AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Hurwitz, Andrew	U.S. Court of Appeals, Ninth Circuit	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Court of Appeals Judge, Ninth Circuit (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
401 W. Washington Street Phoenix, AZ 85003		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner	Avondale Acres Investment Partnership
2.	Committee Member	National Conference of Bar Examiners Torts Drafting Committee
3.	Trustee	Trust #1 (reportable assets are included in Part VII)
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2013	Arizona Elected Officials Retirement System; no control
2.		
3.		

Name of Person Reporting	Date of Report
Hurwitz, Andrew	05/15/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	National Conference of Bar Examiners (stipend)	$8,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Arizona State Retirement System (pension)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Hurwitz, Andrew	05/15/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Goldman Sachs	Portfolio Loan	N
2.			
3.			
4.			
5.			

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Hurwitz, Andrew	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	INDIVIDUAL ASSETS (H)									
2.	US BANK (cash)	A	Interest	L	T					
3.	AVONDALE ACRES INVESTMENT PARTNERSHIP (REAL ESTATE, AVONDALE, AZ)	C	Distribution			Closed	12/31/21	K	C	
4.	ACCOUNT #1 (H)									
5.	LPL FINANCIAL DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
6.	AGNC INVESTMENT CORP (AGNC)	A	Dividend	J	T					
7.	BEYOND MEAT INC (BYND)		None			Sold	12/13/21	J		
8.	COINBASE GLOBAL INC CL A (COIN)		None	K	T	Buy	05/07/21	J		
9.						Buy (add'l)	12/13/21	J		
10.	CORSAIR GAMING INC (CRSR)		None	J	T	Buy	05/07/21	J		
11.						Buy (add'l)	12/13/21	J		
12.	DROPBOX INC CL A (DBX)		None	J	T	Sold (part)	12/13/21	J		
13.	ISHARES SILVER TRUST (SLV)		None	J	T					
14.	PALANTIR TECHNOLOGIES INC CL A (PLTR)		None	J	T	Buy	05/07/21	J		
15.	SWITCH INC CL A (SWCH)	A	Dividend	K	T					
16.	TATTOOED CHEF INC CL A (TTCF)		None	K	T	Buy	05/07/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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17.						Buy (add'l)	12/13/21	J		
18.	WALGREENS BOOTS ALLIANCE INC (WBA)		None	J	T	Buy	12/13/21	J		
19.	AMERICAN BEACON ARK TRANSFORM INNOVATION CL Y (ADNYX)	E	Dividend	L	T	Buy (add'l)	03/10/21	J		
20.						Buy (add'l)	05/07/21	K		
21.	COHEN & STEERS PREF SEC&INC I (CPXIX)	B	Dividend	K	T	Buy (add'l)	05/07/21	J		
22.	DOUBLELINE TOTAL RETURN (DBLTX)	B	Dividend	L	T	Buy (add'l)	05/07/21	J		
23.	DOUBLELINE SHILLER ENH CAPE (DSEEX)	E	Dividend	L	T	Sold (part)	12/13/21	K		
24.	FIDELITY ADVISOR FOCUSED EMERGING MARKETS CL I (FIMKX)		None			Buy	05/07/21	J		
25.						Sold	12/13/21	K		
26.	FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)		None			Sold	12/13/21	K		
27.	FIRST EAGLE GOLD (FEGIX)		None	L	T	Buy (add'l)	05/07/21	J		
28.	FIRST EAGLE SMALL CAP OPPTY CL I (FESCX)		None	J	T	Buy	12/13/21	J		
29.	ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend	K	T					
30.	ISHARES EDGE MSCI MIN VOL ETF (USMV)	A	Dividend	K	T	Sold (part)	03/10/21	J		
31.						Buy (add'l)	12/13/21	J		
32.	JANUS HENDERSON EUROPEAN FOCUS INSTL CL (HFEIX)	A	Dividend	L	T	Buy (add'l)	05/07/21	K		

