AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nelson, Ryan D.	Ninth Circuit Court of Appeals	08/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2022 **to** 12/31/2022
	5b. ☐ Amended Report	
7. Chambers or Office Address		
350 Memorial Drive Idaho Falls, ID 83402		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Adjunct Professor	BYU Law School
2.	Adjunct Professor	UC Berkeley School of Law
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	BYU Law School (teaching)	$8,000.00
2.	2022	UC Berkeley School of Law (teaching)	$10,000.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Washington University Law School	01/26/2022 - 01/27/2022	Washington, DC	Moot Court Competition	Transportation, meals, lodging
2.	Federalist Society	01/28/2022 - 01/29/2022	Charlottesville, VA	Speaker	Transportation, meals, lodging
3.	Brigham Young University Law School	02/02/2022 - 02/04/2022	Provo, UT	Educational Seminar	Transportation, meals, lodging
4.	UC Berkeley School of Law	03/31/2022 - 04/02/2022	Berkeley, CA	Teaching	Transportation, meals, lodging

5.	UC Berkeley School of Law	04/07/2022 - 04/09/2022	Berkeley, CA	Teaching	Transportation, meals, lodging
6.	Federalist Society	04/20/2022 - 04/21/2022	Seattle, WA	Speaker	Transportation, meals, lodging
7.	University of Idaho College of Law	04/21/2022 - 04/22/2022	Boise, ID	Moot Court	Transportation, meals, lodging
8.	George Mason University Law School	05/11/2022 - 05/13/2022	Washington, DC	Educational Seminar	Transportation, meals, lodging
9.	Georgetown Center for the Constitution	06/08/2022 -06/12/2022	Sarasota, FL	Educational Seminar	Transportation, meals, lodging
10.	The Fund for American Studies	06/24/2022 - 06/25/2022	Washington, DC	Speaker	Transportation, meals, lodging
11.	George Mason University Law School	07/22/2022 - 07/30/2022	London, United Kingdom	Educational Seminar	Transportation, meals, lodging
12.	Federalist Society	09/19/2022 - 09/22/2022	Washington, DC	Speaker	Transportation, meals, lodging
13.	George Mason University Anti-trust Institute	09/25/2022 - 09/26/2022	Pray, MT	Educational Seminar	Transportation, meals, lodging
14.	Federalist Society	10/07/2022 - 10/09/2022	Las Vegas, NV	Speaker	Transportation, meals, lodging
15.	Judicial Education Institute	10/13/2022 - 10/14/2022	Deer Valley, UT	Educational Seminar	Transportation, meals, lodging
16.	Federalist Society	10/25/2022 - 10/26/2022	San Francisco, CA	Speaker	Transportation, meals, lodging
17.	University of Notre Dame Law School	10/27/2022 - 10/31/2022	South Bend, IN	Speaker	Transportation, meals, lodging
18.	Federalist Society	11/09/2022 - 11/12/2022	Washington, DC	Educational Seminar	Transportation, meals, lodging
19.	Federalist Society	11/17/2022 - 11/18/2022	Provo, UT	Speaker	Transportation, meals, lodging

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Nelson, Ryan D.	08/13/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	KeyBank	Mortgage on Rental Property, #1, Idaho Falls, ID	N
2.	KeyBank	Mortgage on Rental Property #2, Sun Valley, ID	O
3.	KeyBank	Line of Credit	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Key Bank (cash)	A	Interest	J	T					
3.	Northwestern Mutual Whole Life Insurance Policy	A	Dividend	K	T					
4.	Secured note: debtors Kathleen & Daniel Caudle III (50% Interest)	B	Interest	L	T					
5.	Rental Property #1, Idaho Falls, ID	E	Rent	O	W					
6.	Rental Property #2, Sun Valley, ID	G	Rent	P1	W					
7.	Account #1 (H)									
8.	LPL Deposit Cash (cash)	A	Interest	K	T					
9.	Artisan International Value Investor (ARTKX)	A	Dividend	K	T					
10.	Cohen & Steers Realty Cl L (CSRSX)	B	Dividend	K	T					
11.	Dodge & Cox International Stock (DODFX)	A	Dividend	K	T					
12.	Loomis Sayles Bond (LSBRX)	B	Dividend			Sold (part)	06/10/22	J		
13.						Sold (part)	06/17/22	J		
14.						Sold (part)	06/24/22	J		
15.						Sold (part)	07/01/22	J		
16.						Sold (part)	07/08/22	J		
17.						Sold (part)	07/15/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.					Sold (part)	07/22/22	J		
19.					Sold (part)	07/29/22	J		
20.					Sold (part)	08/05/22	J		
21.					Sold (part)	08/12/22	J		
22.					Sold	08/19/22	J		
23. T. Rowe Price Small Growth (PRDSX)		None	K	T					
24. T. Rowe Price Health Sciences (PRHSX)	A	Dividend	K	T					
25. T. Rowe Price Cap Apprec Investor Cl (PRWCX) (X)	A	Dividend	J	T					
26. Vanguard Inflation Protected Secs Adm Cl (VAIPX)	B	Dividend	K	T					
27. Vanguard Intermediate Term Bond Index Admiral Cl (VBILX)	A	Dividend			Sold	06/08/22	K		
28. Vanguard Short Term Bond Index Adm Cl (VBIRX)	A	Dividend	K	T	Buy	06/08/22	K		
29.					Sold (part)	06/23/22	J		
30.					Sold (part)	06/30/22	J		
31.					Sold (part)	07/07/22	J		
32.					Sold (part)	07/14/22	J		
33.					Sold (part)	07/21/22	J		
34.					Sold (part)	07/28/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35.						Sold (part)	08/04/22	J		
36.						Sold (part)	08/11/22	J		
37.						Sold (part)	08/18/22	J		
38.	Vanguard 500 Index Adm. (VFIAX)	B	Dividend	L	T					
39.	Vanguard Growth Index Adm. (VIGAX)	A	Dividend	K	T					
40.	Vanguard Wellesley Income Adm (VWIAX)	C	Dividend	K	T					
41.	Account #2 (H)									
42.	LPL Financial Cash Deposit (cash)	A	Interest	K	T					
43.	IShares Cohen & Steers REIT ETF (ICF)	A	Dividend	K	T					
44.	Schwab Total Stock Market Index (SWTSX)	A	Dividend	L	T					
45.	Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	K	T	Sold (part)	08/02/22	J		
46.	T Rowe Price US Small Cap Growth Equity Investor Cl (PRDSX)	A	Dividend	K	T					
47.	T Rowe Price Global Multi Sector Bond Investor Cl (PRSNX)	A	Dividend	J	T					
48.	T Rowe Price Capital Appreciation Investor Cl (PRWCX)	C	Dividend	L	T					
49.	Tweedy Browne Global Value (TBGVX)	B	Dividend	K	T					
50.	Vanguard Intermediate Term Bond Index Admiral Cl (VBILX)	A	Dividend	J	T					
51.	Vanguard Short Term Bd Idx Adm (VBIRX)	A	Dividend	J	T					

