AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kirsch II, Thomas L.	Uniited States Court of Appeals for the Seventh Circuit	11/08/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Judge Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Courthouse 5400 Federal Plaza Hammond, Indiana 46320		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Lecturer	University of Chicago Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2008	Winston & Strawn LLP Retirement Plan 401K (See endnote in Part VIII)
2.	2008	Employee Savings Plan of Winston & Strawn LLP 401K (See endnote in Part VIII)
3.	1999	Jenner & Block LLP Profit Sharing Plan #201 401K (See endnote in Part VIII)

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	10/31/22	University of Chicago Law School teaching stipend	$14,947.50
2.	11/30/22	University of Chicago Law School teaching stipend	$14,947.50
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University Antonin Scalia Law School	May 12-13, 2022	Arlington, VA	Educational program	Food, travel, lodging
2.	Indiana University School of Law	November 11-12, 2022	Bloomington, IN	Moot court competition	Food, travel, lodging
3.	Notre Dame Law School	February 24-25, 2022	South Bend, IN	Moot court competition	Food, travel, lodging
4.					
5.					

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Kirsch II, Thomas L.	11/08/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Revolving line of credit secured by investment account	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Retirement Plan #1									
2. -- Fidelity Growth Co K6	A	Dividend	K	T					
3. -- Champlain MdCap Inst	A	Dividend	J	T					
4. -- Vanguard Target 2045	A	Dividend	J	T					
5. Retirement Plan #2									
6. -- Vanguard Target 2030		None	N	T					
7. -- Vanguard Target 2040		None	M	T					
8. Retirement Plan #3									
9. -- Vanguard Target 2035		None	O	T					
10. IRA #1									
11. -- MT	A	Dividend	J	T					
12. -- T	A	Dividend	J	T					
13. -- BP	A	Dividend	J	T					
14. -- BMY	A	Dividend	J	T					
15. -- CMCSA	A	Dividend	J	T					
16. -- FE	A	Dividend	J	T					
17. -- HWM	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -- QQQ	A	Dividend	J	T					
19. -- JPM	A	Dividend	K	T					
20. -- MSFT	A	Dividend	K	T					
21. -- PFE	A	Dividend	J	T					
22. -- SO	A	Dividend	J	T					
23. -- VZ	A	Dividend	J	T					
24. -- UBS BK USA CD	A	Interest	J	T	Buy	11/28/22	J		
25. IRA #2									
26. -- MT	A	Dividend	J	T					
27. -- T	A	Dividend	J	T					
28. -- BP	A	Dividend	J	T					
29. -- CHTR		None	J	T					
30. -- INTC	A	Dividend	J	T					
31. -- MRO	A	Dividend	J	T					
32. -- PFE	A	Dividend	K	T					
33. -- PSX	A	Dividend	J	T					
34. -- SSO	A	Dividend	J	T	Buy (add'l)	05/20/22	J		

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2. Value Codes (See Columns C1 and D3)
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35. IRA #3									
36. -- AEP	A	Dividend	K	T					
37. -- BP	A	Dividend	J	T					
38. -- COP	A	Dividend	J	T					
39. -- MSFT	A	Dividend	K	T					
40. CollegeChoice529									
41. -- [redacted] Enrollment Portfolio		None	K	T	Buy (add'l)	12/28/22	J		
42. -- [redacted] Enrollment Portfolio		None	L	T	Buy (add'l)	12/28/22	J		
43. Nisource Inc. common stock	B	Dividend	L	T					
44. AEP common stock	A	Dividend	J	T					
45. Cisco Systems, Inc. common stock	A	Dividend	J	T					
46. Investment Account #1									
47. -- HPE	A	Dividend	J	T					
48. -- HPQ	A	Dividend	J	T					
49. -- JWN	A	Dividend	J	T					
50. -- SCHW	A	Dividend	K	T					
51. -- VZ	A	Dividend	J	T					

