AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Gregory, Roger L. | United States Court of Appeals for the Fourth Circuit | 05/10/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Article III Judge - Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| 1000 East Main Street<br>Suite 316<br>Richmond, VA 23219-3517 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | University of Richmond |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2022 | Survivor Income Pension - Altria Group, Inc. | $20,053.56 |
| 2. | 08/02/2022 | VA CLE - Donation paid directly to 501(c)(3) charity - SEE ATTACHED LIST OF PAYMENT MADE BY SOURCE AND CONFIDENTIAL RECIPIENT. | $1,000.00 |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | NYU School of Law | 04/06/2022 - 04/06/2022 | New York, NY | Moot Court | Travel |
| 2. | Charleston Chapter of ABOTA (American Board of Trial Advocates) | 04/20/2022 - 04/22/2022 | Charleston, SC | Keynote Speaker | Travel & Lodging |
| 3. | Univeristy of Michigan Law School | 05/05/2022 - 05/07/2022 | Ann Arbor, MI | Commencement Speaker | Travel & Lodging |
| 4. | Albright College | 05/14/2022 -05/15/2022 | Reading, PA | Commencement Speaker | Travel & Lodging |

| | | | | | |
| --- | --- | --- | --- | --- | --- |
| 5. | The Civil Rights Law Institute | 06/07/2022 - 06/07/2022 | Charlottesville, VA | Keynote Speaker | Travel |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | Direct Loan - U.S. Dep't of Education | Student Loan | K |
| 2. | SLM Corp. - Sallie Mae - U.S. Dep't Education | Student Loan | J |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gregory, Roger L.** | 05/10/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Suntrust Bank Interest Checking/now Truist Bank | A | Interest | J | T | | | | | |
| 2. | Wells Fargo Interest Checking | A | Interest | J | T | | | | | |
| 3. | BB&T Bank Checking/now Truist Bank | | None | J | T | | | | | |
| 4. | Prudential Insurance Variable Annuity "(H)" | | | | | | | | | See Part VIII. Explanation |
| 5. | -Prudential Jennison | | None | J | T | | | | | |
| 6. | -Prudential Flexible Managed | | None | J | T | | | | | |
| 7. | -Prudential High Yield Bond | | None | J | T | | | | | |
| 8. | ManuLife Financial - Common Stock | B | Dividend | K | T | | | | | |
| 9. | New York Life IRA - Fixed Annuity | A | Interest | J | T | | | | | |
| 10. | RiverSource Life Insurance Company IRA Fixed | B | Interest | K | T | | | | | |
| 11. | Peoples Bank | A | Interest | J | T | | | | | |
| 12. | John Hancock Life Ins. - term & whole life policy | B | Dividend | M | T | | | | | |
| 13. | New York Life Ins. Co. - term & whole life policy | B | Interest | J | T | | | | | |
| 14. | Call Federal Credit Union | | None | J | T | | | | | |
| 15. | SunTrust Money Market Account/now Truist Bank | A | Interest | K | T | | | | | |
| 16. | Altria Group, Inc. | A | Dividend | J | T | | | | | |
| 17. | Philip Morris Int'l, Inc. | A | Dividend | J | T | | | | | |

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. TowneBank Checking | A | Interest | K | T | Open | 10/17/22 | K | | |
| 19. Fidelity Mgmt. Trust Co. - IRA "(H)" | | | | | | | | | See Part VIII. Explanation |
| 20. -Fidelity Gov't Money Mkt. | A | Interest | J | T | | | | | |
| 21. -Strategic Advisors Large Cap Fund | D | Dividend | M | T | Sold (part) | 01/13/22 | J | A | Stock Market |
| 22. | | | | | Buy (add'l) | 01/31/22 | J | | Stock Market |
| 23. | | | | | Sold (part) | 02/17/22 | J | A | Stock Market |
| 24. | | | | | Buy (add'l) | 02/28/22 | J | | Stock Market |
| 25. | | | | | Sold (part) | 03/04/22 | J | | Stock Market |
| 26. | | | | | Buy (add'l) | 03/15/22 | J | | Stock Market |
| 27. | | | | | Sold (part) | 04/08/22 | J | A | Stock Market |
| 28. | | | | | Buy (add'l) | 05/12/22 | J | | Stock Market |
| 29. | | | | | Sold (part) | 06/09/22 | J | A | Stock Market |
| 30. | | | | | Sold (part) | 08/04/22 | J | A | Stock Market |
| 31. | | | | | Buy (add'l) | 09/16/22 | J | | Stock Market |
| 32. | | | | | Sold (part) | 11/04/22 | J | | Stock Market |
| 33. | | | | | Buy (add'l) | 12/09/22 | J | | Stock Market |
| 34. -Strategic Advisers Emerging Markets | A | Dividend | K | T | Buy (add'l) | 02/28/22 | J | | Stock Market |

