AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Henderson, Karen L.	US Circuit Court of Appeals, District of Columbia Circuit	05/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Court Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	

7. Chambers or Office Address

US Courthouse, Room 3118
333 Constitution Avenue, NW
Washington, DC 20001

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Henderson, Karen L.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Wells Fargo Bank Checking Account		None	J	T					
2.	Truist Bank Checking Account		None	J	T					
3.	First Citizens Checking/Savings Account	A	Interest	M	T					
4.	First Citizens - [redacted] Non-QTIP Trust									
5.	**Federated Hermes Government Obligations Fund	A	Interest	M	T	Buy (add'l)	12/31/21	P1		
6.						Sold (part)	12/31/21	P2		
7.	**BMW Bank of North America Utah CD	B	Interest			Matured	03/15/21	M		
8.	**JP Morgan Chase Bank CD		None			Sold	05/06/21	M	C	
9.	**AT&T Inc.: Common	A	Dividend			Sold	02/23/21	J		
10.	**American Beacon Garcia Hamilton Quality Bond Fund	D	Dividend	O	T	Buy	02/26/21	N		
11.						Buy (add'l)	06/03/21	L		
12.						Buy (add'l)	07/30/21	M		
13.						Buy (add'l)	11/12/21	M		
14.						Buy (add'l)	11/16/21	K		
15.	**Artisan International Small-Mid Fund Instl	A	Distribution	K	T	Buy	02/26/21	K		
16.						Sold (part)	11/12/21	J	A	
17.	**Ashmore Emerging Markets Active Equity Fund	A	Dividend			Buy	02/26/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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18.					Buy (add'l)	11/12/21	J		
19.					Buy (add'l)	11/16/21	J		
20.					Sold	12/07/21	L		
21. **Ballie Gifford Emerging Markets Equities Fund	B	Dividend	L	T	Buy	02/26/21	L		
22.	B	Distribution			Buy (add'l)	11/12/21	J		
23.					Buy (add'l)	12/22/21	J		
24. **Baird Intermediate Bond Fund Instl	D	Dividend	P1	T	Buy	06/03/21	O		
25.	D	Distribution			Buy (add'l)	07/30/21	O		
26.					Buy (add'l)	11/16/21	J		
27.					Sold (part)	11/12/21	N		
28. **Baird Aggregate Bond Fund Instl	C	Dividend			Buy	02/26/21	O		
29.					Sold	06/03/21	O		
30. **Bank of America Corp: Common	B	Dividend			Sold (part)	02/23/21	N	E	
31.					Sold (part)	05/03/21	M	E	
32.					Sold (part)	07/30/21	L	E	
33.					Sold	11/15/21	L	E	
34. **Boeing Co: Common		None			Sold	02/23/21	M		

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35. **Campbell Soup Co.: Common	C	Dividend			Sold (part)	02/23/21	K	C	
36.					Sold (part)	05/03/21	L	D	
37.					Sold	07/30/21	L	D	
38. **Carillon Clarivest Capital	A	Dividend	M	T	Buy	02/26/21	K		
39.	D	Distribution			Buy (add'l)	06/03/21	L		
40.					Buy (add'l)	07/30/21	K		
41.					Buy (add'l)	11/12/21	J		
42.					Buy (add'l)	11/16/21	J		
43. **Chevron Corp New: Common	D	Dividend			Sold (part)	02/23/21	K		
44.					Sold (part)	05/03/21	L		
45.					Sold (part)	07/30/21	L		
46.					Sold	11/15/21	L		
47. **Colgate Palmolive Co: Common	D	Dividend			Sold (part)	02/23/21	L	D	
48.					Sold (part)	05/03/21	M	E	
49.					Sold (part)	07/30/21	M	E	
50.					Sold	11/15/21	M	E	
51. **Columbia Select Large Cap Value Fund	B	Dividend	M	T	Buy	02/26/21	K		