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52. -- Morgan Stanley PVT BK CD		None	K	T	Buy	11/30/22	K		
53. -- UBS BK USA CD		None	M	T	Buy	11/30/22	M		
54. Investment Account #2									
55. -- money market savings, Bank of America	A	Interest	J	T					
56. -- Ishares S&P 100 (OEF)	B	Dividend	M	T					
57. -- Vanguard 500 Index Fund SHS ETF (VOO)	B	Dividend	M	T					
58. -- Ishares Russell Midcap (IWR)	B	Dividend	L	T	Buy (add'l)	02/02/22	J		
59. -- Vanguard Small Cap (VB)	B	Dividend	L	T	Sold (part)	06/02/22	J		
60.					Sold (part)	07/19/22	J	C	
61. -- Ishares TR Core MSCI EAFE ETF (IEFA)	C	Dividend	M	T	Buy (add'l)	07/19/22	J		
62.					Sold (part)	06/02/22	J		
63. -- Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	B	Dividend	K	T	Buy (add'l)	07/19/22	J		
64. -- SPDR Bloomberg 1-1 Mnth T Bill ETF	A	Dividend			Buy (add'l)	06/02/22	J		
65.					Sold (part)	02/02/22	J		
66.					Sold	07/19/22	J		
67. -- Ishares National Muni Bond ETF	A	Interest	K	T	Buy (add'l)	06/02/22	J		
68.					Buy (add'l)	07/19/22	J		

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69.					Sold (part)	02/02/22	J		
70.									
71. -- Vaneck Vectors High Yield Muni ETF	A	Interest	J	T					
72. -- Vanguard Total Internatl Bond ETF	A	Dividend	J	T	Buy	10/25/22	J		
73. -- Ishares Core U.S. Aggregate Bond ETF	A	Dividend	K	T	Buy	06/02/22	J		
74.					Buy (add'l)	07/19/22	J		
75.					Buy (add'l)	10/25/22	J		
76. -- Ishares Short-term National Muni Bond ETF	A	Interest			Buy	02/02/22	J		
77.					Sold (part)	06/02/22	J		
78.					Sold	07/19/22	J		
79. [redacted] #1									
80. -- QQQ	A	Dividend	J	T					
81. -- SSO	A	Dividend	J	T					
82. [redacted] #2									
83. -- QQQ	A	Dividend	J	T					
84. -- SSO	A	Dividend	J	T					
85. [redacted] #3									

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86. -- QQQ	A	Dividend	J	T					
87. -- SSO	A	Dividend	J	T					
88. Investment Account #3 (See endnote in Part VIII)									
89. -- bank deposit program, Morgan Stanley	A	Interest	J	T					
90. -- Ishares Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy	03/08/22	J		
91.					Sold (part)	03/22/22	J		
92.					Sold (part)	07/06/22	J		
93.					Sold (part)	12/13/22	J		
94. -- Ishares Core MSCI Emerging (IEMG)	A	Dividend	J	T	Sold (part)	03/01/22	J		
95.					Buy (add'l)	03/08/22	J		
96. -- Ishares US Aerospace & Def ETF (ITA)	A	Dividend	J	T	Buy	10/13/22	J		
97. -- Ishares Russell 1000 Value ETF (IWD)	A	Dividend	J	T	Buy	03/08/22	J		
98.					Sold (part)	03/22/22	J		
99.					Sold (part)	06/14/22	J		
100.					Sold (part)	07/06/22	J		
101. -- Ishares Russell 1000 Grw ETF (IWF)	A	Dividend	J	T	Buy	03/08/22	J		
102.					Sold (part)	12/13/22	J		

