AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nalbandian, John B.	Sixth Circuit	05/07/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Judge-Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Sixth Circuit Court of Appeals Potter Stewart US Courthouse 100 East Fifth Street, Room 606 Cincinnati, OH 45202		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Adjunct Professor/Jurist in residence	Northern Kentucky University Chase School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2022	Taft law firm cash pension plan -- will be paid sometime in the future
2. 2022	Taft law firm return of capital and payments for completed work
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Taft Law firm compensation for past work as per partnership agreement	$21,407.93
2.	2021	Northern Kentucky University compensation for teaching	$10,000.00
3.	2021	Georgetown University stipend for travel expenses	$1,000.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Georgetown Center for the Constitution	6/17/21-6/20/21	Sarasota, FL	Educational Seminar	Travel, lodging, meals
2.	Northwestern University Federalist Society	10/13/21-10/14/21	Chicago, IL	law school lecture	Travel, lodging, meals
3.	Federalist Society	11/10/21-11/14/21	Washington, DC	educational seminar	Travel, lodging, meals
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Mohela	student loans	K
2.	Chase Bank	Credit card	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Nalbandian, John B.	05/07/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Empower Retirement Accounts (H)									
2. T.Rowe Price/2035	G	Dividend	P1	T					
3.									
4. Morgan Stanley Accounts (H)									
5. Morgan Stanley Account (H)									
6. TRAK CGCM[redacted] (H)									
7. TEMUX	A	Dividend	J	T					
8. THYUK	A	Dividend	J	T					
9. TILUX	A	Dividend	J	T					
10. TIEUX	A	Dividend	J	T					
11. TIFUX	A	Dividend	J	T					
12. TLGUX	A	Dividend	J	T					
13. TMUUX	A	Dividend	J	T					
14. TSGUX	A	Dividend	J	T					
15. TSDUX	A	Dividend	J	T					
16.									
17. Morgan Stanley Rollover IRA (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. TRAK Fund Solution IRA - [redacted] (H)									
19. MCGFX	A	Dividend	J	T	Buy	10/04/21	J		
20. ARTQX	A	Dividend	J	T	Buy	10/04/21	J		
21. BGRFX	A	Dividend	J	T					
22. BHYAX	A	Dividend	J	T	Buy	10/04/21	J		
23. NBGEX	A	Dividend	J	T					
24. OAKMX	A	Dividend	J	T	Buy	10/04/21	J		
25. PEEAX		None	J	T					
26. PTLAX	A	Dividend	J	T	Buy	10/04/21	J		
27. PSHAX	A	Dividend	J	T					
28. PTTAX	A	Dividend	J	T	Buy	10/04/21	J		
29. THDAX		None	J	T	Buy	10/04/21	J		
30. TIGAX	A	Dividend	J	T	Buy	10/04/21	J		
31.									
32. MCGIX	B	Distribution			Sold	10/04/21	J		
33.					Buy (add'l)	03/24/21	J		
34.					Distributed (part)	03/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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35. APDQX		None			Sold	10/04/21	J		
36. BGRIX		None			Sold	10/04/21	J		
37. BHYIX	A	Dividend			Sold	10/04/21	J		
38.					Sold (part)	06/04/21	J		
39. NBGIX		None			Sold	10/04/21	J		
40. OAYMX		None			Sold	07/12/21	J		
41.					Sold (part)	04/09/21	J		
42. PEGZX		None			Sold	10/04/21	J		
43. PLDPX	A	Dividend			Sold	10/04/21	J		
44. PTSPX	A	Dividend			Sold	10/04/21	J		
45. PTTPX	A	Dividend			Sold	10/04/21	J		
46.					Sold (part)	06/04/21	J		
47. THDIX		None			Sold	10/04/21	J		
48. TINGX		None			Sold	10/04/21	J		
49. PLCPX		None			Sold	10/04/21	J		
50. OANMX	A	Dividend			Sold	10/04/21	J		
51.					Buy	07/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Morgan Stanley Rollover IRA (H)									
53. TRAK Fund Solution IRA - [redacted] (H)									
54. MCGFX	B	Dividend	J	T	Buy	10/04/21	J		
55. ARTQX	A	Dividend	J	T	Buy	10/04/21	J		
56. BGRFX		None	J	T					
57. BHYAX	A	Dividend	J	T	Buy	10/04/21	J		
58. NBGEX		None	J	T	Buy	10/04/21	J		
59. OAKMX	A	Dividend	J	T	Buy	10/04/21	J		
60. PEEAX		None	J	T	Buy	10/04/21	J		
61. PTLAX	A	Dividend	J	T	Buy	10/04/21	J		
62. PSHAX	A	Dividend	J	T	Buy	10/04/21	J		
63. PTTAX	A	Dividend	J	T	Buy	10/04/21	J		
64. THDAX		None	J	T	Buy	10/04/21	J		
65. TIGAX	A	Dividend	J	T	Buy	10/04/21	J		
66.									
