USA Basket (38149JST8)		None	K	T					
65. United Rent NA Inc SR SECD Note (911365BM5)	A	Interest	K	T					
66. JPmorgan Chase Bank Na 30Y USD 4% (48128US26)	A	Interest	K	T	Buy	04/20/21	K		
67. Angel Oak Financial Strat Inc Term (FINS)	A	Interest			Sold	10/25/21	J		
68. Account #3 (H)									

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69. CREF Stock R3 Fund		None	J	T					
70. CREF Growth R3 Fund		None	J	T					
71. CREF Equity Index R3 Fund		None	J	T					
72. CREF Global Equities R3		None	J	T					
73. CREF Equity Index R2 Fund		None	K	T					
74. CREF Bond Market R2 Fund		None	J	T					
75. TIAA Traditional Fund		None	J	T					
76. CREF Stock R2 Fund		None	J	T					
77. CREF Growth R2 Fund		None	J	T					
78. CREF Global Equities R2		None	J	T					
79. CREF Growth R1 Fund		None	J	T					
80. CREF Equity Index R1 Fund		None	J	T					
81. CREF Global Equities R1		None	J	T					
82. CREF Money Market Fund		None	J	T					
83. Account #4 (H)									
84. Fid Overseas K (FOSKX)		None	J	T					
85. Fid Growth Co K (FGCKX)		None	K	T					

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86. Fid OTC K (FOCKX)		None	K	T					
87. Fid 500 Index (FXAIX)		None	K	T					
88. Account #5 (H)									
89. Fid Overseas K (FOSKX)		None	J	T					
90. Fid Growth Co K (FGCKX)		None	K	T					
91. Fid OTC Portfolio (FOCKX)		None	K	T					
92. Fid 500 Index (FXAIX)		None	K	T					
93. Fidelity Money Market Retirement Gov II (FRTXX)		None	J	T					
94. Account #6 (H)									
95. Stifel Insured Bank Deposit Program (cash)	A	Interest	L	T					
96. IShares Mid Cap Russell (IWP)	A	Dividend	K	T					
97. IShares Russell 1000 Growth (IWF)	A	Dividend	K	T					
98. Deutsche Bank AG Leveraged Index Ret Note (MLTRN) (25156D423)		None			Redeemed	10/29/21	L	E	
99. HSBC USA Inc Leveraged Idx Ret Note (40434N887) (40434N887)		None			Redeemed	02/26/21	L	E	
100. S&P 500 CLIRN (MLTDQ) (40435B239)		None			Redeemed	12/22/21	K	E	
101. HSBC USA Inc Leveraged Idx Ret (MLZNC) (40435D698)		None	M	T					
102. HSBC USA Inc Leveraged Indx Ret Note DJIA (MLTUR) (40435X371)		None	K	T					