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103. -- Ishares Russell 2000 Value ETF (IWN)	A	Dividend	J	T	Buy	03/08/22	J		
104. -- Ishares Russell 2000 Grwth ETF (IWO)	A	Dividend	J	T	Buy	03/08/22	J		
105. -- Ishares Russell Midcap G ETF (IWP)	A	Dividend	J	T	Buy	03/08/22	J		
106. -- Ishares Russell Midcap V ETF (IWS)	A	Dividend	J	T	Buy	03/08/22	J		
107. -- JPMorgan Ultra-short Income (JPST)	A	Dividend	J	T	Buy	12/13/22	J		
108. -- SPDR Nuveen Bloomberg Short (SHM)	A	Dividend	J	T	Buy	03/08/22	K		
109.					Sold (part)	03/22/22	J		
110.					Sold (part)	07/06/22	J		
111. -- SPRD Nuveen Bloombeg Munici (TFI)	A	Dividend	J	T	Buy	03/08/22	K		
112.					Buy (add'l)	03/22/22	J		
113.					Buy (add'l)	06/14/22	J		
114.					Sold (part)	07/06/22	J		
115.					Buy (add'l)	12/13/22	J		
116. -- Ishares 20+ Yr Treasu Bond ETF (TLT)	A	Dividend	J	T	Buy	03/22/22	J		
117.					Buy (add'l)	08/05/22	J		
118. -- Fidelity 500 Index Fund (FXAIX)	B	Dividend	L	T					
119. -- United States Treasury Bills		None	J	T	Buy	10/12/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Kirsch II, Thomas L.	11/08/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. -- United States Treasury Notes	A	Interest	J	T	Buy	10/12/22	J		
121.					Buy	10/17/22	J		
122. -- Heath Care Sel Sect Spdr FD (XLV)	A	Dividend			Buy	08/05/22	J		
123.					Sold	10/13/22	J		
124. -- Utilities Sel Sect Spdr Fund (XLU)	A	Dividend			Buy	08/05/22	J		
125.					Sold	10/13/22	J		
126. -- Real Estate Select Sect Spdr (XLRE)	A	Dividend			Buy	08/05/22	J		
127.					Sold	10/13/22	J		
128. -- Vanguard FTSE Emerging Markets (VWO)	A	Dividend			Buy	08/05/22	J		
129.					Sold	10/13/22	J		
130. -- Vanguard Intm TM TX-EX ADM (VWIUX)	A	Dividend			Sold	02/28/22	K		
131. -- Vanguard Short-term Gov Bd (VGSH)	A	Dividend			Sold	03/01/22	J		
132. -- Vanguard FTSE Europe ETF		None			Sold	03/01/22	K		
133. -- Vanguard FTSE Developed Mkts (VEA)	A	Dividend			Buy	08/05/22	J		
134.					Sold	10/13/22	J		
135. -- Ishares Barclays 1-3 Yr Tsy Bd (SHY)	A	Dividend			Sold	03/01/22	J		
136. -- Pimco High Yield Fund Inst (PHIYX)	A	Dividend			Sold	02/28/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. --Pimco Enhances Shrt Mtrt Exc (MINT)	A	Dividend			Buy	03/08/22	J		
138.					Sold (part)	06/14/22	J		
139.					Sold (part)	07/06/22	J		
140.					Sold	12/13/22	J		
141. -- Ishares Iboxx Hy Cor Bd		None			Buy	03/08/22	J		
142.					Sold	03/22/22	J		
143. -- Fidelity Intl Index		None			Sold	02/28/22	J		
144. -- Fidelity Adv Interm Muni Inc Z (FIQZX)	A	Dividend			Sold	02/28/22	L		
145. -- Ishares Inc MSCI Japan		None			Sold	03/01/22	J		
146. -- Ishares MSCI Canada ETF		None			Sold	03/01/22	J		
147. -- Ishares MSCI Pac Ex-Jpn ETF (EPP)	A	Dividend			Sold	03/01/22	J		
148. -- Vanguard Short Term Bnd (BSV)	A	Dividend			Buy	08/05/22	J		
149.					Sold	10/13/22	J		
150. -- Vanguard Total Bond Market (BND)	A	Dividend			Buy	08/05/22	J		
151.					Sold	10/21/22	J		
152. Marcus by Goldman Sachs Cash Equivalent Accounts	D	Interest	N	T					
153. Citi Cash Equivalent Accounts	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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154.									
155.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Agreements: I have continued to participate in these three defined contribuition plans, but the plan sponsors no longer make contributions.

Investments and Trusts: Investment Account #3 includes three advisory accounts and one brokerage account at the same firm. All assets held are reported in Part VII.

Name of Person Reporting	Date of Report
Kirsch II, Thomas L.	11/08/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas L. Kirsch II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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