AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Moore, Karen N.	U.S. Court of Appeals-6th Cir.	05/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Carl B. Stokes U.S. Courthouse 801 W. Superior Ave., Ste 21A Cleveland, Ohio 44113-1831		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #2
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Northwestern University Law School	3/2/23-3/3/23	Chicago, IL	Judge Moot Court	Transportation, Meals, Hotel
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Key Bank Account	A	Interest	K	T					
2. Key Bank Money Market Savings	C	Interest	M	T					
3. IRA T. Rowe Price New Horizons Fund I Cl		None	O	T	Sold (part)	01/20/23	J		
4.					Sold (part)	02/21/23	J		
5.					Sold (part)	03/20/23	J		
6.					Sold (part)	04/20/23	J		
7.					Sold (part)	05/22/23	J		
8.					Sold (part)	06/20/23	J		
9.					Sold (part)	07/20/23	J		
10.					Sold (part)	08/21/23	J		
11.					Sold (part)	10/20/23	J		
12. TIAA Traditional	E	Interest	O	T					
13. TIAA Real Estate		None	M	T					
14. CREF Stock R3		None	O	T					
15. CREF Global Equities R3		None	M	T					
16. T-C Emrg Mkts Eq Idx-Inst (exchange out to Vanguard Total Intl Stock)		None			Sold	08/03/23	M		Closed
17. Vanguard Total Intl Stock Index Fund (exchange in from T-C Emrg Mkts)	C	Dividend	M	T	Buy	08/03/23	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. CREF Social Choice R3		None	M	T					
19. PIMCO Inf Resp Mult Ast Inst	A	Dividend	M	T					
20. Diamond Hill Lrg Cap Fd Y	D	Dividend	M	T	Sold (part)	11/01/23	L		
21. Boston Trust SMID Cap	C	Dividend	N	T					
22. T-C S&P 500 Idx-Inst (exchange out to Vanguard Inst Indx Fd Inst Plus)		None			Sold	08/03/23	K		Closed
23. Vanguard Inst. Index Fund Inst. Plus (exchange in from T-C S&P 500)	A	Dividend	K	T	Buy	08/03/23	K		
24. Harbor Divsfed Intl All Cp Rt	D	Dividend	N	T	Sold (part)	11/01/23	L		
25. CREF Core Bond Mkt R3		None	K	T					
26. Vanguard Wellesley Income Fund (Retirement Fund)	A	Dividend			Sold (part)	01/09/23	J		
27.					Sold (part)	02/09/23	J		
28.					Sold (part)	03/09/23	J		
29.					Sold (part)	04/10/23	J		
30.					Sold (part)	05/09/23	J		
31.					Sold (part)	06/09/23	J		
32.					Sold (part)	07/10/23	J		
33. (exchange out 8/1/23 to Vanguard Target Ret. Income Fund)					Sold	08/01/23	M		Closed
34. Vanguard Target Ret. Income Fund (exchange in from Vanguard Wellesley)	B	Dividend	M	T	Buy	08/01/23	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35.					Sold (part)	08/09/23	J		
36.					Sold (part)	09/11/23	J		
37.					Sold (part)	10/07/23	J		
38.					Sold (part)	11/09/23	J		
39.					Sold (part)	12/11/23	J		
40. Diamond Hill Large Cap Fund	A	Dividend	M	T	Sold (part)	01/09/23	J		
41.					Sold (part)	02/09/23	J		
42.					Sold (part)	03/09/23	J		
43.					Sold (part)	04/10/23	J		
44.					Sold (part)	05/09/23	J		
45.					Sold (part)	06/09/23	J		
46.					Sold (part)	07/10/23	J		
47.					Sold (part)	08/09/23	J		
48.					Sold (part)	09/11/23	J		
49.					Sold (part)	10/07/23	J		
50.					Sold (part)	11/09/23	J		