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103. Wells Fargo LIRN Dow Jones Ind Avg (MLVEQ) (94988U557)		None	K	T					
104. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056FE92)		None			Redeemed	12/02/21	K	D	
105. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056FRN7)		None	K	T					
106. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056XMZ6)		None	K	T					
107. GS Finance Corp Leveraged Indx Note 0.00% (40056FEL5)		None	K	T					
108. JPMorgan Chase Finl Co Bearish Knock Out Note (48132M3R0)		None	K	T					
109. CitiGroup Global Markets Holding Contin Equity SECS 8.15% (17328WXC7)	B	Interest			Redeemed	04/01/21	K		
110. BofA Finance LLC Contin Income CYN 10% (09709T2P5)	B	Interest			Redeemed	08/25/21	K		
111. Royal Bank Canada Contin CPN Barrier 14.25 (78016EPK7)	B	Interest	J	T					
112. Morgan Stanley Fin LLC BUF Plus (61771BCE2)		None	L	T					
113. GS Finance Corp Autocllb Indx Note (40057ENC7)		None			Redeemed	12/14/21	K	B	
114. BofA Finance LLC Note LKD SX5E (09709TTW1)		None	K	T					
115. GS Finance Corp Leveraged BUF Indx Note (40057EHM2)		None	K	T					
116. GS Finance Corp Leveraged BUF Indx Note (40057EHT7)		None	K	T					
117. GS Finance Corp Leveraged BUF Indx Note (40057EXT9)		None	J	T	Buy	01/26/21	J		
118. GS Finance Corp Leveraged BUF Indx Note (40057FL44)		None	K	T	Buy	03/26/21	K		
119. GS Finance Corp Leveraged BUF Indx Note (40057FLD4)		None	K	T	Buy	03/26/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GS Finance Corp Leveraged BUF Indx Note (40057FUT9)		None	K	T	Buy	04/27/21	K		
121. GS Finance Corp Leveraged BUF Indx Note (40057JEE2)		None	K	T	Buy	09/27/21	K		
122. Barclays Bank PLC BUF Supertrk Note (06747MKB1)		None	K	T					
123. GS Finance Corp Leveraged BUF Indx Note (40057JNK8)		None	K	T	Buy	10/26/21	K		
124. GS Finance Corp Leveraged BUF Indx Note (40057KBP7)		None	K	T	Buy	12/31/21	K		
125. Morgan Stanley Fin LLC BUF Partn SECS 0.00% (61769HMZ5)		None	K	T					
126. Morgan Stanley Fin LLC BUF Partn SECS 0.00% (61769HSV8)		None	K	T					
127. Morgan Stanley Fin LLC BUF Plus (61770FNH5)		None	K	T					
128. Morgan Stanley Fin LLC BUF Partn SECS (61771BCG7)		None	K	T					
129. JPMorgan Chase Finl Co Uncap Contin BUF Ret ENH (48132PYJ7)		None	K	T					
130. GS Finance Corp Leveraged BUF Indx Note (40057FXT6)		None	K	T	Buy	04/27/21	K		
131. GS Finance Corp Leveraged BUF Indx Note (40057HZA1)		None	K	T	Buy	08/26/21	K		
132. GS Finance Corp Leveraged BUF Indx Note (40057KBC6)		None	K	T	Buy	12/28/21	K		
133. American Fund Bal (ABALX)	B	Dividend	K	T	Sold (part)	05/20/21	K	C	
134. American Growth (AGTHX)	C	Dividend	K	T					
135. Account #7 (H)									
136. Stifel Insured Bank Program Money Market (cash) (X)	A	Dividend	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Green Brick Partners Inc Dep 5.75% (392709200)		None	K	T	Buy	12/29/21	K		
138. Stifel Finl Corp Dep Shs Repstg 6.125% (860630870)	A	Dividend			Sold	06/15/21	K	B	
139. Autonation Inc 3.35% Corp Bond 01/15/21 (0258M0DY2)	A	Interest			Redeemed	01/15/21	K		
140. Kroger Co 2.6% Corp Bond 02/01/21 (501044DB4)	A	Interest			Redeemed	02/23/21	K		
141. Citigroup Inc 2.7% Corp Bond 03/30/21 (172967KK6)	A	Interest			Redeemed	03/30/21	K		
142. USD Goldcorp Inc 3.625% Corp Bond 06/09/21 (380956AF9)	A	Interest			Redeemed	04/09/21	K		
143. Wells Fargo 2.1% Corp Bond 07/26/21 (949746SA0)		None			Sold	06/01/21	K	A	
144. Canada Nat Res 3.45% Corporate Bond 11/15/21 (136385AR2)	A	Interest			Redeemed	08/16/21	K		
145. Williams Partners 4.0% Corp Bond 11/15/21 (96950FAH7)	A	Interest			Redeemed	08/16/21	K		
146. JPMorgan Chase Finl Co Cap BUF Eqty Note (4813P3G7)		None	K	T					
147. Cigna Corp 4.0% Corp Bond 02/15/22 (125509BS7)	A	Interest			Redeemed	04/06/21	K	A	
148. Verizon Comm 2.946% Corp Bond 03/15/22 (92343VDQ4)	A	Interest			Redeemed	05/10/21	K	A	