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|---|---|---|---|---|---|---|---|---|---|
| 35. | | | | | Buy (add'l) | 03/15/22 | J | | Stock Market |
| 36. | | | | | Sold (part) | 04/08/22 | J | | Stock Market |
| 37. | | | | | Sold (part) | 06/09/22 | J | | Stock Market |
| 38. | | | | | Buy (add'l) | 07/22/22 | J | | Stock Market |
| 39. | | | | | Sold (part) | 08/04/22 | J | | Stock Market |
| 40. | | | | | Sold (part) | 09/16/22 | J | | Stock Market |
| 41. | | | | | Buy (add'l) | 10/07/22 | J | | Stock Market |
| 42. | | | | | Buy (add'l) | 11/04/22 | J | | Stock Market |
| 43. | | | | | Sold (part) | 12/09/22 | J | | Stock Market |
| 44. -Strategic Advisers Small-Mid Cap Fund | B | Dividend | K | T | Buy (add'l) | 01/13/22 | J | | Stock Market |
| 45. | | | | | Buy (add'l) | 01/31/22 | J | | Stock Market |
| 46. | | | | | Sold (part) | 02/17/22 | J | | Stock Market |
| 47. | | | | | Buy (add'l) | 02/28/22 | J | | Stock Market |
| 48. | | | | | Sold (part) | 03/04/22 | J | | Stock Market |
| 49. | | | | | Buy (add'l) | 03/15/22 | J | | Stock Market |
| 50. | | | | | Sold (part) | 04/08/22 | J | A | Stock Market |
| 51. | | | | | Sold (part) | 06/09/22 | J | | Stock Market |

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|---|---|---|---|---|---|---|---|---|---|
| 52. | | | | | Sold (part) | 09/16/22 | J | A | Stock Market |
| 53. | | | | | Buy (add'l) | 12/09/22 | J | | Stock Market |
| 54. -Strategic Advisers International Fund | C | Dividend | L | T | Sold (part) | 01/13/22 | J | | Stock Market |
| 55. | | | | | Buy (add'l) | 01/31/22 | J | | Stock Market |
| 56. | | | | | Sold (part) | 02/17/22 | J | | Stock Market |
| 57. | | | | | Buy (add'l) | 02/28/22 | J | | Stock Market |
| 58. | | | | | Sold (part) | 03/15/22 | J | | Stock Market |
| 59. | | | | | Sold (part) | 04/08/22 | J | | Stock Market |
| 60. | | | | | Sold (part) | 05/12/22 | J | | Stock Market |
| 61. | | | | | Sold (part) | 06/09/22 | J | | Stock Market |
| 62. | | | | | Sold (part) | 07/22/22 | J | | Stock Market |
| 63. | | | | | Sold (part) | 08/04/22 | J | | Stock Market |
| 64. | | | | | Sold (part) | 09/16/22 | J | | Stock Market |
| 65. | | | | | Sold (part) | 10/07/22 | J | | Stock Market |
| 66. | | | | | Sold (part) | 11/04/22 | J | | Stock Market |
| 67. | | | | | Sold (part) | 12/09/22 | J | | Stock Market |
| 68. -Cohen & Steers Realty Shares | A | Dividend | | | Buy (add'l) | 01/31/22 | J | | Stock Market |

