AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McKeague, David W.	U.S. Court of Appeals - Sixth	04/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Pine Rest Mental Health Services
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Michigan Health & Hospital Association - wages
2.	2023	LifeSecure Insurance Company - director's fee
3.	2023	Sparrow Health System - nonemployee compensation
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
McKeague, David W.	04/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. PNC	A	Interest	M	T					
2. IRA 1 (H)									
3. - Vanguard 500 Index Admiral	D	Dividend	N	T	Buy (add'l)	04/19/23	K		
4.					Sold (part)	10/05/23	J	A	
5. - PIMCO Income Instl	C	Dividend	K	T	Sold (part)	01/06/23	J	A	
6.					Sold (part)	01/23/23	K	A	
7. - MFS International Intrinsic Value R6	D	Dividend	L	T	Sold (part)	01/06/23	J	A	
8. - Sterling Capital Equity Income		None			Sold	01/23/23	K	D	
9. - Federated Hermes Total Return Bond R6	D	Dividend	M	T	Sold (part)	01/06/23	K	A	
10.					Buy (add'l)	01/23/23	J		
11. - Metropolitan West Total Return Bond I	D	Dividend	M	T	Buy (add'l)	01/23/23	K		
12. - Vanguard Developed Markets Index Admiral	B	Dividend	L	T					
13. - Vanguard Extended Market Index Admiral	A	Dividend	K	T					
14. - American Beacon Small Cp Val R5	C	Dividend	L	T	Sold (part)	01/06/23	J	A	
15.					Sold (part)	04/19/23	L	A	
16. - T. Rowe Price Instl Mid-Cap Equity Gr	C	Dividend	L	T	Sold (part)	01/06/23	J	A	
17. - American Funds Europacific Growth F3	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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McKeague, David W.	04/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. - Schwab Bank Sweep	A	Dividend	J	T					
19. - Brown Advisory Sustainable Growth	A	Dividend	K	T					
20. - JPMorgan Equity Income I	B	Dividend	K	T	Buy	01/23/23	K		
21.					Buy (add'l)	04/19/23	J		
22. Alerus Conservative Growth Fund	A	Dividend	O	T					
23. Ohio National Univ. Life Policy		None	K	T					
24. IRA 2 (H)									
25. - Vanguard 500 Index Admiral	B	Dividend	M	T	Sold (part)	01/19/23	L	E	
26.					Sold	02/21/23	K	E	
27.					Buy	06/05/23	M		
28.					Buy (add'l)	08/02/23	K		
29.					Buy (add'l)	10/03/23	L		
30. - PIMCO Income Instl	C	Dividend	L	T	Buy	06/05/23	L		
31. - MFS International Value R6	D	Dividend	M	T	Sold (part)	03/21/23	K	C	
32.					Sold (part)	04/19/23	J	A	
33.					Buy (add'l)	08/02/23	K		
34.					Buy (add'l)	10/03/23	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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McKeague, David W.	04/01/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. - Sterling Capital Equity Income Instl		None			Sold	01/19/23	K	D	
36. - Federated Hermes Total Return Bond R6	D	Dividend	N	T	Buy	01/19/23	L		
37.					Buy (add'l)	06/05/23	M		
38. - Metropolitan West Total Return Bd I	D	Dividend	N	T	Buy	02/21/23	K		
39.					Buy (add'l)	03/21/23	K		
40.					Buy (add'l)	04/19/23	L		
41.					Buy (add'l)	06/05/23	M		
42. - T. Rowe Price Instl Mid-Cap Equity Gr	D	Dividend	M	T	Buy (add'l)	03/21/23	K		
43.					Buy (add'l)	06/05/23	J		
44.					Buy (add'l)	08/02/23	K		
45. - American Funds Europacific Growth F3	D	Dividend	M	T	Sold (part)	04/19/23	K	A	
46.					Buy (add'l)	08/02/23	K		
47.					Buy (add'l)	10/03/23	K		
48. - Schwab Bank Sweep	A	Dividend	J	T					
49. - Brown Advisory Sustainable Growth	A	Dividend	M	T	Buy (add'l)	08/02/23	K		
50. - Principal Equity Income Instl	A	Dividend			Sold	03/21/23	K	A	
51. - Delaware Small Cap Value Instl	D	Dividend	M	T	Buy (add'l)	01/19/23	J		

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52.					Sold (part)	04/19/23	K	A	
53.					Buy (add'l)	06/05/23	K		
54.					Buy (add'l)	08/02/23	J		
55. - Vanguard Developed Markets Index Admiral	B	Dividend	L	T	Buy	06/05/23	L		
56. - JPMorgan Equity Income I	B	Dividend	L	T	Buy	01/19/23	K		
57.					Buy (add'l)	03/21/23	K		
58.					Buy (add'l)	04/19/23	J		
59.					Buy (add'l)	08/02/23	K		
60. - Schwab Charles Family FD Treas Obl Ultra	D	Dividend	J	T	Buy	05/16/23	P1		
61.					Sold (part)	06/05/23	O	A	
62.					Sold (part)	08/02/23	M	A	
63.					Sold (part)	10/03/23	M	A	
64. IRA 4 (G)									
65. - Vanguard 500 Index Admiral		None			Sold (part)	02/21/23	K	E	
66.					Sold	03/21/23	L	E	
67. - PIMCO Income Instl	B	Dividend	K	T	Buy (add'l)	02/21/23	K		
68.					Sold (part)	10/03/23	J	A	

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69.					Sold (part)	12/12/23	J	A	
70. - Federated Hermes Total Return Bond R6	C	Dividend	L	T	Buy (add'l)	03/21/23	K		
71.					Buy (add'l)	04/19/23	J		
72. - Schwab Bank Sweep	A	Dividend	J	T					
73. Revocable Trust (G)									
74. - MFS International Intrinsic Value R6	B	Dividend	K	T	Buy (add'l)	03/21/23	K		
75.					Buy (add'l)	04/19/23	J		
76.					Sold (part)	06/05/23	K	A	
77.					Sold (part)	10/03/23	K	A	
78. - Vanguard 500 Index Admiral	D	Dividend	O	T	Buy (add'l)	01/19/23	L		
79.					Buy (add'l)	02/21/23	L		
80.					Buy (add'l)	03/21/23	L		
81.					Buy (add'l)	04/19/23	L		
82.					Buy (add'l)	06/05/23	K		
83.					Buy (add'l)	10/03/23	J		
84. - Vanguard Developed Markets Index Admiral	C	Dividend	L	T	Buy (add'l)	01/19/23	J		
85.					Buy (add'l)	02/21/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86. - Schwab Bank Sweep	A	Dividend	J	T					
87. - Schwab Charles Family FD Treas Oblig Inv	C	Dividend			Sold (part)	01/19/23	L	A	
88.					Sold (part)	02/21/23	L	A	
89.					Sold (part)	03/21/23	M	A	
90.					Sold	04/19/23	L	A	
91. - Vanguard Extended Market Index Admiral	A	Dividend	L	T	Buy (add'l)	10/03/23	K		
92. - American Funds EuroPacific Growth		None			Buy	04/19/23	K		
93.					Sold	06/05/23	K	A	
94. MSU Federal Credit Union 1	C	Interest	M	T					
95. MSU Federal Credit Union 2	A	Interest	J	T	Sold	05/11/23	K		
96. University of Michigan Credit Union	A	Interest	J	T					
97. The Huntington National Bank	C	Interest	N	T					
98. American Express National Bank	B	Interest	M	T					
99.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
McKeague, David W.	04/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David W. McKeague

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544