AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Larsen, Joan L.	U.S. Court of Appeals for the Sixth Circuit	05/05/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Ann Arbor Federal Building 200 E. Liberty Street Ann Arbor, Michigan 48104		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1
2.	Adjunct Faculty	University of Michigan Law School
3.	Circuit Judge	United States Court of Appeals for the Sixth Circuit
4.	Trustee	Trust #2
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Larsen, Joan L.	05/05/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	03/30/2021	University of Michigan, Salary	$20,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	University of Michigan, Salary
2.	2021	West Academic, Book Royalties
3.	2021	Self-employed expert witness
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.	Third Annual Scalia Forum	April 30, 2021	Washingon, DC	Panelist	Car Service, Flight, Taxi
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Larsen, Joan L.	05/05/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP Morgan Chase Checking Account	A	Interest	K	T					
2. [redacted] Assets (H)									
3. -Vanguard Federal Money Market Fund	A	Int./Div.	J	T					
4. -Vanguard Tax-Managed Small-Cap Fund-Y									
5. -Vanguard Total Stock Market Index Fund	A	Dividend	M	T					
6. -Alaska Air Common Stock		None	J	T					
7. Iowa 529 Plans (H)									
8. Aggressive Growth Portfolio (H)									
9. -Vanguard Institutional Total Stock Market Index Fund (60%)		None	K	T					
10. -Vanguard Total International Index Fund (40%)		None	K	T					
11. Michigan 529 Plans (H)									
12. Aggressive Age-Based Option (H)									
13. -TIAA-CREF Equity Index Fund		None	L	T					
14. -TIAA-CREF International Equity Index Fund		None	L	T					
15. -TIAA-CREF Emerging Markets Equity Index Fund		None	L	T					
16. -TIAA-CREF Bond Index Fund		None	L	T					
17. -TIAA-CREF Infaltion Linked Bond Fund		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000
F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000
N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market
U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Larsen, Joan L.	05/05/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -TIAA-CREF High-Yield Fund		None	L	T					
19. -Vanguard REIT Index Fund		None	L	T					
20. New Hampshire 529 Plan (H)									
21. Aggressive Growth Portfolio (H)									
22. -Fidelity Total Stock Market Index Institutional Premium Class (68.7%)		None	K	T					
23. -Fidelity Series Commodity Strategic Fund (1.4%)		None	J	T					
24. -Fidelity International Index Institutional Premium Class (29.9%)		None	J	T					
25. Rollover IRA (H)									
26. -Fidelity International Index Fund	C	Int./Div.	M	T					
27. -Fidelity Contrafund	E	Int./Div.	M	T					
28. -Fidelty Government Cash Reserves	A	Dividend	L	T					
29. IRA (H)									
30. -Fidelity International Index	C	Int./Div.	M	T					
31. University of Michigan, 401, 403, 457 Plans (H)									
32. -Fidelity International Index Fund	A	Int./Div.	L	T					
33. -Fidelity Total Market Index Fund	E	Int./Div.	P1	T					
34. IRA - [redacted] (H)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Larsen, Joan L.	05/05/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Fidelity Total Market Index Fund	D	Int./Div.	O	T	Buy (add'l)	01/04/21	J		
36. Rollover IRA - [redacted] (H)									
37. -Fidelity Extended Market 1 Index Fund	D	Int./Div.	O	T					
38. Roth IRA - [redacted] (H)									
39. -Fidelity International Index Fund	C	Int./Div.	M	T					
40. University of Michigan, 401, 403, 457 Plans - [redacted] (H)									
41. -Fidelity International Index Fund	E	Int./Div.	O	T	Buy (add'l)	01/01/21	J		
42.					Buy (add'l)	02/01/21	J		
43.					Buy (add'l)	03/01/21	J		
44.					Buy (add'l)	04/01/21	J		
45.					Buy (add'l)	05/01/21	J		
46.					Buy (add'l)	06/01/21	J		
47.					Buy (add'l)	07/01/21	J		
48.					Buy (add'l)	08/01/21	J		
49.					Buy (add'l)	09/01/21	J		
50.					Buy (add'l)	10/01/21	J		
51.					Buy (add'l)	11/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Larsen, Joan L.	05/05/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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52.						Buy (add'l)	12/01/21	J		
53.	-Fidelity Total Market Index Fund	E	Int./Div.	P1	T	Buy (add'l)	01/01/21	J		
54.						Buy (add'l)	02/01/21	J		
55.						Buy (add'l)	03/01/21	J		
56.						Buy (add'l)	04/01/21	J		
57.						Buy (add'l)	05/01/21	J		
58.						Buy (add'l)	06/01/21	J		
59.						Buy (add'l)	07/01/21	J		
60.						Buy (add'l)	08/01/21	J		
61.						Buy (add'l)	09/01/21	J		
62.						Buy (add'l)	10/01/21	J		
63.						Buy (add'l)	11/01/21	J		
64.						Buy (add'l)	12/01/21	J		
65.	-Fidelity Extended Market 1 Index Fund	E	Int./Div.	O	T	Buy (add'l)	01/01/21	J		
66.						Buy (add'l)	02/01/21	J		
67.						Buy (add'l)	03/01/21	J		
68.						Buy (add'l)	04/01/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69.					Buy (add'l)	05/01/21	J		
70.					Buy (add'l)	06/01/21	J		
71.					Buy (add'l)	07/01/21	J		
72.					Buy (add'l)	08/01/21	J		
73.					Buy (add'l)	09/01/21	J		
74.					Buy (add'l)	10/01/21	J		
75.					Buy (add'l)	11/01/21	J		
76.					Buy (add'l)	12/01/21	J		
77. BrokerageAccount (H)									
78. -Fidelity Government Money Market Fund	A	Dividend	K	T	Sold (part)	01/04/21	J	A	
79.					Buy (add'l)	01/07/21	J		
80.					Buy (add'l)	09/01/21	K		
81.					Sold (part)	09/07/21	J	A	
82.					Buy (add'l)	12/01/21	K		
83. -Fidelity Michigan Municipal Income Fund	C	Dividend	M	T	Buy (add'l)	01/06/21	K		
84. -Fidelity Michigan Municipal Money Market Fund	A	Dividend	M	T					
85. -Fidelity International Index Fund	D	Dividend	M	T	Buy (add'l)	09/07/21	J		