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|---|---|---|---|---|---|---|---|---|---|
| 69. | | | | | Sold (part) | 02/17/22 | J | A | Stock Market |
| 70. | | | | | Sold (part) | 03/04/22 | J | A | Stock Market |
| 71. | | | | | Sold (part) | 03/15/22 | J | A | Stock Market |
| 72. | | | | | Sold (part) | 05/12/22 | J | A | Stock Market |
| 73. | | | | | Sold (part) | 06/09/22 | J | A | Stock Market |
| 74. | | | | | Sold (part) | 07/22/22 | J | A | Stock Market |
| 75. | | | | | Sold (part) | 08/04/22 | J | A | Stock Market |
| 76. | | | | | Sold (part) | 09/16/22 | J | A | Stock Market |
| 77. | | | | | Sold | 10/07/22 | J | A | Stock Market |
| 78. -Fidelity SAI Inflation Focused Fund | A | Dividend | J | T | Buy | 02/17/22 | J | | Stock Market |
| 79. | | | | | Buy (add'l) | 03/04/22 | J | | Stock Market |
| 80. | | | | | Buy (add'l) | 03/15/22 | J | | Stock Market |
| 81. | | | | | Buy (add'l) | 04/08/22 | J | | Stock Market |
| 82. | | | | | Buy (add'l) | 05/12/22 | J | | Stock Market |
| 83. | | | | | Sold (part) | 07/22/22 | J | | Stock Market |
| 84. | | | | | Buy (add'l) | 08/04/22 | J | | Stock Market |
| 85. | | | | | Sold (part) | 09/16/22 | J | | Stock Market |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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|---|---|---|---|---|---|---|---|---|---|
| 86. | | | | | Sold (part) | 12/09/22 | J | | Stock Market |
| 87. -Strategic Advisers Alternatives Fund | A | Dividend | J | T | Buy | 07/22/22 | J | | Stock Market |
| 88. | | | | | Buy (add'l) | 08/04/22 | J | | Stock Market |
| 89. | | | | | Buy (add'l) | 10/07/22 | J | | Stock Market |
| 90. Fidelity Total Bond "(H)" | | | | | | | | | See Part VIII. Explanation |
| 91. -Strategic Advisers Short Duration Fund | A | Dividend | J | T | Buy (add'l) | 01/13/22 | J | | Stock Market |
| 92. | | | | | Sold (part) | 01/31/22 | J | | Stock Market |
| 93. | | | | | Buy (add'l) | 02/17/22 | J | | Stock Market |
| 94. | | | | | Sold (part) | 02/28/22 | J | | Stock Market |
| 95. | | | | | Buy (add'l) | 03/04/22 | J | | Stock Market |
| 96. | | | | | Sold (part) | 03/15/22 | J | | Stock Market |
| 97. | | | | | Buy (add'l) | 04/08/22 | J | | Stock Market |
| 98. | | | | | Sold (part) | 05/12/22 | J | | Stock Market |
| 99. | | | | | Buy (add'l) | 06/09/22 | J | | Stock Market |
| 100. | | | | | Sold (part) | 07/22/22 | J | | Stock Market |
| 101. | | | | | Sold (part) | 08/04/22 | J | A | Stock Market |
| 102. | | | | | Buy (add'l) | 11/04/22 | J | | Stock Market |

