AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McKeown, Mary M.	U.S. Court of Appeals for the Ninth Circuit	07/25/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

U.S. Court of Appeals
401 West A Street
San Diego, California 92101

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Managerial Board	International Association of Women Judges
2.	Council	American Law Institute
3.	Adjunct Faculty	University of San Diego Law School
4.	Board	Spencer Williams Foundation
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
McKeown, Mary M.	07/25/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	University of San Diego Law School - teaching	$19,999.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	University of California San Diego - Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Womentum Conference	October 28-29, 2021	Jackson Hole, WY	Speaker	Meals, transportation, lodging
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo	Mortgage on Rental Property #1	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. BANK OF AMERICA (CASH)	A	Interest	K	T					
3. NORTHERN TRUST (CASH)	A	Interest	O	T					
4. BOEING (BA)		None	J	T					
5. RENTAL PROPERTY #1, SAN FRANCISCO, CA (50% INTEREST)	D	Rent	O	W					
6. RENTAL PROPERTY #2, SAN JUAN CO, WA	D	Rent	N	W					
7. ACCOUNT #1 (H)									
8. MFB NORTH FDS US GOVT MM FUND (NOGXX) (cash equiv)	A	Interest	M	T					
9. ABBVIE INC COM (ABBV)	A	Dividend	J	T					
10. AMAZON.COM INC (AMZN)		None	L	T					
11. AMER EX (AXP)	A	Dividend	K	T					
12. AMGEN INC (AMGN)	A	Dividend	K	T					
13. APPLE INC (AAPL)	A	Dividend	M	T					
14. AUTODESK INC (ADSK)		None	K	T					
15. AUTOMATIC DATA PROC (ADP)	A	Dividend	K	T					
16. BRISTOL MEYERS SQUIBB CO COM (BMY)	A	Dividend	J	T					
17. COSTCO WHOLESALE (COST)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

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McKeown, Mary M.	07/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CVS CAREMARK (CVS)	A	Dividend	K	T					
19. DANAHER CORP (DHR)	A	Dividend	L	T					
20. DOMINION RES (D)	A	Dividend	J	T					
21. HOME DEPOT (HD)	B	Dividend	L	T					
22. INTEL CORP (INTC)	A	Dividend	J	T					
23. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					
24. MCDONALDS (MCD)	A	Dividend	K	T					
25. MEDTRONIC PLC COMMON STOCK (MDT)	A	Dividend	J	T					
26. MFC ISHARES TR GLOBAL INFRASTRUCTURE ETF INDEX FD (IGF)	C	Dividend	L	T					
27. MFC ISHARES TR RUSSELL 1000 GROWTH ETF (IWF)	A	Dividend	K	T					
28. MFC SCTR SPDR BEN INT-HLTH CARE (XLV)	A	Dividend	K	T					
29. MFC SLCT SCTR SPDR CONSUMER STAPLES (XLP)	A	Dividend	J	T					
30. MFC SELECT SECT SPDR FINL (XLF)	B	Dividend	L	T					
31. MFC SELECT SECTOR SPDR TECH (XLK)	A	Dividend	L	T					
32. NIKE INC CL B (NKE)	A	Dividend	K	T					
33. NORFOLK SOUTHERN (NSC)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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34. ORACLE CORP (ORCL)	A	Dividend	J	T					
35. PEPSICO INC (PEP)	A	Dividend	K	T					
36. PROCTOR & GAMBLE (PG)	A	Dividend	K	T					
37. QUALCOMM INC COM (QCOM)	A	Dividend	K	T					
38. RAYTHEON TECHNOLOGIES CORP (RTX)	A	Dividend	J	T					
39. AMER WTR WKS (AWK)	A	Dividend	K	T					
40. CITRIX SYS (CTXS)	A	Dividend	J	T					
41. FORTIVE CORP COM (FTV)	A	Dividend	J	T					
42. NUCOR CORP (NUE)	A	Dividend	J	T					
43. OMNICOM GRP (OMC)	A	Dividend	J	T					
44. VF CORP (VFC)	A	Dividend	K	T					
45. MFC ISHARES CORE S&P (IJR)	C	Dividend	M	T					
46. MFC SPDR S&P MIDCAP (MDY)	B	Dividend	M	T					
47. MFC VANGUARD INTL EQ (VEU)	D	Dividend	N	T					
48. MFC VANGUARD EMERG (VWO)	C	Dividend	L	T					
49. MFB NORTH FDS FX INC (NOFIX)	B	Dividend	M	T					
50. MFB NORTH HI YLD FXD INC (NHFIX)	D	Dividend	N	T					

