AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Maldonado, Nancy L. | United States District Court, Northern District of Illinois | 03/01/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Judge (Active) | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2023<br>**to**<br>12/31/2023 |
| **7. Chambers or Office Address** | | |
| Everett McKinley Dirksen U.S. Courthouse<br>219 S. Dearborn St.<br>Chicago, IL 60604 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | Trust #1 (reportable assets are listed in Part VII) |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 2003 | Miner, Barnhill & Galland, P.C. Profit Sharing and 401(k) Plan (reportable assets are listed in Part VII) |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Maldonado, Nancy L.** | 03/01/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Individual Assets (H) | | | | | | | | | |
| 2. | Chase Bank (cash) | A | Interest | K | T | | | | | |
| 3. | Met Life Insurance Whole Life | A | Dividend | J | T | | | | | |
| 4. | State Farm Universal Life | A | Dividend | K | T | | | | | |
| 5. | Account #1 (H) | | | | | | | | | |
| 6. | American Funds 2040 Target Date Retirement Fund (RFGTX) | E | Dividend | N | T | | | | | |
| 7. | Account #2 (H) | | | | | | | | | |
| 8. | iShares MSCI EAFE International Index Fund (BTMKX) | A | Dividend | J | T | | | | | |
| 9. | Fidelity 500 Index Fund (FXAIX) | B | Dividend | L | T | Sold (part) | 01/19/23 | J | B | |
| 10. | | | | | | Sold (part) | 02/01/23 | J | C | |
| 11. | | | | | | Sold (part) | 02/16/23 | J | B | |
| 12. | | | | | | Buy (add'l) | 06/21/23 | J | | |
| 13. | | | | | | Sold (part) | 12/18/23 | K | D | |
| 14. | iShares Core S&P 500 ETF (IVV) | A | Dividend | K | T | | | | | |
| 15. | iShares Core S&P Mid Cap ETF (IJH) | A | Dividend | | | Buy | 07/26/23 | J | | |
| 16. | | | | | | Sold | 12/13/23 | J | | |
| 17. | iShares MSCI Japan ETF (EWJ) | A | Dividend | J | T | Sold (part) | 12/18/23 | J | A | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. iShares MSCI Canada ETF (EWC) | A | Dividend | J | T | | | | | |
| 19. iShares MSCI Pacific ex Japan ETF (EPP) | A | Dividend | J | T | Sold (part) | 02/01/23 | J | A | |
| 20. | | | | | Sold (part) | 06/21/23 | J | | |
| 21. Vanguard 500 Index Fund Admiral Shares (VFIAX) | A | Dividend | J | T | | | | | |
| 22. Vanguard European Stock Index Fund ETF (VGK) | B | Dividend | K | T | Buy (add'l) | 01/19/23 | J | | |
| 23. | | | | | Sold (part) | 02/01/23 | J | A | |
| 24. | | | | | Buy (add'l) | 02/16/23 | J | | |
| 25. | | | | | Sold (part) | 06/21/23 | J | A | |
| 26. | | | | | Sold (part) | 07/26/23 | J | A | |
| 27. | | | | | Sold (part) | 12/18/23 | J | A | |
| 28. Vanguard S&P 500 Index Fund ETF (VOO) | A | Dividend | K | T | | | | | |
| 29. American Century Intermediate-Term Tax-Free Bond Fund Y Class (ATBYX) | A | Dividend | K | T | Sold (part) | 02/01/23 | J | | |
| 30. Fidelity Advisor Intermediate Municipal Income Fund Class Z (FIQZX) | B | Dividend | L | T | Sold (part) | 02/01/23 | J | | |
| 31. | | | | | Buy (add'l) | 12/15/23 | J | | |
| 32. | | | | | Sold (part) | 12/18/23 | J | | |
| 33. iShares Short Treasury Bond ETF (SHV) | A | Dividend | | | Buy | 02/16/23 | J | | |
| 34. | | | | | Sold | 05/12/23 | J | A | |

| | | | | | |
|---|---|---|---|---|---|
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|---|---|---|---|---|---|---|---|---|---|
| 35. iShares 7-10 Year Treasury Bond ETF (IEF) | A | Dividend | | | Sold | 02/16/23 | J | | |
| 36. PGIM High Yield Fund- Class R6 (PHYQX) | A | Dividend | J | T | Sold (part) | 02/16/23 | J | | |
| 37. | | | | | Sold (part) | 12/18/23 | J | | |
| 38. T. Rowe Price Summit Municipal Intermediate Fund I Class (PRTMX) | A | Dividend | K | T | | | | | |
