AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
King, Robert B.	U.S. Court of Appeals, 4th Circuit	04/13/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
300 Virginia Street, East Suite 7602 Charleston, WV 25301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Vice Chairman, Board of Directors	WVU College of Law Alumni Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
King, Robert B.	04/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. AT&T	B	Dividend	K	T	Buy (add'l)	02/08/22	K		
2. Proctor & Gamble Co. (common)	B	Dividend	M	T	Buy (add'l)	02/08/22	K		
3. Starbucks Corp. (common)	B	Dividend	M	T	Buy (add'l)	02/08/22	K		
4. Charter Communications	A	Dividend	K	T					
5. Apple Inc.	A	Dividend	M	T	Buy (add'l)	02/11/22	K		
6. Pepsico, Inc.	A	Dividend	M	T	Buy (add'l)	02/08/22	K		
7. Morgan Stanley & Co.	A	Dividend	K	T	Buy	02/11/22	K		
8. Warner Bros. Discovery	B	Dividend	J	T	Spinoff (from line 1)	04/13/22	J		
9. Warner Bros. Discovery	B	Dividend	J	T	Sold (part)	04/13/22	J	A	
10. Kraft Foods	A	Dividend			Sold	07/20/22	J	A	
11. E.I. DuPont De Nemours & Co. (common) now Dow DuPont, Inc.	A	Dividend	J	T					
12. Cortvea Inc. (Spin-off of DuPont)	A	Dividend	J	T					
13. Chemours	A	Dividend	J	T					
14. Clearbridge Aggressive Growth Fund	A	Dividend	L	T					
15. American Century Equity Income Fund	A	Dividend	M	T					
16. Clearbridge Aggressive Growth Fund	A	Dividend	L	T					
17. Mineral Interests, Tyler County, WV See below a - o									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. - a. HG Energy, successor to East Resources, Inc.		None	J	W					
19. - b. Ergon Oil Purchasing	A	Royalty	J	W					
20. -c CNX Gas, successor to Consol Gas Co.		None	J	W					
21. - d. Jay-Bee Production	B	Royalty	J	W					
22. - e. BD Oil Gathering Corp.	C	Royalty	J	W					
23. -f Texas Keystone, Inc.		None	J	W					
24. -g. Petroedge Energy (X)		None	J	W					
25. -h. Mike Ross Inc.		None	J	W					
26. -i. Tribune Resources, Inc.		None	J	W					
27. -j. Postrock Eastern Production		None	J	W					
28. -k. American Energy - Marcellus		None	J	W					
29. - l. Artero Resources Corp.	G	Royalty	M	W					
30. - m. Ascent Resources, LLC	D	Royalty	K	W					
31. - n. Diversified Oil & Gas	A	Royalty	J	W					
32. -o. EQT Production		None	J	W					
33. Mineral Interests, Doddridge County, WV		None	J	W					
34. Mineral Interests, Wetzel County, WV		None	J	W					

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35. Vanguard Total Bond Market	A	Dividend	J	T					
36. Vanguard Intl. Eq. Index Fd	A	Dividend	J	T					
37. Vanguard S&P 500	A	Dividend	J	T					
38. IShares S&P Medays 400 Index	A	Dividend	J	T					
39. IShares Core US Aggregate	A	Dividend	J	T					
40. IShares Core S&P Mid Cap	A	Dividend	J	T					
41. IShares Edge Min. Vol. EARE (name correction)	A	Dividend	J	T					
42. IShares Edge Mscl Min. Vol USA (name correction)	A	Dividend	J	T					
43. IShares Trust Edge Fixed Inc. (name correction)	A	Dividend	J	T					
44. IShares Select Dividend ETF	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line #12 - Corteva Inc. is a spin-off of DuPont which should have been added in 2019.

Line #40 - IShares S&P was inadvertently left off of last year's report.

Several of [redacted] mineral interest lessees could, and probably should, be removed from this Report in that there have been no royalties paid by them in recent years. See lines 18, 20, 23 - 28, 32 - 34. They are being left in for historical purposes and transparency.

If there are questions, please let us know.

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King, Robert B.	04/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert B. King

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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