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52.	C	Distribution			Buy (add'l)	07/30/21	K		
53.					Buy (add'l)	11/12/21	K		
54.					Buy (add'l)	11/16/21	J		
55. **Diamond Hill Large Cap Fund	A	Dividend	L	T	Buy	02/26/21	K		
56.	D	Distribution			Buy (add'l)	07/30/21	K		
57.					Buy (add'l)	11/12/21	K		
58.					Buy (add'l)	11/16/21	J		
59. **Disney Walt Co: Common		None			Sold	02/23/21	J	A	
60. **Discover Financial Services: Common	A	Dividend			Sold	02/23/21	J	A	
61. **Exxon Mobil Corp: Common	C	Dividend			Sold (part)	02/23/21	M		
62.					Sold (part)	07/30/21	K		
63.					Sold	11/15/21	K		
64. **General Electric Co: Common	A	Dividend			Sold	02/23/21	M		
65. **Goldman Sachs International Small Cap Insights Fund	A	Dividend	K	T	Buy	02/26/21	K		
66.					Sold (part)	11/12/21	K	B	
67. **Goldman Sachs Emerging Markets Equity Insights Fund	B	Dividend	L	T	Buy	02/26/21	L		
68.	D	Distribution			Buy (add'l)	11/12/21	J		

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69. **Halliburton Co.: Common (Y)									
70. **T Rowe Price Institutional Large Cap Core Growth Fund	D	Distribution	M	T	Buy	02/26/21	K		
71.					Buy (add'l)	06/03/21	L		
72.					Buy (add'l)	07/30/21	K		
73.					Buy (add'l)	11/12/21	J		
74.					Buy (add'l)	11/16/21	J		
75. **International Business Machs Corp: Common	C	Dividend			Sold (part)	02/23/21	K		
76.					Sold (part)	07/30/21	K		
77.					Sold	11/15/21	K		
78. **Invesco Actively Managed Exchange-Traded Commodity Fund		None			Sold	02/23/21	M	E	
79. **Ishares Core US Aggregate Bond ETF	D	Dividend			Sold	07/30/21	O	D	
80. **Ishares Russell Mid-Cap ETF	D	Dividend	O	T	Buy (add'l)	03/01/21	M		
81.					Buy (add'l)	11/15/21	K		
82.					Sold (part)	07/30/21	L	E	
83.					Sold (part)	11/16/21	J	B	
84. **Ishares Russell 2000 ETF	B	Dividend	M	T	Buy (add'l)	06/04/21	L		
85.					Buy (add'l)	07/30/21	K		

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86.					Sold (part)	03/01/21	K	D	
87.					Sold (part)	11/15/21	K	D	
88.					Sold (part)	11/16/21	J	A	
89. **Ishares Iboxx $ High Yield Corporate Bond ETF	A	Dividend			Sold	02/23/21	N	C	
90. **Ishares Russell Top 200 Value ETF	D	Dividend	N	T	Buy	03/01/21	M		
91.					Buy (add'l)	07/30/21	M		
92.					Buy (add'l)	11/15/21	M		
93. **Ishares Russell Top 200 Growth ETF	C	Dividend	O	T	Buy	03/01/21	M		
94.					Buy (add'l)	06/04/21	M		
95.					Buy (add'l)	07/30/21	M		
96.					Buy (add'l)	11/15/21	N		
97.					Sold (part)	11/16/21	J	A	
98. **Ishares Russell Core Msci EAFE ETF	E	Dividend	O	T	Buy (add'l)	03/01/21	O		
99.					Buy (add'l)	06/04/21	J		
100.					Buy (add'l)	07/30/21	L		
101.					Sold (part)	11/15/21	M	E	
102.					Sold (part)	11/16/21	J	A	

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103. **Ishares Inc. Core Msci Emarket	B	Dividend	L	T	Buy	12/08/21	L		
104.					Buy (add'l)	12/28/21	J		
105. **Kinder Morgan Inc. Del: Common	A	Dividend			Sold	02/23/21	J		
106. **Koninklijke Ahold Delhaize N. V.		None			Sold	02/23/21	J	A	
107. **Kyndryl Hidgs Inc.: Common		None			Spinoff (from line 75)	11/04/21	J		
108.					Sold	11/15/21	J		
109. **Mainstay Cbre Global Infrastructure Fund	A	Dividend	K	T	Buy	02/26/21	K		
110.					Sold (part)	11/12/21	J	A	
111. **Marathon Petrol Corp: Common	B	Dividend			Sold (part)	02/23/21	L		
112.					Sold (part)	07/30/21	K		
113.					Sold	11/15/21	K		
114. **Morgan Stanley Common New	A	Dividend			Sold	02/23/21	K	D	
115.	E	Distribution							
116. **Morgan Stanley Institutional Growth Fund	E	Distribution	M	T	Buy	02/26/21	K		
117.					Buy (add'l)	06/03/21	L		
118.					Buy (add'l)	07/30/21	K		
119.					Buy (add'l)	11/12/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Henderson, Karen L.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Buy (add'l)	11/16/21	J		
121. **Nuveen Global Infrastructure Fund	A	Dividend	K	T	Buy	02/26/21	K		
122.	B	Distribution			Sold (part)	11/12/21	J	A	
123. **Pear Tree Polaris Foreign Value Small Cap	A	Dividend	K	T	Buy	02/26/21	K		
124.	A	Distribution			Sold (part)	11/12/21	J	A	
125. **Pfizer Inc.: Common	D	Dividend			Sold (part)	02/23/21	M		
126.					Sold (part)	07/30/21	L	E	
127.					Sold	11/15/21	L	E	
128. **Proshares Tr Dj Brookfield lobal Infrastructure ETF	A	Dividend	J	T	Buy	03/01/21	J		
129. **T Rowe Price Emerging Markets Stock Fund		None			Buy	02/26/21	L		
130.					Sold	11/12/21	L		
131. **Royal Dutch Shell PLC Sponsored ADR	B	Dividend			Sold (part)	02/23/21	M		
132.					Sold	05/03/21	L		
133. **Schlumberger LTD: Common	A	Dividend			Sold	02/23/21	L		
134. **TCW Emerging Markets	A	Dividend			Sold	02/23/21	M		
135. **TIAA-CREF Emerging Markets Equity Index Fund	B	Dividend	M	T	Buy	02/26/21	M		
136.					Buy (add'l)	11/12/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Henderson, Karen L.	05/12/2022