| 39. Schwab Intermediate Term US Treasury ETF (SCHR) | A | Dividend | | | Sold | 02/16/23 | J | | |
| 40. Vanguard Interm-Term Tx-Ex Adm (VWIUX) | A | Dividend | | | Buy | 10/30/23 | J | | |
| 41. | | | | | Buy (add'l) | 12/15/23 | J | | |
| 42. | | | | | Sold | 12/18/23 | J | A | |
| 43. Vanguard Tax-Exempt Bond Index Fund ETF (VTEB) | A | Dividend | J | T | Buy (add'l) | 02/16/23 | J | | |
| 44. | | | | | Buy (add'l) | 12/15/23 | J | | |
| 45. | | | | | Sold (part) | 12/18/23 | J | A | |
| 46. Vanguard Scottsdale Intermediate-Term Treasury Index Fd ETF (VGIT) | | None | | | Sold | 02/16/23 | J | | |
| 47. Vanguard Scottsdale Short-Term Treasury Index Fund ETF (VGSH) | A | Dividend | J | T | Buy (add'l) | 02/16/23 | J | | |
| 48. | | | | | Buy (add'l) | 05/21/23 | J | | |
| 49. | | | | | Sold (part) | 10/30/23 | J | | |
| 50. | | | | | Buy (add'l) | 12/15/23 | J | | |
| 51. | | | | | Sold (part) | 12/18/23 | J | A | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 52. Account #3 (H) | | | | | | | | | |
| 53. JPMorgan Tr II U S Govt Money Mkt Fd (QCVEZ) (cash eq.) | A | Dividend | | | Buy (add'l) | 01/03/23 | J | | |
| 54. | | | | | Buy (add'l) | 01/06/23 | J | | |
| 55. | | | | | Sold (part) | 01/11/23 | J | | |
| 56. | | | | | Sold (part) | 03/20/23 | J | | |
| 57. | | | | | Buy (add'l) | 03/21/23 | J | | |
| 58. | | | | | Buy (add'l) | 03/22/23 | J | | |
| 59. | | | | | Sold (part) | 03/24/23 | J | | |
| 60. | | | | | Buy (add'l) | 04/04/23 | J | | |
| 61. | | | | | Buy (add'l) | 04/05/23 | J | | |
| 62. | | | | | Sold (part) | 05/09/23 | J | | |
| 63. | | | | | Buy (add'l) | 05/11/23 | J | | |
| 64. | | | | | Buy (add'l) | 06/09/23 | J | | |
| 65. | | | | | Sold (part) | 07/03/23 | J | | |
| 66. | | | | | Sold | 07/11/23 | J | | |
| 67. Accenture PLC Ireland (ACN) | A | Dividend | | | Sold (part) | 03/20/23 | J | | |
| 68. | | | | | Sold | 07/07/23 | J | B | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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|---|---|---|---|---|---|---|---|---|---|
| 69. Adyen N V (ADYEY) | | None | | | Buy (add'l) | 03/16/23 | J | | |
| 70. | | | | | Sold | 07/07/23 | J | | |
| 71. AIA Group Ltd (AAGIY) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 72. Alcon Inc (ALC) | A | Dividend | | | Sold (part) | 03/31/23 | J | A | |
| 73. | | | | | Sold | 04/03/23 | J | A | |
| 74. Amadeus It Group SA (AMADY) | | None | | | Sold | 01/03/23 | J | | |
| 75. | | | | | Sold | 01/04/23 | J | | |
| 76. Aon Plc (Aon) | A | Dividend | | | Sold | 07/07/23 | J | B | |
| 77. Arch Capital Group Ltd. (ACGL) | | None | | | Buy | 03/22/23 | J | | |
| 78. | | | | | Sold | 07/07/23 | J | A | |
| 79. ASM International NV (ASMIY) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 80. ASML Holdings N V (ASML) | A | Dividend | | | Sold | 07/07/23 | J | B | |
| 81. Astrazeneca Plc (AZN) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 82. Atlas Copco AB (ATLKY) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 83. Atlassian Corporation Plc (TEAM) | | None | | | Sold | 07/07/23 | J | | |
| 84. Bae Systems Plc (BAESY) | | None | | | Buy | 05/05/23 | J | | |
| 85. | | | | | Sold | 07/07/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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|---|---|---|---|---|---|---|---|---|---|
| 86. Canadian Pac Kans City (was Pacific Railway )(CP) | A | Dividend | | | Sold | 07/07/23 | J | B | |
| 87. Compass Group PLC (CMPGY) | | None | | | Buy | 06/23/23 | J | | |
| 88. | | | | | Buy (add'l) | 07/03/23 | J | | |
| 89. | | | | | Sold | 07/07/23 | J | | |
| 90. CSL Ltd (C SLLY) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 91. DSV A S (DSDVY) | A | Dividend | | | Buy (add'l) | 03/22/23 | J | | |
| 92. | | | | | Sold | 07/07/23 | J | A | |
| 93. Epam Systems Inc (EPAM) | | None | | | Sold | 07/07/23 | J | | |
