AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Holmes, Jerome A.	10th Circuit	02/27/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 215 Dean A. McGee Ave., Rm. 315 Oklahoma City, OK 73102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director (Board of Directors)	Oklahoma Medical Research Foundation
2.	Trustee (Board of Trustees)	Oklahoma City Museum of Art
3.	Director & Chair (Board of Directors)	William J. Holloway, Jr. Scholarship Program, Inc.
4.	Director (Board of Directors)	United Way of Central Oklahoma
5.	Director (Board of Directors)	Oklahoma City Golf & Country Club
6.	Trustee	Trust #1 (no reportable assets)
7.	Member (CEO Search Comm.)	Oklahoma City Repertory Theatre

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	self-employed attorney
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Sara Rafferty, Former Law Clerk	Oct. 21-24, 2021	Marco Island, Florida	Wedding Officiant	Airfare, lodging and rental car
2.	Oklahoma City Golf & Country Club	May 27-29, 2021	Denver and Castle Rock, Coloardo	Board Retreat	Hotel for one night, meals and taxi
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Credit Card # 1	K
2.	Bank of America	Credit Card # 2	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Holmes, Jerome A.	02/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. BancFirst (Trust #1) Cash Accounts #1	A	Interest	J	T					
2. Trust # 1 (H)									
3. - Universal Chemical Technologies common stock		None	J	W					
4. BancFirst Cash Account #2	A	Interest			Closed	12/12/21	J		
5. Weokie Credit Union Cash Accounts	A	Interest			Closed	04/05/21	J		
6. Bank of Oklahoma (H)									
7. - Cash Account #1	A	Interest	J	T	Open	02/19/21	J		
8. - Cash Account #2	A	Interest	J	T	Open	02/22/21	J		
9. SEP IRA (H)									
10. - SEI Large Cap Growth (SLRYX)	D	Dividend	L	T	Buy (add'l)	03/05/21	J		
11.					Buy (add'l)	04/12/21	J		
12.					Buy (add'l)	05/05/21	J		
13.					Buy (add'l)	07/06/21	J		
14.					Sold (part)	09/30/21	J		
15. - SEI Large Cap Value (SVAYX)	C	Dividend	L	T	Buy (add'l)	04/12/21	J		
16.					Buy (add'l)	07/06/21	J		
17.					Sold (part)	09/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Holmes, Jerome A.	02/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. - SEI Small Cap Growth (SMAYX)	B	Dividend	J	T	Buy (add'l)	04/12/21	J		
19.					Buy (add'l)	07/06/21	J		
20. -SEI Small Cap Value (SPVYX)	A	Dividend	J	T	Buy (add'l)	07/06/21	J		
21. -Russell Emerging Markets (REMSX)	C	Dividend	K	T	Buy (add'l)	04/12/21	J		
22.					Buy (add'l)	07/06/21	J		
23.					Sold (part)	09/30/21	J		
24. -SEI Core Fixed Income (SCFYX)	A	Dividend	K	T	Buy (add'l)	03/05/21	J		
25.					Buy (add'l)	04/05/21	J		
26.					Buy (add'l)	04/12/21	J		
27.					Buy (add'l)	07/06/21	J		
28.					Sold (part)	09/30/21	J		
29. - SEI High Yield Bond Fund (SIYYX)	A	Dividend	J	T	Buy (add'l)	04/12/21	J		
30. - SEI Emerging Markets Debt Fund (SIEDX)	A	Dividend	J	T	Buy (add'l)	04/12/21	J		
31. - SEI International Fixed Income Fund (SIFIX)	A	Dividend	J	T	Buy (add'l)	04/05/21	J		
32.					Buy (add'l)	04/12/21	J		
33. - SEI Daily Income Trust Government Fund (SEOXX)	A	Dividend	J	T	Sold (part)	03/05/21	J		
34.					Buy (add'l)	03/05/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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35.					Sold (part)	03/08/21	J		
36.					Sold (part)	04/05/21	J		
37.					Buy (add'l)	04/05/21	J		
38.					Sold (part)	04/06/21	J		
39.					Buy (add'l)	04/12/21	K		
40.					Sold (part)	04/13/21	K		
41.					Sold (part)	05/05/21	J		
42.					Buy (add'l)	05/05/21	J		
43.					Sold (part)	05/06/21	J		
44.					Buy (add'l)	07/06/21	K		
45.					Sold (part)	07/07/21	K		
46.	- TIAACREF - Intern. Equity Index Fund (TCIHX)	B	Dividend	K	T	Buy (add'l)	04/05/21	J		
47.						Buy (add'l)	04/12/21	J		
48.						Buy (add'l)	05/05/21	J		
49.						Buy (add'l)	07/06/21	J		
50.						Sold (part)	09/30/21	J		
51.									

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. Living Trust (H)									
53. -Government Fund (SEOXX)	A	Dividend	J	T	Buy	10/01/21	K		
54.					Sold (part)	10/04/21	K		
55. -SEI Emerging Markets Debt Fund (SIEDX) (X)	A	Dividend	J	T					
56. -SEI Emerging Markets Equity Fund (SEQFX)	A	Dividend	J	T	Buy	10/01/21	J		
57. -SEI High Yield Bond Fund (SIYYX) (X)	A	Dividend	J	T					
58. -SEI Intermediate-Term Municipal Fund (SINYX)	A	Dividend	J	T	Buy	10/01/21	J		
59. -SEI International Equity Fund (SEFCX)	A	Dividend	J	T	Buy	10/01/21	J		
60. -SEI International Fixed Income Fund (SIFIX) (X)	A	Dividend	J	T					
61. -SEI Tax-Managed Large Cap Fund (STLYX)	A	Dividend	J	T	Buy	10/01/21	J		
62. -SEI Tax-Managed Small/ Mid Cap Fund (STMPX)	A	Dividend	J	T	Buy	10/01/21	J		
63.									
64.									
65.									
66.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part 1, Line 6. Although Filer is a trustee of Trust #1, there are no reportable assets in the trust.

Part I, Line 7: Service as non-Board member on CEO Search Committee for local theatre company ended in April 2021; consequently, this item will not appear on Filer's 2022 Form.

Part VII, Lines 1 & 3: With the exception of the noted stock and cash accounts, Trust #1 has no other reportable assets, including income-producing assets.

Part VII, Line 4: As referenced in Filer's 2019 Report (Part VII, Line 5), BancFirst Cash Account #2 is the designation used for cash accounts possessed by and During this reporting period, only one account was owned, and it was with On December 12, 2021, removed name from this account. The account remains active, but it is effectively closed as it relates to

Part VII. Line 9. SEP IRA - Ticker Symbol updated for Stock Investments.

Line 10 SEI Large Cap Growth-Y (SELCX) updated to (SLRYX)
Line 15 SEI Large Cap Value-Y (TRMVX) updated to (SVAYX)
Line 18 SEI Small Cap Growth-Y (SSCGX) updated to (SMAYX)
Line 20 SEI Small Cap Value-Y (SESVX) updated to (SPVYX)
Line 24 SEI Core Fixed Income-Y (TRLVX) updated to (SCFYX)
Line 29 SEI High Yield Bond Fund-Y (SHYAX) updated to (SIYYX)
Line 30 SEI Emerging Markets Debt Fund-Y (SITEX) updated to (SIEDX)
Line 31 SEI International Fixed Income Fund-Y (SEFIX) updated to (SIFIX)
Line 46 TIAACREF-Intern. Equity Index Fund (TCIEX) updated to (TCIHX)
.

Name of Person Reporting	Date of Report
Holmes, Jerome A.	02/27/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jerome A. Holmes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544