149. Amgen Inc 2.65% Corp Bond 05/11/22 (031162CP3)	A	Interest			Redeemed	12/29/21	K	A	
150. Morgan Stanley 2.75% Corp Bond 05/19/22 (61744YAH1)	A	Interest	K	T					
151. Murphy Oil Corp Sr Note 4.00% (626717AD4)	A	Interest			Redeemed	04/06/21	K	B	
152. Kraft Food Group 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest	K	T					
153. BOFA Finance LLC Contin Incm YLD NT 6.65% (09709TT68)	A	Interest			Redeemed	03/30/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. USD Cenovus Energy 3.0% Bond 08/15/22 (15135UAG4)	A	Interest			Redeemed	10/20/21	K	A	
155. Kinder Morgan Ener Part 3.95% Corp Bond 09/01/22 (494550BL9)	A	Interest	K	T					
156. Hewlett-Packard Co 4.05% Corp Bond 09/15/22 (428236BXO)	B	Interest	K	T					
157. Oneok Partners 3.375% Corp Bond 10/01/22 (68268NAJ2)	A	Interest	K	T					
158. JPMorgan Chase Finl Co Cllb 9.25% (48132W3Q0)	A	Interest			Buy	09/28/21	K		
159.					Redeemed	12/31/21	K		
160. McKesson Corp New Note 2.700% (581557AZ8)	B	Interest	K	T	Sold (part)	11/22/21	K	A	
161. Morgan Stanley Fin LLC Contin Incm Secs (61771EWE4)	B	Interest	K	T					
162. CBS Corp New Gtd Sr Note 2.500% (124857AS2)	A	Interest			Redeemed	03/15/21	K	A	
163. Citigroup Glbl Mkts Hldg Contin 9.3% (17328YX66)	B	Interest			Buy	02/23/21	K		
164.					Redeemed	11/29/21	K		
165. Morgan Stanley Fin Llc Contin 9.2% (61771E5A2)	B	Interest	K	T	Buy	02/23/21	K		
166. CVS Health Corp 3.7% Corp Bond 03/09/23 (126650CV0)	B	Interest			Redeemed	12/28/21	K	A	
167. Citigroup Glbl Mkts Hldg Contin 8.65% (17328YWV2)	B	Interest	K	T	Buy	03/26/21	K		
168. Citigroup Glbl Mkts Hldg Contin 10% (17328NNE4)	A	Interest			Buy	04/16/21	K		
169.					Redeemed	07/21/21	K		
170. Southwest Airlines Co Unsecd Note (844741BH0)	B	Interest	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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171. Morgan Stanley 4.1% Corp Bond 05/22/23 (61747YDU6)	B	Interest	K	T					
172. Morgan Stanley Fin Llc Contin 14.25% (61773FJE4)	A	Interest	K	T	Buy	07/23/21	K		
173. Morgan Stanley Fin Llc Contin 7.75% (61773FJU8)	A	Interest	K	T	Buy	07/27/21	K		
174. Morgan Stanley Fin Llc Contin 0% (61773FNL3)	A	Interest	K	T	Buy	08/26/21	K		
175. Bank Montreal Barrier Nt Contin 7.5% (06368EWK4)	A	Interest	K	T	Buy	09/27/21	K		
176. Barclays Bank Plc Contin Cpn 9.4% (06748WUP6)		None	K	T	Buy	11/24/21	K		
177. Royal Bank Canada Contin Cpn 8.75% (78013G3T0)		None	K	T	Buy	12/30/21	K		
178. Morgan Stanley Fin LLC Mkt LKD Note (61770FCS3)		None	K	T					
179. GS Finance Corp Leveraged Buf 0% (40057JNM4)		None	K	T	Buy	10/26/21	K		
180. Citigroup Inc FLT% Corp Bond 05/17/24 (172967LL3)	A	Interest	K	T					
181. Delta Air Lines Inc De 2.9% (247361ZU5)	A	Interest	K	T	Buy	04/06/21	K		
182. Vulcan Matls Co Note 4.500% (929160AS8)	B	Interest	K	T					
183. Autozone Inc 3.25% Corp Bond 04/15/25 (053332AR3)	A	Interest	K	T					
184. Murphy Oil Corp Note Cpn 5.75% (626717AJ1)	A	Interest	K	T	Buy	04/06/21	K		
185. Opus Bk Irvine CA Sub Note Var Cpn 0.000% (684000AA0)	C	Interest			Redeemed	07/02/21	K		
186. Synovus Finl Corp Sub Note Var Cpn 5.900% (87161CAM7)	B	Interest	L	T					
187. Pacific Premier Bancorp Inc Sub Note FXD/ VAR (69478XAE5)	B	Interest	K	T					

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. Account #8 (H)									
189. Virginia 529 2024 Age-Based Portfolio		None	M	T	Sold (part)	07/28/21	K		
190.					Sold (part)	12/14/21	K		
191. Account #9 (H)									
192. My529 Age-Based Aggressive Global Portfolio	B	Interest			Sold	07/13/21	N		
193. My529 Target Enrollment 2024/2025 Portfolio		None	N	T	Buy	07/13/21	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Parenthetical numbers in Part VII are CUSIP numbers.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kevin C. Newsom

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544