51.					Sold (part)	12/11/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. Vanguard Wellington Fund (Retirement Fund)	A	Dividend	M	T	Sold (part)	01/09/23	J		
53.					Sold (part)	02/09/23	J		
54.					Sold (part)	03/09/23	J		
55.					Sold (part)	04/10/23	J		
56.					Sold (part)	05/09/23	J		
57.					Sold (part)	06/09/23	J		
58.					Sold (part)	07/10/23	J		
59.					Sold (part)	08/09/23	J		
60.					Sold (part)	09/11/23	J		
61.					Sold (part)	10/07/23	J		
62.					Sold (part)	11/09/23	J		
63.					Sold (part)	12/11/23	J		
64. Dodge & Cox Stock Fund (Rollover IRA)	D	Dividend	M	T					
65. Fidelity Capital Appreciation (Rollover IRA)	C	Dividend	M	T					
66. T. Rowe Price Growth Stock I Cl	C	Dividend	M	T					
67. IRA American Funds--Investment Co of Am CL A	C	Dividend	L	T					
68. American Funds--Capital Inc Bldr Fund CL A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
69.	IRA Income Fund of Am Fd CL A	B	Dividend	K	T	Sold (part)	08/16/23	J	A	
70.	Franklin Rising Div Fd	B	Dividend	K	T					
71.	Income Fund of Am Fd CL A	A	Dividend	K	T	Buy (add'l)	08/18/23	J		
72.	Franklin Mut Glbl DScv Fd CL A	A	Dividend	J	T					
73.	Franklin Fed Tax Free Income CL A	A	Dividend	J	T					
74.	Franklin High Yield Tax Free	A	Dividend	J	T					
75.	Franklin Fed Intr-TM Tx FR Incm	A	Dividend	J	T					
76.	IRA American Fnd New Perspective Fd CL A	C	Dividend	L	T					
77.	Franklin Dynatech Fund Cl A	A	Dividend			Sold	08/16/23	J	C	Closed
78.	Franklin Equity Income Fund A	A	Dividend			Sold	08/16/23	J	B	Closed
79.	Franklin Fed Intr--Tm Txfr Incm A	A	Dividend			Sold	08/16/23	K		Closed
80.	Franklin Fed Ltd Term Tax Free	A	Dividend			Sold (part)	02/09/23	K		
81.						Sold	08/16/23	J		Closed
82.	Franklin Fed Tax-Free Income A	A	Dividend	K	T					
83.	Franklin Rising Dividends Fd A	A	Dividend	K	T					
84.	Fidelity Asset Manager 40%	B	Dividend	L	T					
85.	Fidelity Freedom Index 2025 Investor (Rollover IRA)	C	Dividend	M	T	Sold (part)	10/25/23	J	B	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
86. Fidelity 500 Index Premium Class	A	Dividend	L	T	Buy (add'l)	10/05/23	K		
87. Fidelity Tax Free Bond	B	Dividend	L	T					
88. Fidelity Ohio Muni Income	B	Dividend	L	T					
89. Fidelity Govt Money Mkt	C	Dividend	L	T	Sold (part)	10/06/23	J		
90. Fidelity Asset Manager 60%	B	Dividend	L	T					
91. Fidelity Zero Int' Index	A	Dividend	K	T					
92. Fidelity Zero Total Market Index	A	Dividend	L	T					
93. Am. Tax-Aware Cons G&IA	C	Dividend	L	T					
94. Am. Fundamental Inv A	A	Dividend	J	T					
95. Am. Growth Fd of Am A	A	Dividend	J	T					
96. Am. New Persp. A	A	Dividend	J	T					
97. Franklin Ohio Tax-Free Income	A	Interest	K	T					
98. Huntington Nat'l Bank	D	Interest	M	T					
99. Goldman Sachs Bank	A	Interest	J	T					
100. Am Exp. Natl Bk Brok CD	B	Interest	M	T	Buy	03/27/23	L		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Items 77-83 and 99 are from Trust #2.

Name of Person Reporting	Date of Report
Moore, Karen N.	05/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Karen N. Moore

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544