AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kirsch II, Thomas L.	Uniited States Court of Appeals for the Seventh Circuit	05/01/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Judge Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 5400 Federal Plaza Hammond, Indiana 46320		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Lecturer	University of Chicago Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2008	Winston & Strawn LLP Retirement Plan 401K (See endnote in Part VIII)
2. 2008	Employee Savings Plan of Winston & Strawn LLP 401K (See endnote in Part VIII)
3. 1999	Jenner & Block LLP Profit Haring Plan #201 401K (See endnote in Part VIII)

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	10/29/21	University of Chicago Law School teaching stipend	$14,947.50
2.	11/30/2021	University of Chicago Law School teaching stipend	$14,947.50
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	George Mason University Antonin Scalia Law School	May 1, 2021	Arlington, VA	Educational program	Food, travel, lodging
2.	Georgetown Center for the Constitution	June 17-19, 2021	Sarasota, FL	Educational seminar	Food, travel, lodging
3.	Federalist Society	October 21, 2021	Harvard Law School, Cambridge, MA	Activity of professional association	Food, travel, lodging
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.	Bank of America	Revolving line of credit secured by investment account	M
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kirsch II, Thomas L.	05/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Retirement Plan #1									
2. -- Fidelity Growth Co K6	B	Dividend	L	T					
3. -- Champlain MdCap Inst	B	Dividend	K	T					
4. -- Vang Inst TR 2045	A	Dividend	K	T					
5. -- Vang Inst TR 2020		None	J	T					
6. -- Vang VMMR-Fed MMkt		None	J	T					
7. Retirement Plan #2									
8. -- Vanguard Target 2030 (???)		None	N	T					
9. -- Vanguard Target 2040 (???)		None	M	T					
10. Retirement Plan #3									
11. -- Vanguard Target 2035 (???)		None	O	T					
12. IRA #1									
13. -- MT	A	Dividend	J	T					
14. -- T	A	Dividend	J	T					
15. -- BP	A	Dividend	J	T					
16. -- BMY	A	Dividend	J	T					
17. -- CMCSA	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. -- FE	A	Dividend	J	T					
19. -- HWM	A	Dividend	J	T					
20. -- QQQ	A	Dividend	K	T					
21. -- JPM	A	Dividend	K	T					
22. -- MSFT	A	Dividend	K	T					
23. -- PFE	A	Dividend	J	T					
24. -- SO	A	Dividend	J	T					
25. -- VZ	A	Dividend	J	T					
26. -- Insrd Dep Account (IDA), TD Ameritrade (???)	A	Interest	J	T					
27. IRA #2									
28. -- MT	A	Dividend	J	T					
29. -- T	A	Dividend	J	T					
30. -- BP	A	Dividend	J	T					
31. -- CHTR		None	J	T					
32. -- INTC	A	Dividend	J	T					
33. -- MRO	A	Dividend	J	T					
34. -- PFE	A	Dividend	K	T					

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35. -- PSX	A	Dividend	J	T					
36. -- SSO	A	Dividend	K	T	Buy (add'l)	05/20/21	J		
37. IRA #3									
38. -- AEP	A	Dividend	K	T					
39. -- BP	A	Dividend	J	T					
40. -- COP	A	Dividend	J	T					
41. -- MSFT	A	Dividend	L	T					
42. CollegeChoice529									
43. -- [redacted] Enrollment Portfolio		None	K	T	Buy (add'l)	12/20/21	J		
44. -- [redacted] Enrollment Portfolio	A	None	L	T	Buy (add'l)	12/20/21	J		
45. Nisource Inc. common stock	B	Dividend	L	T					
46. AEP common stock	A	Dividend	J	T					
47. Cisco Systems, Inc. common stock	A	Dividend	J	T					
48. Investment Account #1									
49. -- HPE	A	Dividend	J	T					
50. -- HPQ	A	Dividend	J	T					
51. -- JWN	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)
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52. -- SCHW	A	Dividend	K	T					
53. -- VZ	A	Dividend	J	T					
54. Investment Account #2									
55. -- money market savings, Bank of America	A	Interest	K	T					
56. -- Ishares S&P 100 (OEF)	B	Dividend	M	T	Sold (part)	09/17/21	J	C	
57.					Sold (part)	11/30/21	J	D	
58. -- Vanguard 500 Index Fund SHS ETF (VOO)	B	Dividend	M	T	Sold (part)	01/29/21	J	A	
59.					Sold (part)	09/17/21	J	C	
60.					Sold (part)	11/30/21	J	D	
61. -- Ishares Russell Midcap (IWR)	B	Dividend	M	T	Buy (add'l)	12/02/21	J		
62.					Sold (part)	01/29/21	J	B	
63. -- Vanguard Small Cap (VB)	B	Dividend	M	T	Buy (add'l)	09/21/21	J		
64.					Buy (add'l)	12/02/21	J		
65. -- Ishares TR Core MSCI EAFE ETF (IEFA)	C	Dividend	M	T	Buy (add'l)	12/02/21	J		
66. -- Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	B	Dividend	K	T	Buy (add'l)	02/02/21	K		
67.					Buy (add'l)	09/21/21	J		
68.					Buy (add'l)	12/02/21	J		

