AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McKeague, David W.	U.S. Court of Appeals - Sixth	03/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Pine Rest Mental Health Services
2.	Adjunct Professor	Michigan State University College of Law
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Michigan State University College of Law (approved teaching)	$14,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Michigan Health & Hospital Association - wages
2.	2022	Life Secure Insurance Company - director's fee
3.	2022	Sparrow Health System - nonemployee compensation
4.	2022	Blue Cross Blue Shield of Michigan - nonemployee compensation

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
McKeague, David W.	03/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. PNC	A	Interest	M	T					
2. Ohio National Univ. Life		None	J	T	Redeemed	01/07/22	K	A	
3. IRA 1 (H)									
4. - Vanguard 500 Index Admiral	C	Dividend	N	T	Buy (add'l)	05/24/22	K		
5.					Sold (part)	03/03/22	K	E	
6.					Sold (part)	01/05/22	L	E	
7. - Touchstone Sands Capital Select Gr Instl		None			Buy (add'l)	05/24/22	K		
8.					Sold	08/29/22	K	D	
9. - Sterling Capital Stratton Smcp Val Instl		None			Sold	03/03/22	J	C	
10. - Harding Loevner Instl Emerging Markets I		None			Sold	03/03/22	K	D	
11. - PIMCO Income Instl	C	Dividend	L	T	Buy (add'l)	03/03/22	K		
12. - MFS International Intrinsic Value R6	D	Dividend	L	T	Buy (add'l)	03/03/22	J		
13.					Sold (part)	05/24/22	K	A	
14. - Sterling Capital Equity Income Instl	C	Dividend	K	T	Sold (part)	01/05/22	J	C	
15. - Federated Hermes Total Return Bond R6	C	Dividend	M	T					
16. - Metropolitan West Total Return Bd I	C	Dividend	M	T					
17. - Vanguard Developed Markets Index Admiral	B	Dividend	L	T	Buy (add'l)	03/03/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18.					Sold (part)	05/24/22	K	A	
19. - Vanguard Extended Market Index Admiral	A	Dividend	K	T	Buy (add'l)	03/03/22	J		
20. - American Beacon Small Cp Val R5	E	Dividend	M	T	Buy (add'l)	03/03/22	K		
21. - T. Rowe Price Instl Mid-Cap Equity Gr	A	Dividend	L	T	Buy (add'l)	03/03/22	J		
22. - American Funds Europacific Growth F3	B	Dividend	L	T	Buy (add'l)	03/03/22	K		
23.					Sold (part)	05/24/22	K	A	
24. - Schwab Bank Sweep	A	Dividend	J	T					
25. - Federated Hermes Instl High Yield BD R6	A	Dividend			Sold	03/03/22	K	A	
26. - Brown Advisory Sustainable Growth	A	Dividend	K	T	Buy	08/29/22	K		
27. Alerus Conservative Growth Fund	A	Dividend	O	T					
28. Ohio National Univ. Life Policy		None	K	T					
29. IRA 2 (H)									
30. - Vangaurd 500 Index Admiral	B	Dividend	M	T	Sold (part)	01/20/22	K	D	
31.					Sold (part)	03/03/22	J	D	
32.					Buy (add'l)	05/24/22	J		
33.					Buy (add'l)	12/19/22	K		
34. - Touchstone Sands Capital Select Gr Instl		None			Buy (add'l)	01/20/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35.					Buy (add'l)	05/24/22	J		
36.					Sold	08/29/22	K	A	
37. - PIMCO Income Instl	C	Dividend			Buy (add'l)	01/20/22	J		
38.					Buy (add'l)	03/03/22	K		
39.					Sold	12/19/22	L	A	
40. - MFS International Intrinsic Value R6	B	Dividend	L	T	Buy (add'l)	12/19/22	K		
41. - Sterling Capital Equity Income Instl	B	Dividend	K	T					
42. - Federated Hermes Total Return Bond R6	C	Dividend			Sold	12/19/22	L	A	
43. - Metropolitan West Total Return Bd I	B	Dividend			Sold (part)	01/20/22	J	A	
44.					Sold	12/19/22	L	A	
45. - Vanguard Developed Markets Index Admiral	A	Dividend			Sold	05/24/22	J	B	
46. - Vanguard Extended Market Index Admiral	A	Dividend			Buy (add'l)	03/03/22	J		
47.					Sold	12/19/22	K	D	
48. - American Beacon Small Cp Val R5		None			Buy (add'l)	03/03/22	K		
49.					Sold	12/19/22	L	A	
50. - T. Rowe Price Instl Mid-Cap Equity Gr	A	Dividend	L	T	Buy (add'l)	01/20/22	J		
51.					Buy (add'l)	12/19/22	K		

