AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Murphy, Michael R.	U.S.C.A. 10th Circuit	05/11/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge, Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Bennett Federal Bldg., Room 5438 125 South State Street Salt Lake City, UT 84138-1181		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. See VIII	Utah Judicial Retirement
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/30/21	Annuity - State of Utah Judicial Retirement Plan. The income shown is the annual distribution -- See Section VIII	$52,722.22
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Murphy, Michael R.	05/11/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	WF PMA premier ckg	A	Interest	K	T					
2.	WF Money Market Prime Checking Acct.	A	Interest	J	T					
3.	Wells Fargo IRA Brokerage Acct (including)									
4.	-- IOO IShares Global 100	A	Dividend	K	T					
5.	-- EZU IShares MSCI Eurozone	A	Dividend	J	T					
6.	-- IVW IShares S&P 500 Growth	A	Dividend	K	T	Sold (part)	08/03/21	J	A	
7.						Sold (part)	11/08/21	J	A	
8.	-- SPY SPDR S&P 500 Trust Unit	A	Dividend	L	T					
9.	-- MDY SPDR S&P Midcap 400 Trust Series N	A	Dividend	K	T					
10.	-- VWO Vanguard Intl Equity Index Fds FTSE Emerging Markets	A	Dividend	K	T	Sold (part)	11/08/21	J	A	
11.	-- EFA IShares MSCI EAFE Index Fund	A	Dividend	J	T					
12.	-- WPS IShares Intl Developed Property	A	Dividend	J	T					
13.	-- EMB IShares JP Morgan USD Emerging Markets Bond Fd	A	Dividend	J	T					
14.	-- PCY Invesco TR II Emerging Mkts Sovereign Debt	A	Dividend	J	T					
15.	-- PHB Invesco TR II Fundamental High Yield Corporate Bd	A	Dividend	J	T					
16.	-- IJT IShares S&P Small Cap 600 Growth	A	Dividend	K	T	Buy (add'l)	08/03/21	J		
17.	-- IJS IShares S&P Small Cap 600 Value	A	Dividend	K	T	Buy (add'l)	08/03/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18. -- IJK IShares S&P Midcap 400 Growth	A	Dividend	K	T	Buy (add'l)	08/03/21	J		
19. -- IVE IShares S&P 500 Value	A	Dividend	K	T	Sold (part)	08/03/21	J		
20. -- XMLV Invesco Tr II S&P Midcap Low Volatility	A	Dividend	K	T					
21. -- SRLN SPDR Blackstone/GSO Senior Loan	A	Dividend	J	T					
22. -- SPLV Invesco TR II S&P 500 Low Volatility	A	Dividend	J	T					
23. -- VGT Vanguard Information Tech	A	Dividend	K	T	Sold (part)	11/08/21	J	A	
24. -- VHT Vanguard Healthcare	A	Dividend	J	T					
25. -- VDE Vanguard Energy	A	Dividend	K	T					
26. -- Cash & Sweep Funds	A	Interest	J	T					
27. -- Mutual Fund GIUSX Guggenheim FDS Invt Grade Bd FD	A	Dividend	K	T					
28. -- Mutual Fund WIPIX Wells Fargo Funds Core Plus Board Fund	A	Dividend	K	T					
29. WELLS FARGO STANDARD BROKERAGE ACCOUNT									
30. -- PCMFX Principal Calif. Municipal Fund Institutional Class	A	Dividend	K	T	Buy (add'l)	08/03/21	J		
31. -- ALCVX AB Muni Incm Calif Adv Class Shares	A	Dividend	K	T	Buy (add'l)	08/03/21	J		
32. -- VHT Vanguard Healthcare	A	Dividend	J	T					
33. -- VDE Vanguard Energy	A	Dividend	J	T					
34. -- VGT Vanguard Information Technology	A	Dividend	J	T					

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35. -- XLF Select Sector SPDR TR Financial (x)	A	Dividend	J	T	Buy	08/03/21	J		
36. -- VOX Vanguard Communication Services (x)	A	Dividend	J	T	Buy	08/03/21	J		
37. -- MDY SPDR S&P Midcap 400 Trust Series N (x)	A	Dividend	J	T	Buy	08/03/21	J		
38.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III: Non-Investment Income

As of the date of my resignation from the Third District Court, State of Utah, in October 1995, I had accumulated nine years in the state judicial retirement plan. In February 2009 I transferred funds from the Wells Fargo IRA to purchase an additional year, bringing my total years to ten. That qualified me to receive benefits when I reached the age of 62 in August 2009. This is an annuity type plan. In 2020 I received monthly payments from the Utah State Judicial Retirement Plan for a total of $52,722.22.

Name of Person Reporting	Date of Report
Murphy, Michael R.	05/11/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael R. Murphy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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