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33. MATTHEWS ASIA JAPAN INV (MJFOX)	D	Dividend	L	T	Buy (add'l)	05/07/21	K		
34. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	K	T	Buy (add'l)	05/07/21	J		
35.					Buy (add'l)	12/13/21	J		
36. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	L	T					
37. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	K	T					
38. PACER TRENDPILOT 100 ETF (PTNQ)		None	K	T					
39. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	K	T	Buy (add'l)	12/13/21	J		
40. PERMANENT PORTFOLIO CL I (PRPFX)	A	Dividend	J	T	Buy (add'l)	05/07/21	J		
41. PGIM FLOATING RATE INCOME CLZ (FRFZX)		None	K	T	Buy	12/13/21	K		
42. PGIM TOT RET BD Z (PDBZX)	B	Dividend	L	T	Buy (add'l)	05/07/21	K		
43.					Buy (add'l)	12/13/21	J		
44. PIMCO INCOME P (PONPX)	B	Dividend	K	T					
45. SPDR TIPS ETF (SPIP)	A	Dividend	J	T	Buy	05/07/21	J		
46. SPDR PORTFOLIO S&P 500 GROWTH ETF (SPYG)	A	Dividend			Sold	12/13/21	J		
47. STRAT NASDAQ 7 HANDL ETF (HNDL)	C	Dividend	K	T					
48. T ROWE PRICE QM US SM CP GR EQ (PRDSX)	B	Dividend	J	T					
49. T ROWE PRICE MID CAP GR ADV (RPMGX)	B	Dividend	J	T					

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50.	TOUCHSTONE SANDS CAP SELECT GROWTH CL Y (CFSIX)	B	Dividend	K	T					
51.	TOUCHSTONE DIVIDEND EQUITY CL A (TQCAX)	A	Dividend	K	T					
52.	VANGUARD INFLAT PROT SEC FUND (VIPSX)	A	Dividend	K	T					
53.	VANGUARD GROWTH ETF (VUG)	A	Dividend	L	T					
54.	VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	J	T					
55.	WISDOMTREE TRUST US DIV GRWTH FUND (DGRW)	A	Dividend	K	T	Buy	12/13/21	K		
56.	WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)		None			Sold	05/07/21	K		
57.	WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend			Sold	05/07/21	K		
58.	ACCOUNT #2 (H)									
59.	AMERICAN BEACON SIM HIGH YIELD OPPTYS CL Y (SHOYX)	A	Dividend	J	T					
60.	BLACKROCK SYSTEMATIC MULTI STRATEGY INSTL CL (BIMBX)	A	Dividend	J	T					
61.	CLEARBRIDGE LARGE CAP GROWTH CL I R (SBLYX)	A	Dividend	J	T					
62.	DELAWARE DIVERSIFIED INCOME INSTL CL (DPFFX)	A	Dividend	J	T					
63.	HARBOR CAP APPREC INSTL CL (HACAX)	A	Dividend	J	T					
64.	HARDING LOEVNER EMERGING MARKETS ADVISOR CL (HLEMX)	A	Dividend	J	T					
65.	HARDING LOEVNER INTL EQUITY INSTL CL (HLMIX)	A	Dividend	J	T					
66.	JOHN HANCOCK DISCIPLINED VALUE CL I (JVLIX)	A	Dividend	J	T					

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67. JOHN HANCOCK DISCIPLINED VAL MID CAP CL I (JVMIX)	A	Dividend	J	T					
68. METROPOLITAN WEST TOTAL RETURN BOND CL I (MWTIX)	A	Dividend	J	T					
69. MFS VALUE CL I (MEIIX)	A	Dividend	J	T					
70. T ROWE PRICE EMERGING MARKETS BOND INVESTOR CL (PREMX)	A	Dividend	J	T					
71. ACCOUNT #3 (H)									
72. COMENITY CAPITAL BANK (cash) (Y)									
73. BEYOND MEAT INC (BYND)		None			Sold	12/13/21	J		
74. COINBASE GLOBAL INC CL A (COIN)		None	J	T	Buy	05/07/21	J		
75. CORSAIR GAMING INC (CRSR)		None	J	T	Buy	05/07/21	J		
76.					Buy (add'l)	12/13/21	J		
77. DROPBOX INC CL A (DBX)		None	J	T	Sold (part)	12/13/21	J		
78. PALANTIR TECHNOLOGIES INC CL AC (PLTR)		None	J	T	Buy	05/07/21	J		
79. TATTOOED CHEF INC CL A TTCF (TTCF)		None	J	T	Buy	05/07/21	J		
80. WALGREENS BOOTS ALLIANCE INC (WBA)		None	J	T	Buy	12/13/21	J		
81. AMERICAN BEACON ARK TRANSFORM INNOVATION CL Y (ADNYX)	B	Dividend	J	T					
82. BNY MELLON SM/MD/CAP GRW I (SDSCX)	A	Dividend	J	T					