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137.					Buy (add'l)	12/22/21	J		
138. **Total SE	A	Dividend			Sold	02/23/21	J		
139. **Vanguard Equity Income Fund	B	Dividend	M	T	Buy	02/26/21	K		
140.	D	Distribution			Buy (add'l)	07/30/21	K		
141.					Buy (add'l)	11/12/21	K		
142.					Buy (add'l)	11/16/21	J		
143. **Vanguard Short Term Bond Index Fund	D	Dividend	O	T	Buy	02/26/21	O		
144.	C	Distribution			Buy (add'l)	06/03/21	M		
145.					Buy (add'l)	11/12/21	M		
146.					Buy (add'l)	11/16/21	K		
147.					Sold (part)	07/30/21	M		
148. **Vanguard Bond Index Fund Inc. Intermediate Term Bond ETF	A	Dividend			Sold	02/23/21	N	E	
149. **Vanguard Real Estate ETF	A	Dividend			Sold (part)	03/01/21	L		
150.					Sold	07/30/21	L	D	
151. **Viatris Inc: Common		None			Sold	02/23/21	K	B	
152. First Citizens [redacted] QTIP Trust:									
153. **Federated Hermes Government Obligations Fund	A	Interest	L	T	Buy (add'l)	12/31/21	P1		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
154.					Sold (part)	12/31/21	P1		
155. **BMW Bank of North America Utah CD	A	Interest			Matured	03/15/21	K		
156. **JP Morgan Chase Bank, N.A. CD		None			Sold	05/06/21	K	A	
157. **AT&T Inc.: Common	A	Dividend			Sold	04/12/21	J		
158. **American Beacon Garcia Hamilton Quality Bond Fund	B	Dividend	M	T	Buy	04/13/21	M		
159.					Buy (add'l)	12/31/21	K		
160.					Sold (part)	10/12/21	J		
161. **Artisan International Small-Mid Fund Instl	A	Distribution	J	T	Buy	04/13/21	J		
162. **Ashmore Emerging Markets Active Equity Fund - Inst	A	Dividend			Buy	04/13/21	K		
163.					Buy (add'l)	08/09/21	J		
164.					Buy (add'l)	10/12/21	J		
165.					Sold	12/07/21	K		
166. **Ballie Gifford Emerging Markets Equities Fund	A	Dividend	K	T	Buy	04/13/21	K		
167.	A	Distribution			Buy (add'l)	08/09/21	J		
168.					Buy (add'l)	12/31/21	J		
169. **Baird Intermediate Bond Fund Instl	C	Dividend	N	T	Buy	04/13/21	M		
170.	B	Distribution			Buy (add'l)	06/03/21	K		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
171.					Buy (add'l)	12/31/21	K		
172.					Sold (part)	10/12/21	J		
173. **Bank of America Corp: Common	A	Dividend			Sold (part)	04/12/21	K	D	
174.					Sold (part)	05/03/21	K	D	
175.					Sold	08/10/21	K	D	
176. **Boeing Co: Common		None			Sold	04/12/21	L		
177. **Campbell Soup Co.: Common	A	Dividend			Sold (part)	04/12/21	K	C	
178.					Sold (part)	05/03/21	J	B	
179.					Sold	08/10/21	J	A	
180. **Carillon Clarivest Capital	A	Dividend	K	T	Buy	04/13/21	K		
181.	B	Distribution			Buy (add'l)	08/09/21	J		
182. **Chevron Corp. New: Common	B	Dividend			Sold (part)	04/12/21	K		
183.					Sold	08/10/21	K		
184. **Colgate Palmolive Co.: Common	B	Dividend			Sold (part)	04/12/21	L	D	
185.					Sold (part)	05/03/21	K	B	
186.					Sold	08/10/21	K	C	
187. **Disney Walt Co.: Common (Y)									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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Henderson, Karen L.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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188. **Discover Financial Services: Common (Y)									
189. **Exxon Mobil Corp: Common	A	Dividend			Sold (part)	04/12/21	J		
190.					Sold	08/10/21	K		
191. **General Electric Co.: Common	A	Dividend			Sold	04/12/21	K		
192. **Goldman Sachs International Small Cap Insights Fund	A	Dividend	J	T	Buy	04/13/21	J		
193. **Goldman Sachs Emerging Markets Equity Insights Fund	A	Dividend	K	T	Buy	04/13/21	J		
194.	C	Distribution			Buy (add'l)	08/09/21	J		
195.					Buy (add'l)	10/12/21	J		
196. **Halliburton Co.: Common (Y)									