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69. -- SPDR Bloomberg 1-1 Mnth T Bill ETF		None	J	T					
70. -- Ishares National Muni Bond ETF	A	Interest	K	T	Buy (add'l)	02/02/21	J		
71.					Buy (add'l)	09/21/21	J		
72. -- Vaneck Vectors High Yield Muni ETF	A	Interest	J	T	Buy (add'l)	09/21/21	J		
73. UTMA #1									
74. -- QQQ	A	Dividend	J	T	Buy	05/20/21	J		
75. -- SSO	A	Dividend	K	T	Buy (add'l)	05/20/21	J		
76. -- Insrd Dep Account (IDA), TD Ameritrade (???)	A	Interest	J	T					
77. UTMA #2									
78. -- QQQ	A	Dividend	J	T	Buy	05/20/21	J		
79. -- SSO	A	Dividend	J	T	Buy (add'l)	05/20/21	J		
80. -- Insrd Dep Account (IDA), TD Ameritrade (???)	A	Interest	J	T					
81. UTMA #3									
82. -- QQQ	A	Dividend	J	T	Buy	05/20/21	J		
83. -- SSO	A	Dividend	K	T	Buy (add'l)	05/20/21	J		
84. -- Insrd Dep Account (IDA), TD Ameritrade (???)	A	Interest	J	T					
85. Investment Account #3 (See endnote in Part VIII)									

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86. -- money market savings, Morgan Stanley Private Bank	A	Interest	J	T	Open	06/17/21	J		
87. -- Ishares Barclays 1-3 Yr Tsy Bd (SHY)	A	Dividend	J	T	Open	06/17/21	J		
88. -- Ishares Core MSCI Emerging (IEMG)	A	Dividend	J	T	Open	06/17/21	J		
89. -- Ishares MSCI Japan ETF (EWJ)	A	Dividend	J	T	Open	06/17/21	J		
90. -- Ishares MSCI Canada ETF (EWC)	A	Dividend	J	T	Open	06/17/21	J		
91. -- Ishares MSCI Pac Ex-Jpn ETF (EPP)	A	Dividend	J	T	Open	06/17/21	J		
92. -- Vanguard FTSE Europe ETF (VGK)	A	Dividend	K	T	Open	06/17/21	K		
93. -- Vanguard Short-term Gov Bd (VGSH)	A	Dividend	J	T	Open	06/17/21	J		
94. (???)	A	Distribution							
95. -- Fidelity 500 Index Fund (FXAIX)	B	Dividend	M	T	Open	06/16/21	L		
96. -- Fidelity Adv Interm Muni Inc Z (FIQZX)	A	Interest	L	T	Open	06/16/21	L		
97. (???)	A	Distribution							
98. -- Fidelity Intl Index (FSPSX)	A	Dividend	J	T	Open	06/16/21	J		
99. -- Pimco High Yield Fund Inst (PHIYX)	A	Dividend	J	T	Open	06/16/21	J		
100. -- Vanguard Intm TM Tx-Ex Adm (VWIUX)	A	Int./Div.	K	T	Open	06/16/21	K		
101. (???)	A	Distribution							
102. Investment Account #4 (See endnote in Part VIII)									

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103. -- JP Morgan deposit account	A	Interest							
104. -- Fidelity 500 Index Fund (FXAIX)	B	Dividend			Sold (part)	01/28/21	J	B	
105. -- Fidelity International Index Fund (FSPSX)	A	Dividend							
106. -- Ishares Core MSCI Emerging Markets ETF (IEMG)	A	Dividend							
107. -- Ishares MSCI Japan ETF (EWJ)	A	Dividend			Buy (add'l)	01/27/21	J		
108. -- Ishares MSCI Canada ETF (EWC)	A	Dividend							
109. -- Ishares MSCI Pacific Ex Japan ETF (EPP)	A	Dividend			Buy (add'l)	01/27/21	J		
110. -- Vanguard Intl Equity Index FD FTSE Europe ETF (VGK)	A	Dividend			Buy (add'l)	01/27/21	J		
111.					Buy (add'l)	04/16/21	J		
112.					Buy (add'l)	05/17/21	J		
113. -- Fidelity SCH STR Tr Advisor Inter Mun Incm FD (FIQZX)	B	Dividend			Buy (add'l)	01/27/21	J		
114. (???)	A	Distribution							
115. -- Pimco High Yield Fund (PHIYX)	A	Dividend			Sold (part)	04/19/21	J	A	
116.					Sold (part)	05/18/21	J	A	
117. -- Schwab Strategic Tr Intermediate-Term US Treasury (SCHR)	A	Dividend			Sold	04/20/21	J		
118. -- Vanguard Mun Bond Fund (VWIUX)	A	Dividend			Sold (part)	01/28/21	J	A	
119. -- Vanguard Scottsdale Fund (VGSH)	A	Dividend			Buy	04/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Kirsch II, Thomas L.	05/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120. -- Ishares 1-3 Yr Treasury Bond ETF (SHY)	A	Dividend			Buy	05/17/21	J		
121. Citibank (???)	A	Interest		T					
122. JP Morgan Chase (???)	A	Interest		T					
123.									
124.									
125.									
126.									
127.									
128.									
129.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Agreements: I have continued to participate in these three defined contribuition plans, but the plan sponsors no longer make contributions.

Investments and Trusts: In June 2021, the assets in Investment Account #4 were transferred into Investment Account #3.

Name of Person Reporting	Date of Report
Kirsch II, Thomas L.	05/01/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas L. Kirsch II

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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