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83. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T					
84. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	J	T	Sold (part)	12/13/21	J		
85. DOUBLELINE SHILLER ENH CAPE (DSEEX)	C	Dividend	K	T					
86. FIDELITY ADV EMERG MKTS I (FIMKX)	A	Dividend			Sold	12/13/21	J		
87. FIRST EAGLE GOLD (FEGIX)	A	Dividend	J	T	Buy (add'l)	12/13/21	J		
88. FIRST EAGLE SMALL CAP OPPTY CL I (FESCX)		None	J	T	Buy	12/13/21	J		
89. FRANKLIN INTL GROWTH ADV (FNGZX)	A	Dividend	J	T	Buy (add'l)	05/07/21	J		
90. ISHARES MORTGAGE REAL ESTATE (REM)	A	Dividend	J	T	Sold (part)	05/07/21	J		
91.					Buy (add'l)	12/13/21	J		
92. ISHARES RESIDNTL RL EST ETF (REZ)	A	Dividend			Sold	05/07/21	J		
93. ISHARES EDGE MSCI USA MIN VOL (USMV)	A	Dividend	J	T	Sold (part)	12/13/21	J		
94. MATTHEWS ASIAN JAPAN INV (MJFOX)	A	Dividend	J	T	Buy (add'l)	05/07/21	J		
95. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T					
96. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	J	T					
97. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	J	T					
98. PACER TRENDPILOT 100 ETF (PTNQ)		None	J	T					
99. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
100. PERMANENT PORTFOLIO CL I (PRPFX)	A	Dividend	J	T					
101. PGIM TOT RET BD Z (PDBZX)	A	Dividend	J	T					
102. PIMCO INCOME (PONPX)	A	Dividend	J	T					
103. SPDR TIPS ETF (SPIP)		None	J	T	Buy	12/13/21	J		
104. SPDR PORTFOLIO S&P 500 GROWTH ETF (SPYG)	A	Dividend			Sold	12/13/21	J		
105. STRATEGY NASDAQ 7 HANDL INDEX ETF (HNDL)	A	Dividend	J	T					
106. T ROWE PRICE QM U S SMALL CAP GROWTH EQUITY INVESTOR CL (PRDSX)	A	Dividend	J	T	Buy	12/13/21	J		
107. TOUCHSTONE SANDS CAP SELECT GROWTH CL Y (CFSIX)	A	Dividend			Sold	11/16/21	J		
108. VANGUARD GROWTH ETF (VUG)	A	Dividend	J	T					
109. WISDOMTREE TRUST US DIV GRWTH FUND (DGRW)	A	Dividend	J	T	Buy	12/13/21	J		
110. WISDOMTREE TRUST JAPN HEDGE (DXJ)		None			Sold	05/07/21	J		
111. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend			Sold	05/07/21	J		
112. ACCOUNT #4 (H)									
113. JOHN HANCOCK MGD VOL GROWTH PORTFOLIO		None			Sold	04/09/21	N	F	
114. INDEX PERFORMANCE STRAT 3-YEAR TERM: S&P 500 INDEX	A	Dividend	M	T	Buy	06/03/21	M		
115. INDEX PERFORMANCE STRATEGY 1-YEAR TERM: EURO STOXX 50	A	Dividend	K	T	Buy	06/03/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
116. INDEX PERFORMANCE STRAT 1-YEAR TERM: ISHARES MSCI EMERGING MARKETS ETF	A	Dividend	J	T	Buy	06/03/21	J		
117. INDEX PERFORMANCE STRAT 3-YEAR TERM: RUSSELL 2000 INDEX	A	Dividend	L	T	Buy	06/03/21	K		
118. ACCOUNT #5 (H)									
119. LPL FINANCIAL DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
120. GOLDMAN SACHS ASSET MANAGEMENT GOVT FUND (cash)	A	Interest	K	T	Open	10/29/21	L		
121. AGNC INVESTMENT CORP (AGNC)	B	Dividend	K	T					
122. ANNALY CAPITAL MANAGEMENT INC (NYL)	C	Dividend	K	T					
123. BEYOND MEAT INC (BYND)		None			Sold	12/17/21	J		
124. COINBASE GLOBAL INC CL A (COIN)		None	K	T	Buy	05/07/21	J		
125.					Buy (add'l)	12/17/21	J		
126. CORSAIR GAMING INC (CRSR)		None	K	T	Buy	05/07/21	K		
127.					Buy (add'l)	12/17/21	J		
128. CUBESMART COM (CUBE)	A	Dividend	K	T					
129. DROPBOX INC CL A (DBX)		None	K	T	Sold (part)	12/17/21	J	B	
130. ISHARES SILVER TRUST (SLV)		None			Sold	12/17/21	J		
131. SWITCH INC CL A (SWCH)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Hurwitz, Andrew	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
132. TATTOOED CHEF INC CL A TTCF (TTCF)		None	K	T	Buy	03/10/21	K		
133.					Buy (add'l)	12/17/21	J		