197. **T Rowe Price Institutional Large-Cap Core Growth Fund	C	Distribution	K	T	Buy	04/13/21	K		
198.					Buy (add'l)	08/09/21	J		
199. **International Business Machs Corp.: Common	A	Dividend			Sold (part)	04/12/21	K		
200.					Sold	08/10/21	K		
201. **Invesco Actively Managed Exchange-Traded Commodity Fund		None			Sold	04/12/21	J	C	
202. **Ishares Core U.S. Aggregate Bond ETF	A	Dividend			Sold	04/12/21	M	A	
203. **Ishares Russell Mid-Cap ETF	B	Dividend	M	T	Buy (add'l)	04/14/21	K		
204.					Buy (add'l)	06/04/21	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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205.					Buy (add'l)	10/13/21	J		
206.					Sold (part)	08/10/21	J	B	
207. **Ishares Russell 2000 ETF	A	Dividend	K	T	Buy (add'l)	04/14/21	J		
208.					Buy (add'l)	08/10/21	J		
209.					Sold (part)	10/13/21	J	B	
210. **Ishares Iboxx $ High Yield Corporate Bond ETF	A	Dividend			Sold	04/12/21	L	B	
211. **Ishares Russell Top 200 Value ETF	B	Dividend	M	T	Buy	08/10/21	M		
212.					Sold (part)	10/13/21	K		
213. **Ishares Russell Top 200 Growth ETF	A	Dividend	M	T	Buy	04/14/21	M		
214.					Buy (add'l)	08/10/21	J		
215.					Buy (add'l)	10/13/21	L		
216. **Ishares Russell Top 200 ETF	A	Dividend			Buy	06/04/21	K		
217.					Sold	08/10/21	K	B	
218. **Ishares Tr Core MSCI EAFE ETF	D	Dividend	M	T	Buy (add'l)	04/14/21	M		
219.					Buy (add'l)	06/04/21	K		
220.					Buy (add'l)	08/10/21	K		
221.					Sold (part)	10/13/21	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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222. **Ishares Inc. Core MSCI Emarket	A	Dividend	K	T	Buy	12/08/21	K		
223. **Kinder Morgan Inc. Del: Common (Y)									
224. **Koninklijke Ahold Delhaize N.V. (Y)									
225. **Mainstay Cbre Global Infrastructure	A	Dividend	J	T	Buy	04/13/21	J		
226. **Marathon Petrol Corp.: Common	A	Dividend			Sold (part)	04/12/21	K		
227.					Sold	08/10/21	J		
228. **Morgan Stanley: Common New	A	Dividend			Sold	04/12/21	J	B	
229. **Morgan Stanley Instant Growth	D	Distribution	K	T	Buy	04/13/21	K		
230.					Buy (add'l)	08/09/21	J		
231.					Buy (add'l)	10/12/21	J		
232. **Nuveen Global Infrastructure Fund	A	Dividend	J	T	Buy	04/13/21	J		
233.	A	Distribution							
234. **Pear Tree Polaris Foreign Value Small Cap	A	Dividend	J	T	Buy	04/13/21	J		
235.	A	Distribution							
236. **Pfizer Inc.: Common	B	Dividend			Sold (part)	04/12/21	K	A	
237.					Sold	08/10/21	K	D	
238. **Proshares Tr Dj Brookfield Global Insfrastructure ETF	A	Dividend	J	T	Buy	04/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
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Name of Person Reporting	Date of Report
Henderson, Karen L.	05/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
239. **T Rowe Price Emerging Markets Stock Fund		None			Buy	04/13/21	J		
240.					Buy (add'l)	08/09/21	J		
241.					Sold	10/12/21	K		
242. **Royal Dutch Shell PLC Sponsored ADR	A	Dividend			Sold (part)	04/12/21	K		
243.					Sold	05/03/21	K		
244. **Schlumberger LTD: Common	A	Dividend			Sold	04/12/21	K		
245. **TCW Emerging Markets Income Fund	A	Dividend			Sold	04/09/21	L		
246. **TIAA-CREF Emerging Markets-Equity Index Fund	A	Dividend	K	T	Buy	04/13/21	K		
247.					Buy (add'l)	08/09/21	J		
248.					Buy (add'l)	12/31/21	J		
249. *Total SE	A	Dividend			Sold	04/12/21	J		
250. **Vanguard Short Term Bond Index Adm	B	Dividend	M	T	Buy	04/13/21	M		
251.	A	Distribution			Buy (add'l)	08/09/21	J		
252.					Buy (add'l)	12/31/21	K		
253.					Sold (part)	10/12/21	J		
254. **Vanguard Bond Index Fund Income Intermediate Term Bond ETF	A	Dividend			Sold	04/12/21	M	C	
255.	A	Distribution							