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86.					Buy (add'l)	11/30/21	K		
87. -Fidelity Total Market Index Fund	C	Dividend	M	T					
88. Trust #1 (H)									
89. -Green State Bank Account	A	Interest	K	T					
90. -Prudential Survivorship Variable Universal Life Insurance Policy (H)									
91. -PSF Diversified Bond Fund		None	M	T					
92. -PSF Equity Fund		None	M	T					
93. -PSF Global Fund		None	M	T					
94. -PSF Gov't Money Market Fund		None	K	T					
95. -PSF Jennison Fund		None	M	T					
96. -MFS Growth Fund		None	M	T					
97. -Franklin Sm-MidCap Gr VIP Fund		None	L	T					
98. -Prudential Finanical Inc. Common Stock	C	Dividend	L	T					
99. Dependent Child Roth IRA (H)-Y									
100. -Vanguard Total Stock Market Index Admiral Class-Y									
101. Trust #2 (H)									
102. -Fidelity Treasury Money Market	A	Dividend	J	T	Buy (add'l)	01/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103.					Sold (part)	07/09/21	N		
104. -BNY Mellon Appreciation Invstr	A	Dividend	K	T					
105. -Columbia Large Cap Growth Opp Adv	A	Dividend	L	T					
106. -Invesco Comstock Fund Class Y	A	Dividend	K	T					
107. -Lord Abbett Mid Cap Stock Fund CL F	A	Dividend	J	T					
108. -PGIM Jennison Utility CL A	B	Dividend	M	T	Buy (add'l)	07/09/21	M		
109. -PGIM Jennison Utility CL Z	A	Dividend	K	T					
110. -PGIM Quant Solutions Small Cap Value Z	A	Dividend	J	T					
111. -PGIM Government Income CL Z	A	Dividend	J	T					
112. -PGIM Short-Term Corp Bond CL A	B	Dividend	M	T	Buy (add'l)	07/09/21	M		
113. -PGIM Short-Term Corp Bond CL Z	A	Dividend	K	T					
114. -PGIM Total Return Bond CL A	B	Dividend	M	T	Buy (add'l)	07/09/21	M		
115. -PGIM Total Return Bond CL Z	A	Dividend	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Larsen, Joan L.	05/05/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

[redacted] [redacted] 2021, so [redacted] assets are no longer reported.

Name of Person Reporting	Date of Report
Larsen, Joan L.	05/05/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joan L. Larsen

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544