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52. - American Funds Europacific Growth F3	A	Dividend	L	T	Buy (add'l)	12/19/22	K		
53. - Schwab Bank Sweep	A	Dividend	K	T					
54. - Sterling Capital Stratton Smcp Val Instl		None			Sold	03/03/22	K	C	
55. - Federated Hermes Instl High Yield BD R6	A	Dividend			Buy (add'l)	01/20/22	J		
56.					Sold	03/03/22	K	A	
57. - Harding Loevnew Instl Emerging Markets I		None			Sold	03/03/22	J	A	
58. - Brown Advisory Sustainable Growth	A	Dividend	K	T	Buy	08/29/22	K		
59.					Buy (add'l)	12/19/22	K		
60. - Prncipal Equity Income Instl	A	Dividend	K	T	Buy	12/19/22	K		
61. - Delaware Small Cap Value Instl		None	M	T	Buy	12/19/22	M		
62. PIMCO Total Return Admin Class (PTRAX)	A	Dividend	J	T	Redeemed	03/01/22	J	A	
63. IRA 4 (H)									
64. - Vangaurd 500 Index Admiral	A	Dividend	L	T	Buy (add'l)	12/19/22	K		
65. - Sterling Capital Stratton Smcp Val Instl		None			Sold	03/03/22	J	B	
66. - PIMCO Income Instl	A	Dividend	J	T					
67. - Federated Hermes Total Return Bond R6	A	Dividend	K	T	Sold (part)	03/03/22	J	A	
68. - Metropolitan West Total Return Bd I	A	Dividend			Sold	12/19/22	K	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. - Schwab Bank Sweep	A	Dividend	J	T					
70. Revocable Trust (H)									
71. - Harding Loevner Instl Emerging Markets I		None			Buy (add'l)	01/20/22	J		
72.					Sold	03/03/22	K	A	
73. - MFS International Intrinsic Value R6	C	Dividend	K	T	Buy (add'l)	01/20/22	J		
74.					Buy (add'l)	03/03/22	J		
75.					Sold (part)	05/24/22	J	A	
76. - Vangaurd 500 Index Admiral	A	Dividend	M	T	Buy (add'l)	01/20/22	K		
77.					Buy (add'l)	05/24/22	K		
78.					Buy (add'l)	12/19/22	M		
79. - Vanguard Developed Markets Index Admiral	B	Dividend	L	T	Buy (add'l)	01/20/22	J		
80.					Buy (add'l)	03/03/22	J		
81.					Buy (add'l)	12/12/22	J		
82.					Buy (add'l)	12/19/22	J		
83. - Vanguard International Growth Adm		None			Buy (add'l)	01/20/22	J		
84.					Buy (add'l)	03/03/22	J		
85.					Sold (part)	05/24/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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86.					Sold	12/12/22	J	A	
87. - Schwab Bank Sweep	A	Dividend	J	T					
88. - Schwab Charles Family FD Treas Oblig Inv	A	Dividend	N	T	Buy	12/15/22	N		
89.					Sold (part)	12/19/22	M	A	
90. - Vanguard Extended Market Index Admiral	A	Dividend	L	T	Buy	12/19/22	L		
91. - Vanguard Interm-Term Tx-Ex Adm	B	Dividend			Buy	01/20/22	L		
92.					Sold	12/19/22	L	A	
93. MSU Federal Credit Union	B	Interest	M	T					
94. University of Michigan Credit Union	A	Interest	J	T					
95. Emergent Holdings Deferred Benefit	A	Interest	J	T	Redeemed	02/11/22	L	F	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David W. McKeague

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544