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
256. **Vanguard Real Estate ETF	A	Dividend			Sold (part)	04/14/21	K	A	
257.					Sold	08/10/21	K	C	
258. **Viatris Inc.: Common		None			Sold	04/12/21	J		
259. Truist Bank Investment Account	A	Interest	M	T					
260. Morgan Stanley Investment Account:									
261. **Morgan Stanley Cash Account	A	Interest	K	T					
262. **AT&T Inc: Common	C	Dividend	K	T					
263. **Bank of America Corp.: Common	A	Dividend	J	T					
264. **Boeing Co.: Common		None	J	T					
265. **Chevron Corp.: Common	A	Dividend	J	T					
266. **Kinder Morgan Incorp.: Common	A	Dividend	J	T					
267. **Pfizer Inc.: Common	A	Dividend	J	T					
268. **Viatris Inc.: Common	A	Dividend	J	T					
269. Morgan Stanley IRA Account:									
270. **Morgan Stanley Cash Account	A	Interest	K	T					
271. **AT&T Inc.: Common	C	Dividend	K	T					
272. **Bank of America Corp.: Common	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273. **Boeing Co.: Common		None	K	T					
274. **Chevron Corp.: Common	B	Dividend	K	T					
275. **Kinder Morgan Inc.: Common	B	Dividend	K	T					
276. **Pfizer Inc.: Common	B	Dividend	L	T					
277. **Viatris Inc.: Common	A	Dividend	J	T					
278. Merrill Lynch IRA Account:									
279. **Bank of America Retirement Savings Plan	A	Interest	M	T	Buy (add'l)	03/11/21	J		
280.					Buy (add'l)	06/11/21	J		
281.					Buy (add'l)	09/13/21	J		
282.					Buy (add'l)	12/03/21	M		
283.					Buy (add'l)	12/13/21	J		
284. **BA CA N.A. Retirement Savings Program	A	Interest	K	T	Buy	12/03/21	K		
285. **AT&T Inc.: Common	B	Dividend			Sold	12/02/21	J	B	
286. **Marathon Oil Corp.: Common	A	Dividend			Sold	12/02/21	K		
287. **Marathon Petrol Corp.: Common	D	Dividend			Sold	12/02/21	M	G	
288. Merrill Lynch SEP Account:									
289. **Bank of America Retirement Savings Program	A	Interest	M	T	Buy (add'l)	03/11/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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290.					Buy (add'l)	06/11/21	J		
291.					Buy (add'l)	09/13/21	J		
292.					Buy (add'l)	12/03/21	M		
293.					Buy (add'l)	12/13/21	J		
294. **BA CA N.A. Retirement Savings Program	A	Interest	K	T	Buy	12/03/21	K		
295. **Chevron Corp.: Common	D	Dividend			Sold	12/02/21	M	F	
296.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Henderson, Karen L.	05/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Karen L. Henderson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544