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52. Vanguard Consumer Staples Index Admiral Cl (VCSAX)	B	Dividend	L	T					
53. Vanguard Real Estate Index ETF (VNQ)	A	Dividend	J	T	Sold (part)	08/02/22	J		
54. Vanguard Small Cap Value Index Admiral Cl (VSIAX)	A	Dividend	K	T					
55. Account #3 (H)									
56. LPL Financial Cash Deposit (cash) (Y)									
57. Blackrock Health Sciences Opps Inv A (SHSAX)	B	Dividend	K	T					
58. Blackrock Health Sciences Opps Inv C (SHSCX)	A	Dividend	J	T					
59. DWS S&P 500 Index (SXPCX)	B	Dividend	K	T					
60. First Eagle Global A (SGENX)	A	Dividend	J	T					
61. Pimco Income C (PONCX)	A	Dividend	J	T					
62. Account #4 (H)									
63. Blackrock Health Sciences Opps Inv C (SHSCX)		None	J	T	Buy	08/11/22	J		
64. DWS S&P 500 Index (SXPCX) (X)	A	Dividend	J	T					
65. Hartford Capital Appreciation A (ITHAX)		None			Sold (part)	05/03/22	J		
66.					Sold (part)	05/10/22	J		
67.					Sold (part)	05/17/22	J		
68.					Sold (part)	05/24/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
69.					Sold (part)	05/31/22	J		
70.					Sold (part)	06/04/22	J		
71.					Sold (part)	06/14/22	J		
72.					Sold (part)	06/21/22	J		
73.					Sold (part)	06/28/22	J		
74.					Sold (part)	07/05/22	J		
75.					Sold	07/12/22	J		
76. Account #5 (H)									
77. LPL Financial Cash Deposit (cash) (X)	A	Interest	J	T					
78. Blackrock Equity Dividend Inv A (MDDVX)	A	Dividend			Sold (part)	04/14/22	J		
79.					Sold (part)	04/21/22	J		
80.					Sold (part)	04/29/22	J		
81.					Sold (part)	05/05/22	J		
82.					Sold (part)	05/12/22	J		
83.					Sold	05/19/22	J		
84. Blackrock Health Sciences Opps Inv A (SHSAX)	A	Dividend	J	T					
85. Account #6 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
86. LPL Financial Cash Deposit (cash) (Y)									
87. Blackrock Health Sciences Opps Inv C (SHSCX)		None	J	T	Buy	03/11/22	J		
88. DWS S&P 500 Index (SXPCX)	A	Dividend	J	T	Buy	03/11/22	J		
89. Account #7 (H)									
90. LPL Financial Cash Deposit (cash) (X)	A	Interest	J	T					
91. Blackrock Health Sciences Opps Inv C (SHSAX)	A	Dividend	J	T					
92. DWS S&P 500 Index (SXPCX) (X)	A	Dividend	K	T					
93. Account #8 (H)									
94. American Funds Amcap Fund 529-A (CAFAX)	B	Dividend	K	T					
95. Account #9 (H)									
96. American Funds Cap World Growth and Income 529-A (CWIAX)	A	Dividend	J	T	Sold (part)	01/03/22	J		
97. American Funds Fundamental Investors 529-A (CFNAX)	B	Dividend	K	T	Sold (part)	01/03/22	J		
98. Account #10 (H)									
99. American Funds Growth Fund America (CGFAX)	A	Dividend	J	T					
100. American Funds Washington Mutual (CWMAX)	B	Dividend	K	T					
101. Account #11 (H)									
102. American Funds Investment Co of America (CICAX)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Name of Person Reporting	Date of Report
Nelson, Ryan D.	08/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ryan D. Nelson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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