134. WALGREENS BOOTS ALLIANCE INC (WBA)		None	K	T	Buy	12/17/21	K		
135. ALLSPRING MUN BOND INSTL CL (WMBIX)	A	Dividend	K	T					
136. AMERICAN BEACON ARK TRANSFORM INNOVATION CL Y (ADNYX)	E	Dividend	L	T	Buy (add'l)	03/10/21	J		
137.					Buy (add'l)	05/07/21	J		
138. BLACKROCK MUNIYIELD FUND INC (MYD)	B	Dividend	L	T	Buy (add'l)	12/17/21	K		
139. BNY MELLON SMALL MID CAP GRWTH CL I (SDSCX)	C	Dividend	L	T					
140. COHEN & STEERS PREF SEC&INC I (CPXIX)	B	Dividend	K	T					
141. DOUBLELINE TOTAL RETURN (DBLTX)	C	Dividend	L	T	Sold (part)	05/07/21	K		
142. DOUBLELINE SHILLER ENH CAPE (DSEEX)	E	Dividend	M	T	Sold (part)	12/17/21	K	B	
143. FIDELITY ADVISOR EMERGING MARKETS CL I (FIMKX)	C	Dividend			Sold	12/17/21	L		
144. FIRST EAGLE SMALL CAP OPPTY CL I (FESCX)		None	K	T	Buy	12/17/21	K		
145. FIRST EAGLE GOLD (FEGIX)	A	Dividend	L	T	Buy (add'l)	12/17/21	J		
146. FRANKLIN INTERNATIONAL GROWTH ADVANCED (FNGZX)	B	Dividend	L	T	Buy (add'l)	05/07/21	K		
147. ISHARES EDGE MSCI USA MIN VOL ETF (USMV)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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148. MATTHEWS ASIA JAPAN (MJFOX)	C	Dividend	K	T					
149. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	K	T	Buy (add'l)	12/17/21	J		
150. NORTHERN AZ TAX EXEMPT FUND (NOAZX)	A	Dividend	K	T					
151. PACER TRENDPILOT US LARGE CAP (PTLC)	A	Dividend	M	T	Sold (part)	03/10/21	J	B	
152. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	K	T					
153. PACER TRENDPILOT 100 ETF (PTNQ)		None	K	T					
154. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	L	T					
155. PERMANENT PORTFOLIO CL I (PRPFX)	A	Dividend	K	T					
156. PGIM TOT RET BD Z (PDBZX)	B	Dividend	L	T					
157. PIMCO SHORT TERM P (PTSPX)	A	Dividend			Sold	05/07/21	J	A	
158. SPDR SERIES TRUST S&P BIOTECH ETF (XBI)	A	Dividend	J	T					
159. STRATEGY NASDAQ 7 HANDL INDEX ETF (HNDL)	C	Dividend	L	T					
160. T ROWE PRICE MID CAP GR ADV (RPMGX)	C	Dividend	L	T					
161. T ROWE PRICE QM US SMALL CAP GROWTH EQUITY (PRDSX)	D	Dividend	K	T					
162. TRANSAMERICA BOND CL I (TFXIX)	B	Dividend	K	T					
163. VANECK VECTORS MTG REIT INCOME ETF (MORT)	C	Dividend	K	T					
164. VANGUARD GROWTH ETF (VUG)	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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165. WISDOMTREE TRUST US DIV GRWTH FUND (DGRW)	A	Dividend	K	T	Buy	12/17/21	K		
166. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)		None			Sold	05/07/21	K	C	
167. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend			Sold	05/07/21	L	D	
168. ACCOUNT #6 (H)									
169. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	K	T	Buy (add'l)	12/28/21	J		
170. AMERICAN MUTUAL 529A (CMLAX)	B	Dividend	K	T	Buy (add'l)	12/28/21	J		
171. ACCOUNT #7 (H)									
172. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	K	T	Buy (add'l)	12/27/21	J		
173. AMERICAN MUTUAL 529A (CMLAX)	B	Dividend	K	T	Buy (add'l)	12/27/21	J		
174. ACCOUNT #8 (H)									
175. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	K	T	Buy (add'l)	12/21/21	J		
176. AMERICAN BALANCED 529 A (CLBAX)	A	Dividend	K	T	Buy (add'l)	12/21/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Account #4 is an Allianz Index Advantage Variable Annuity.

TOUCHSTONE DIVIDEND EQUITY CL A (TQCAX) was AIG FOCUSED DIV STRAT A (FDSAX).

ALLSPRING MUN BOND INSTL CL (WMBIX) was WELLS FARGO MUNI BOND (WMBIX).

Name of Person Reporting	Date of Report
Hurwitz, Andrew	05/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Andrew Hurwitz

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544