| 94. Evolution AB (EVVTY) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 95. Experian Plc (EXPGY) | A | Dividend | | | Sold (part) | 03/17/23 | J | | |
| 96. | | | | | Sold | 07/07/23 | J | A | |
| 97. Ferguson Plc (FERG) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 98. Ferrari N V (RACE) | A | Dividend | | | Sold | 07/07/23 | J | C | |
| 99. ICICI Bank Ltd (IBN) | | None | | | Buy (add'l) | 01/09/23 | J | | |
| 100. | | | | | Sold | 07/07/23 | J | A | |
| 101. Icon Plc (ICLR) | | None | | | Sold | 07/07/23 | J | A | |
| 102. Lasertec Corporation (LSCRY) | | None | | | Sold (part) | 01/03/23 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
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| Name of Person Reporting | Date of Report |
|---|---|
| **Maldonado, Nancy L.** | 03/01/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. | | | | | Sold | 05/09/23 | J | | |
| 104. Linde Plc (LIN) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 105. Li Ning Company Limited (LNNGY) | | None | | | Sold | 06/07/23 | J | | |
| 106. Lonza Group AG Zuerich (LXAGY) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 107. Luluemon Athletica Inc (LULU) | | None | | | Sold | 03/20/23 | J | A | |
| 108. LVMH Moet Hennessy Louis (LVMUY) | A | Dividend | | | Sold (part) | 01/03/23 | J | | |
| 109. | | | | | Sold | 07/07/23 | J | C | |
| 110. Mettler-Toledo (MTD) | | None | | | Sold | 07/07/23 | J | A | |
| 111. Nestle SA-Sponsered ADR (NSRGY) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 112. Novo Nordisk A/S-ADR (NVO) | A | Dividend | | | Sold | 07/07/23 | J | B | |
| 113. Pernod Ricard S A (PRNDY) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 114. Resmed Inc (RMD) | A | Dividend | | | Sold (part) | 01/04/23 | J | | |
| 115. | | | | | Sold | 07/07/23 | J | A | |
| 116. Schneider Electric Se (SBGSY) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 117. Sika AG (SXYAY) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 118. Steris Plc (STE) | A | Dividend | | | Sold | 07/07/23 | J | B | |
| 119. Thomson Reuters Corporation (TRI) | A | Dividend | | | Buy | 01/03/23 | J | | |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Maldonado, Nancy L.** | 03/01/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. | | | | | Sold | 07/07/23 | J | A | |
| 121. Waste Connections Inc (WCN) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 122. Account #4 (H) | | | | | | | | | |
| 123. JPMorgan Municipal Money Market Fund-Instl (IJMXX) (cash eq.) | A | Dividend | | | Sold (part) | 03/10/23 | J | | |
| 124. | | | | | Buy (add'l) | 03/14/23 | J | | |
| 125. | | | | | Buy (add'l) | 07/07/23 | J | | |
| 126. | | | | | Buy (add'l) | 07/10/23 | K | | |
| 127. | | | | | Buy (add'l) | 07/11/23 | K | | |
| 128. | | | | | Sold | 07/12/23 | L | | |
| 129. American Fds Mtg Fd Cl 3 (AFFMX) | A | Dividend | | | Buy | 07/05/23 | J | | |
| 130. | | | | | Sold | 07/07/23 | J | | |
| 131. American Beacon Fds AHL Mngd Future Strategy CL (AHLIX) | | None | | | Sold | 07/07/23 | J | | |
| 132. Cohen & Steers Preferred Securities and Income Fund, Inc. (CPXFX) (Y) | | | | | | | | | |
| 133. CRM Mut Fd Tr Lng Sht Opportunities Fd (CRIHX) (X) | | None | | | Sold | 07/07/23 | J | A | |
| 134. Harris Assoc Invt Tr Oakmark Fd CL R6 (OAZMX) (X) | | None | | | Sold | 07/07/23 | J | A | |
| 135. Hartford International Value Fund Class F (HILDX) (Y) | | | | | | | | | |
| 136. JPMorgan Short Duration Bond Fund Class R6 (JSDUX) | A | Dividend | | | Sold | 07/07/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Maldonado, Nancy L.** | 03/01/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. JPMorgan Income Fund Class R6 (JMSFX) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 138. JPMorgan Large Cap Growth Fund (JLGMX) | | None | | | Sold | 07/07/23 | J | A | |
