AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gould, Ronald M.	U.S. Ct. of Appeals, 9th Cir.	05/09/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
William K. Nakamura U.S. Courthouse 1010 Fifth Avenue, Suite 940 Seattle, Washington 98104-1130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	Chief Seattle Council, Boy Scouts of America
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/09/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	(H) Charles Schwab Brokerage Account									
2.	Schwab Cash & Money Market	A	Interest	K	T					
3.	Vanguard Long-Term Bond Index Fund	D	Int./Div.	N	T					
4.	Vanguard Intermediate Term Bond Index Fund	D	Int./Div.	N	T					
5.	Vanguard GNMA Fund	A	Int./Div.	K	T					
6.	Pimco International Bond Fund	A	Int./Div.	K	T					
7.	Schwab Treasury	A	Int./Div.	K	T					
8.	Schwab US Aggregate Bond Fund	A	Int./Div.	M	T					
9.	Schwab S&P 500 Index Fund	C	Int./Div.	N	T	Sold (part)	05/24/21	M	G	
10.	Schwab Small Cap Index Fund	C	Int./Div.	K	T	Sold (part)	05/24/21	J	B	
11.	Schwab Total Stock Mkt Index	C	Int./Div.	M	T	Sold (part)	05/24/21	L	E	
12.	Schwab 1000 Index Fund	C	Int./Div.	M	T	Sold (part)	05/24/21	K	E	
13.	Johnson Controls	D	Int./Div.	O	T					
14.	Wells Fargo Bank Account	A	Interest	L	T					
15.	(H) Vanguard/IRA Account for Ronald M. Gould									
16.	Vanguard Cash Reserves Fedl Money Market Fund	A	Interest			Distributed (part)	01/12/21	L		
17.	Vanguard Cash Reserves Fedl Money Market Fund	A	Interest			Distributed	05/25/21	M		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T					
19. Vanguard Short-Term Bond Index Fund Admiral Shares	B	Dividend			Sold	07/12/21	M		
20. Vanguard Short-Term Investment-Grade Fund Admiral Shares	C	Dividend	M	T					
21. Vanguard Capital Opportunity Fund Admiral Shares	F	Dividend	O	T					
22. Vanguard High-Yield Corporate Fund Admiral Shares	A	Dividend	L	T					
23. GNMA Fund Admiral Shares	A	Dividend	M	T					
24. Intermediate-Term Invest-Gr Admiral Shares	B	Dividend	M	T					
25. Long-Term Invest-Gr Admiral Shares	D	Dividend	O	T	Sold (part)	07/12/21	L		
26. Health Care Fund Admiral Shares	D	Dividend	M	T					
27. High Dividend Yield Index Admiral Shares	A	Dividend	J	T					
28. Intermediate Term Treasury Admiral Shares	A	Dividend	N	T					
29. Long-Term Treasury Admiral Shares	A	Dividend	O	T					
30. Short-Term Treasury Admiral Shares	A	Dividend	N	T					
31. (H) Charles Schwab Brokerage Account 2									
32. Schwab Cash and Money Market 2		None	J	T					
33. (H) Investments in Startups Through Partnerships									
34. Blueberry Medical		None	J	U					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Tajir		None	J	U					
36. CargoX		None	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that the entries on Part VII, lines 1, 15, 31 and 33 are for names of accounts, in the nature of a heading for the assets that follow that are within this account. These lines 1, 15, 31 and 33 are not designating assets. Each asset in the account designated in lines 1, 15, 31 and 33 is listed separately in Part VII.

At line 19 column D 4 we have no gain because this account is an IRA account and Vanguard does not track the basis on an IRA account. Clients are taxed on the amount distributed from the account each year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Gould

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544