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51. MFC ISHARES TR 1-5 YR CORP BOND ETF (IGSB)	C	Dividend	M	T					
52. MFC ISHARES TR 5-10 YR INV GRADE CORP BOND ETF (IGIB)	C	Dividend	M	T					
53. MFB NORTH FDS GLOBAL RE INDEX (NGREX)	C	Dividend	L	T					
54. MFC FLEXSHARES TR MRNGSTR GLBL UPSTREAM NAT RES INDEX (GUNR)	C	Dividend	L	T					
55. ACCOUNT #2 (H)									
56. TEMPLETON FOREIGN CL A (TEMFX)	C	Dividend	L	T	Sold (part)	02/11/21	J		
57. ACCOUNT #3 (H)									
58. AMERICAN BALANCED CL A (ABALX)	D	Dividend	M	T	Sold (part)	12/27/21	J	B	
59. ACCOUNT #4 (H)									
60. UC SAVINGS FUND	A	Int./Div.	J	T					
61. UC PATHWAYS 2015 FUND	A	Dividend	M	T					
62. UC PATHWAYS INCOME FUND	A	Dividend	O	T					
63. ACCOUNT #5 (H)									
64. INVESCO VI TECHNOLOGY FUND		None	L	T					
65. ALGER LARGE CAP GROWTH (AAGOX)		None	L	T					
66. AMER CENTURY VP INTL (AVIIX)		None	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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67. BARON GROWTH OPPORT FND		None	L	T					
68. SCHWAB S&P 500 INDEX FUND (SWPPX)		None	L	T					
69. ACCOUNT #6 (H)									
70. US GOVT MM FUND (NOGXX) (cash)	A	Dividend	K	T					
71. ABBOTT LAB (ABT)	A	Dividend	J	T					
72. ABBVIE (ABBV)	A	Dividend	J	T					
73. AMAZON.COM INC (AMZN)		None	K	T					
74. AMER EX (AXP)	A	Dividend	J	T					
75. AMGEN INC (AMGN)	A	Dividend	J	T					
76. APPLE (APPL)	A	Dividend	L	T					
77. AUTODESK INC (ADSK)		None	J	T					
78. BRISTOL MEYERS SQUIBB CO COM (BMY)	A	Dividend	J	T					
79. COSTCO (COST)	A	Dividend	K	T					
80. CVS CAREMARK (CVS)	A	Dividend	J	T					
81. DANAHER CORP (DHR)	A	Dividend	K	T					
82. DOMINION (D)	A	Dividend	J	T					
83. INTEL CORP (INTC)	A	Dividend	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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84. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
85. MCDONALDS (MCD)	A	Dividend	J	T					
86. MEDTRONIC PLC COMMON STOCK (MDT)	A	Dividend	J	T					
87. MFB NORTH STK INDEX (NOSIX)	C	Dividend	M	T					
88. MFC ISHARES TR GLOBAL INFRASTRUCTURE ETF INDEX FD (IGF)	A	Dividend			Sold	06/29/21	K		
89. MFC SECTOR SPDR BEN INT-HLTH (XLV)	A	Dividend	K	T					
90. MFC SLT SECTOR SPDR FINANCIAL (XLF)	A	Dividend	K	T					
91. MFC SLECT SECTOR SPDR TECH (XLK)	A	Dividend	L	T					
92. NIKE INC CL B (NKE)	A	Dividend	J	T					
93. NORFOLK SOUTHERN (NSC)	A	Dividend	J	T					
94. ORACLE (ORCL)	A	Dividend	J	T					
95. PEPSICO INC (PEP)	A	Dividend	J	T					
96. PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
97. QUALCOMM (QCOM)	A	Dividend	J	T					
98. RAYTHEON TECHNOLOGIES CORP (RTX)	A	Dividend	J	T					
99. CITRIX SYS (CTXS)	A	Dividend	J	T					

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100. FORTIVE CORP (FTV)	A	Dividend	J	T					
101. GRAINGER (GWW)	A	Dividend	J	T					
102. OMNICOM GRP (OMC)	A	Dividend	J	T					
103. PRINCIPAL FINL GRP (PFG)	A	Dividend	J	T					
104. VF CORP COM (VFC)	A	Dividend	J	T					
105. MFC ISHARES TR CORE S&P (IJR)	A	Dividend	L	T					
106. SPDR S&P MIDCAP (MDY)	A	Dividend	K	T					
107. MFB NORTH INTL EQTY INDEX (NOINX)	D	Dividend	M	T					
108. MFC VANGUARD MSCI EMERGING MKTS (VWO)	B	Dividend	L	T					
109. MFB NORTH HI YIELD FXD INC (NHFIX)	A	Dividend	L	T					
110. MFB NORTH FDS CALIF INTER TAX EXPT (NCITX)	B	Dividend	M	T					
111. MFB NORTH FDS CA TAX EXPT (NCATX)	A	Dividend	L	T					
112. MFO VANGUARD LIMITED TERM TAX-EXEMPT FD ADM (VMLUX)	A	Dividend	K	T					
113. MFO NUVEEN PREFERRED SECURIT-I (NPSRX)	B	Dividend	L	T	Buy (add'l)	06/29/21	K		
114. MFO DFA INVT DIMENSIONS (DFREX)	B	Dividend	L	T	Buy (add'l)	12/31/21	K		
115. MFC FLEXSHARES MORNINGSTAR GLOBAL NAT RES FD (GUNR)	B	Dividend	K	T					
116. ACCOUNT #7 (H)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
McKeown, Mary M.	07/25/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. FIDELITY MID-CAP (FMCSX)	B	Dividend	L	T					
118. FIDELITY PURITAN (FPURX)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
McKeown, Mary M.	07/25/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Account #4, is controlled and managed by the former employer of a non-filer. Despite the filer's best efforts, neither transaction nor income information is available for this account. Data reflected in this report is based upon the filer's best information and belief.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
McKeown, Mary M.	07/25/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary M. McKeown

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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