67. MCGIX	B	Dividend			Sold	10/04/21	J		
68.					Buy	03/24/21	J		

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69.					Distributed (part)	03/24/21	J		
70. APDQX		None			Sold	10/04/21	J		
71. BPRIX		None			Sold	10/04/21	J		
72. BGRIX		None			Sold	10/04/21	J		
73. BHYIX	A	Dividend			Sold	10/04/21	J		
74.					Sold (part)	06/04/21	J		
75. NBGIX	A	Dividend			Sold	10/04/21	J		
76. OAYMX	A	Dividend			Sold	07/12/21	J		
77.					Sold (part)	04/09/21	J		
78. PEGZX		None			Sold	10/04/21	J		
79. PLDPX	A	Dividend			Sold	10/04/21	J		
80.					Buy	09/17/21	J		
81.					Sold (part)	06/04/21	J		
82.					Buy	04/09/21	J		
83. PTSPX	A	Dividend			Sold	10/04/21	J		
84.					Buy	09/17/21	J		
85.					Sold (part)	06/04/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86. PTTPX	A	Dividend			Sold	10/04/21	J		
87.					Buy	06/04/21	J		
88.					Buy	04/09/21	J		
89. THDIX	A	Dividend			Sold	10/04/21	J		
90. TINGX	A	Dividend			Sold	10/04/21	J		
91. PLCPX	A	Dividend			Sold	10/04/21	J		
92. OANMX	A	Dividend			Sold	10/04/21	J		
93.					Buy	07/12/21	J		
94. Cash Value Life Insurance (H)									
95. Northwestern Mutual Variable Comp Life JBN Insurance (H)									
96. -Gov't Money Market (MSA/Blackrock Advisors LLC)	A	Dividend	J	T					
97. -Growth Stock (MSA/T Rowe Price)	A	Dividend	J	T					
98. -Index 500 Stock (MSA/BlackRock)	A	Dividend	K	T					
99. -Large Cap Core Stock (MSA/Wellington Management)	A	Dividend	J	T					
100. -Mid Cap Grown Stock (MSA/Wellington Management)	A	Dividend	J	T					
101. -Balanced (MSA)	A	Dividend	J	T					
102.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
103. -Northwestern Mutual 65 Life JBN [redacted]	A	Dividend	J	T					
104. -Northwestern Mutual 65 Life JBN [redacted]	A	Dividend	J	T					
105. -Northwestern Mutual 65 Life JBN [redacted]	A	Dividend	J	T					
106. -Northwestern Mutual 65 Life CFM [redacted]	A	Dividend	K	T					
107. -Northwestern Mutual 65 Life CFM [redacted]	A	Dividend	K	T					
108. -Northwestern Mutual 65 Life CFM [redacted]	A	Dividend	K	T					
109.									
110. Learning Quest 529 Education Accounts (H)									
111. [redacted] Short Term Portfolio	A	Dividend	K	T	Distributed (part)	12/27/21	K		
112. [redacted] Moderate Track 30% Equity Portfolio	A	Dividend			Distributed	12/27/21	J		
113.					Distributed (part)	07/22/21	K		
114.					Distributed (part)	05/17/21	J		
115.									
116. -U.S. Bank -- cash accounts	A	Interest	N	T					
117.									
118. -Bitcoin cryptocurrency		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Nalbandian, John B.	05/07/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Nalbandian, John B.	05/07/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John B. Nalbandian

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544