AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McKeague, David W.	U.S. Court of Appeals - Sixth	02/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Pine Rest Christain Mental Health Services
2.	Adjunct Professor	Michigan State University College of Law
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Michigan State University College of Law (approved teaching)	$28,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Michigan Health & Hospital Association - wages
2.	2021	Life Secure Insurance Company - director's fee
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
McKeague, David W.	02/13/2023

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
McKeague, David W.	02/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	PNC	A	Interest	M	T					
2.	Ohio National Univ. Life		None	K	T					
3.	IRA 1 (H)									
4.	Vanguard 500 Index Admiral	C	Dividend	N	T	Sold (part)	02/17/21	K	D	
5.	Touchstone Sands Capital Inst Gr	C	Dividend	K	T	Sold (part)	02/17/21	J	D	
6.	Sterling Capital Stratton SmCp Val Instl	C	Dividend	J	T					
7.	Harding Loevner Instl Emerg Mkts I	A	Dividend	L	T					
8.	PIMCO Income Instl	B	Dividend	K	T					
9.	MFS International Intrinsic Value R6	D	Dividend	L	T					
10.	Sterling Capital Equity Income Instl	B	Dividend	L	T					
11.	Federated Total Return Bond R6	D	Dividend	M	T	Buy (add'l)	02/17/21	K		
12.	Metropolitan West Total Return Bd I	B	Dividend	M	T					
13.	Vanguard Developed Markets Idx Admiral	C	Dividend	L	T	Sold (part)	02/17/21	J	A	
14.	Vanguard Extended Market Index Admiral	A	Dividend	K	T					
15.	American Beacon Small Cp Val Instl	D	Dividend	M	T	Buy (add'l)	02/17/21	J		
16.	T. Rowe Price Instl Mid-Cap Equity Gr	D	Dividend	L	T					
17.	American Funds Europacific Growth F3	D	Dividend	L	T	Sold (part)	02/17/21	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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McKeague, David W.	02/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Schwab Bank Sweep	A	Dividend	J	T					
19.	Federated Institutional High Yield Bond Fund Class R6	B	Dividend	K	T					
20.	Alerus Conservative Growth Fund	A	Dividend	O	T					
21.	Ohio National Univ. Life Policy		None	K	T					
22.	IRA 2 (H)									
23.	Vanguard 500 Index Admiral	B	Dividend	M	T	Sold (part)	02/17/21	K	D	
24.	Touchstone Sands Capital Instl Gr	B	Dividend	K	T	Sold (part)	02/17/21	J	C	
25.	PIMCO Income Instl	A	Dividend	K	T	Buy (add'l)	02/17/21	J		
26.	MFS International Intrinsic Value R6	B	Dividend	K	T					
27.	Sterling Capital Equity Income Instl	A	Dividend	K	T					
28.	Federated Total Return Bond R6	C	Dividend	M	T	Buy (add'l)	02/17/21	K		
29.	Metropolitan West Total Return Bd I	B	Dividend	L	T	Buy (add'l)	02/17/21	K		
30.	Vanguard Developed Markets Idx Admiral	A	Dividend	J	T					
31.	Vanguard Extended Market Index Admiral	A	Dividend	K	T	Sold (part)	02/17/21	K	D	
32.	American Beacon Small Cp Val Instl	C	Dividend	K	T	Buy (add'l)	02/17/21	J		
33.	T. Rowe Price Instl Mid-Cap Equity Gr	C	Dividend	K	T					
34.	American Funds Europacific Growth F3	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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35. Schwab Bank Sweep	A	Dividend	J	T					
36. Sterling Capital Stratton SmCp Val Instl	C	Dividend	K	T					
37. Federated Institutional High Yield Bond Fund Class R6	B	Dividend	K	T	Buy (add'l)	02/17/21	J		
38. Harding Loevner Instl Emerg Mkts I	A	Dividend	J	T	Buy	02/17/21	J		
39. PIMCO Total Return Admin Class (PTRAX)	A	Dividend	N	T					
40. IRA 4 (H)									
41. -Vanguard 500 Index Admiral	A	Dividend	L	T	Sold (part)	11/30/21	J	D	
42. -Sterling Capital Stratton SmCp Val Instl	A	Dividend	J	T					
43. -PIMCO Income Instl	A	Dividend	J	T					
44. -Federated Total Return Bond R6	A	Dividend	K	T					
45. -Metropolitan West Total Return Bd I	A	Dividend	K	T	Buy (add'l)	02/17/21	J		
46. -Schwab Bank Sweep	A	Dividend	J	T					
47. [redacted] Revocable Trust (H)									
48. Harding Loevner Instl Emerg Mkts I	A	Dividend	K	T					
49. MFS International Intrinsic Value R6	B	Dividend	K	T					
50. Vanguard 500 Index Admiral	A	Dividend	J	T					
51. Vanguard Developed Markets Idx Admiral	B	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. Vanguard International Growth Adm	B	Dividend	J	T					
53. Schwab Bank Sweep	A	Dividend	J	T					
54. MSU Federal Credit Union	A	Interest	M	T					
55. University of Michigan Credit Union	A	Interest	J	T					
56. Emergent Holdings Deferred Benefit	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
McKeague, David W.	02/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David W. McKeague

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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