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Gregory, Roger L.** | 05/10/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 103. -Strategic Advisers Income Opportunities | A | Dividend | J | T | Sold (part) | 01/13/22 | J | A | Stock Market |
| 104. | | | | | Sold (part) | 01/31/22 | J | A | Stock Market |
| 105. | | | | | Sold (part) | 02/17/22 | J | | Stock Market |
| 106. | | | | | Sold (part) | 02/28/22 | J | A | Stock Market |
| 107. | | | | | Sold (part) | 03/15/22 | J | | Stock Market |
| 108. | | | | | Sold (part) | 04/08/22 | J | | Stock Market |
| 109. | | | | | Sold (part) | 05/12/22 | J | | Stock Market |
| 110. | | | | | Sold (part) | 06/09/22 | J | | Stock Market |
| 111. | | | | | Sold (part) | 07/22/22 | J | | Stock Market |
| 112. | | | | | Sold (part) | 10/07/22 | J | | Stock Market |
| 113. -Strategic Advisers Core Income Fund | D | Dividend | M | T | Buy (add'l) | 01/13/22 | J | | Stock Market |
| 114. | | | | | Sold (part) | 01/31/22 | J | | Stock Market |
| 115. | | | | | Buy (add'l) | 02/17/22 | J | | Stock Market |
| 116. | | | | | Sold (part) | 02/28/22 | J | | Stock Market |
| 117. | | | | | Buy (add'l) | 03/04/22 | J | | Stock Market |
| 118. | | | | | Buy (add'l) | 04/08/22 | J | | Stock Market |
| 119. | | | | | Sold (part) | 05/12/22 | J | | Stock Market |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gregory, Roger L.** | 05/10/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 120. | | | | | Buy (add'l) | 06/09/22 | J | | Stock Market |
| 121. | | | | | Buy (add'l) | 07/22/22 | J | | Stock Market |
| 122. | | | | | Buy (add'l) | 08/04/22 | J | | Stock Market |
| 123. | | | | | Buy (add'l) | 09/16/22 | J | | Stock Market |
| 124. | | | | | Sold (part) | 10/07/22 | J | | Stock Market |
| 125. | | | | | Buy (add'l) | 11/04/22 | J | | Stock Market |
| 126. | | | | | Buy (add'l) | 12/09/22 | J | | Stock Market |
| 127. | -Eaton Vance GL Macro Absolute RTRN ADV A | | None | | Sold (part) | 01/13/22 | J | A | Stock Market |
| 128. | | | | | Sold (part) | 02/17/22 | J | A | Stock Market |
| 129. | | | | | Sold (part) | 04/08/22 | J | A | Stock Market |
| 130. | | | | | Sold | 07/22/22 | J | A | Stock Market |
| 131. | -Fidelity SAI Treasury Bond Index | A | Dividend | | Buy | 05/12/22 | J | | Stock Market |
| 132. | | | | | Buy (add'l) | 06/09/22 | J | | Stock Market |
| 133. | | | | | Sold (part) | 08/04/22 | J | A | Stock Market |
| 134. | | | | | Sold | 09/16/22 | J | | Stock Market |
| 135. | -Fidelity SAI Long Term Treasury Bond Index | A | Dividend | | Buy (add'l) | 04/08/22 | J | | Stock Market |
| 136. | | | | | Sold (part) | 05/12/22 | J | | Stock Market |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Gregory, Roger L.** | 05/10/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 137. | | | | | Sold (part) | 06/09/22 | J | | Stock Market |
| 138. | | | | | Buy (add'l) | 08/04/22 | J | | Stock Market |
| 139. | | | | | Buy (add'l) | 09/16/22 | J | | Stock Market |
| 140. | | | | | Sold | 11/04/22 | J | | Stock Market |
| 141. Fidelity Government Cash Reserves | A | Dividend | J | T | Buy (add'l) | 01/13/22 | J | | Stock Market |
| 142. | | | | | Sold (part) | 01/31/22 | J | | Stock Market |
| 143. | | | | | Buy (add'l) | 02/17/22 | J | | Stock Market |
| 144. | | | | | Sold (part) | 02/25/22 | J | | Stock Market |
| 145. | | | | | Buy (add'l) | 02/28/22 | J | | Stock Market |
| 146. | | | | | Sold (part) | 03/04/22 | J | | Stock Market |
| 147. | | | | | Sold (part) | 03/15/22 | J | | Stock Market |
| 148. | | | | | Buy (add'l) | 04/08/22 | J | | Stock Market |
| 149. | | | | | Buy (add'l) | 05/12/22 | J | | Stock Market |
| 150. | | | | | Sold (part) | 05/13/22 | J | | Stock Market |
| 151. | | | | | Sold (part) | 06/09/22 | J | | Stock Market |
| 152. | | | | | Buy (add'l) | 07/22/22 | J | | Stock Market |
| 153. | | | | | Buy (add'l) | 08/04/22 | J | | Stock Market |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
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| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Gregory, Roger L.** | 05/10/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 154. | | | | | Sold (part) | 09/12/22 | J | | Stock Market |
| 155. | | | | | Buy (add'l) | 09/16/22 | J | | Stock Market |
| 156. | | | | | Buy (add'l) | 10/07/22 | J | | Stock Market |
| 157. | | | | | Buy (add'l) | 11/04/22 | J | | Stock Market |
| 158. | | | | | Sold (part) | 11/11/22 | J | | Stock Market |
| 159. | | | | | Buy (add'l) | 12/09/22 | J | | Stock Market |
| 160. Fidelity Deferred Annuity Account | | None | N | T | | | | | |
| 161. Fidelity Rollover IRA "Cash Account" | A | Interest | J | T | | | | | |
| 162. Altria Deferred Profit Sharing Plan for Salaried Employees 401(K) Fund | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Gregory, Roger L.** | 05/10/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS

Line 4: Prudential Insurance Variable Annuity. No information is reported on Line 4 because I report all income and values for the sub-Funds of the Prudential Insurance Variable Annuity on Lines 5 through 7.

Line 19: Fidelity Management Trust Co. - IRA. No information is reported on Line 19 because I report all transactions, income, and values related to stocks and mutual funds managed by Fidelity Management Trust Company on Lines 20 through 89.

Line 90: Fidelity Total Bond. No information is reported on Line 90 because I report all transactions, income, and values related to the Bond accounts under Fidelity Total Bond on Lines 91 through 140.

| Name of Person Reporting | Date of Report |
|---|---|
| **Gregory, Roger L.** | 05/10/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Roger L. Gregory**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544