| 139. JPMorgan MTG Backed Secs Fd Ultra Cl (JMBUX) (X) | | None | | | Sold | 07/07/23 | J | | |
| 140. Nuveen Preferred Securities & Income Fund Class R6 (NPSFX) (Y) | | | | | | | | | |
| 141. iShares 7-10 Year Treasury Bond ETF (IEF) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 142. JPMorgan Ultra Short Income ETF (JPST) | A | Dividend | | | Buy | 01/09/23 | J | | |
| 143. | | | | | Sold | 07/07/23 | J | A | |
| 144. PGIM Ultra Short Bond ETF (PULS) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 145. PGIM High Yield Fund Class R6 (PHYQX) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 146. PGIM Short Duration High Yield Inc Fund (HYSQX) (Y) | | | | | | | | | |
| 147. PGIM Total Return Bond Fund -Class R6 (PTRQX) | A | Dividend | | | Sold (part) | 03/15/23 | J | | |
| 148. | | | | | Sold | 07/07/23 | J | | |
| 149. PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) | A | Dividend | | | Buy | 06/23/23 | J | | |
| 150. | | | | | Sold | 07/07/23 | J | | |
| 151. Pimco Income Fd Instl Cl (PIMIX) (X) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 152. Muzinich Low Duration Supra Instl (MZLSX) (X) | A | Dividend | | | Sold | 07/07/23 | J | A | |
| 153. Schwab U.S. TIPS ETF (SCHP) | A | Dividend | | | Sold | 05/26/23 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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|---|---|---|---|---|---|---|---|---|---|
| 154. Vanguard Scottsdale Intermediate-Term Corporate Bond Index Fund (VCIT) | A | Dividend | | | Sold | 02/15/23 | J | | |
| 155. Vanguard Scottsdale Short-Term Corporate Bond Idx Fd ETF (VCSH) | A | Dividend | | | Sold | 03/10/23 | J | A | |
| 156. Vanguard Total Bond Market Index Fund ETF (BND) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 157. iShares Gold Trust (IAU) (Y) | | | | | | | | | |
| 158. JPMorgan Equity Premium Income ETF (JEPI) (Y) | | | | | | | | | |
| 159. PIMCO Trends Managed Futures Strategy Fund (PQTIX) | | None | | | Sold | 07/07/23 | J | | |
| 160. PIMCO Mortgage Opportunities and Bond Fund Institutional Class (PMZIX) | A | Dividend | | | Sold | 07/07/23 | J | | |
| 161. WisdomTree Floating Rate Treasury Fund (USFR) | A | Dividend | | | Buy | 06/15/23 | J | | |
| 162. | | | | | Sold | 07/07/23 | J | | |
| 163. Account #5 (H) | | | | | | | | | |
| 164. Fidelity Government Money Market Fund (FZCXX) (cash eq.) | C | Dividend | M | T | Buy | 07/12/23 | M | | |
| 165. | | | | | Sold (part) | 10/18/23 | K | | |
| 166. | | | | | Sold (part) | 12/14/23 | K | | |
| 167. | | | | | Buy (add'l) | 12/21/23 | K | | |
| 168. Account #6 (H) | | | | | | | | | |
| 169. Bright Start Index Moderate Age Based [redacted] | | None | L | T | | | | | |
| 170. Account #7 (H) | | | | | | | | | |

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3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| **Maldonado, Nancy L.** | 03/01/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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|---|---|---|---|---|---|---|---|---|---|
| 171. Bright Start Index Moderate Age Based [redacted] | | None | | | Sold | 09/25/23 | L | | |
| 172. Bright Start Index Moderate Age Based [redacted] | | None | L | T | Buy | 09/25/23 | L | | |

| | | | | | |
|---|---|---|---|---|---|
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| | U =Book Value | V =Other | W =Estimated | | |

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| **Maldonado, Nancy L.** | 03/01/2024 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

| Name of Person Reporting | Date of Report |
|---|---|
| **Maldonado, Nancy L.** | 03/01/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Nancy L. Maldonado**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544