AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gilman, Ronald L.	U. S. Court of Appeals, Sixth Circuit	04/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge (Senior Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
167 North Main Street, Suite 1176 Memphis, TN 38103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gilman, Ronald L.	04/08/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Gulf Housing Ltd. Partnership (5 apt. projects in Ala. and Miss.)	A	Interest			Sold	11/20/23	J		
2.		A	Rent							
3.	FedTrust Federal Credit Union (cash-equivalent)	A	Interest	K	T					
4.	Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	K	T					
5.	Charles Schwab U.S. Broad Market	D	Dividend	O	T	Sold (part)	07/12/23	J		
6.	DFA Emerging Markets Core Equity Portfolio	D	Dividend	M	T					
7.	DFA International Core Equity	E	Dividend	O	T					
8.	DFA U.S. Small Cap Value	D	Dividend	N	T					
9.	Dimensional International Core Equity	A	Dividend	K	T	Buy	11/01/23	K		
10.	Fidelity Select Health Care Services		None			Sold	02/02/23	L		
11.	Fidelity Select Semiconductors Portfolio	F	Dividend	O	T	Buy (add'l)	02/02/23	L		
12.	Fidelity New Millennium	C	Dividend	M	T	Buy	08/07/23	K		
13.						Buy (add'l)	09/05/23	K		
14.						Buy (add'l)	12/04/23	K		
15.	Goldman Sachs GQG Partners International Opportunities	B	Dividend	L	T					
16.	iShares S&P Midcap 400 Value	D	Dividend	N	T	Sold (part)	07/12/23	J	A	
17.	iShares Tr. Russell 3000 Index	E	Dividend	P1	T	Sold (part)	07/12/23	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. John Hancock Multifactor Developed Int'l	B	Dividend	L	T	Sold (part)	09/28/23	K	D	
19. JP Morgan Ultra Short Income	C	Dividend	L	T					
20. SPDR S&P 600 Small Cap Value	C	Dividend	M	T					
21. T Rowe Price Summit Int. Term Bond	E	Interest	O	T	Buy (add'l)	07/12/23	K		
22.									
23. IRA #1 (H)									
24. -Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	J	T					
25. -Avantis U.S. Small Cap Value	B	Dividend	L	T	Sold (part)	10/02/23	J	A	
26. -Dimensional Emerging Markets Core Equity	A	Dividend	K	T					
27. -Dimensional International Core Equity	D	Dividend	M	T	Redeemed (part)	11/01/23	K		
28. - Dodge & Cox Income Fund	B	Dividend	L	T	Buy (add'l)	07/12/23	K		
29. - Goldman Sachs GQG Partners International Opportunities	D	Dividend	M	T					
30. -Vanguard Russell 3000	A	Dividend			Sold	07/12/23	K	C	
31.									
32. IRA #2 (H)									
33. -Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	J	T					
34. -Avantis U.S. Small Cap Value	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)
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35. -Dimensional Emerging Markets Core Equity	B	Dividend	L	T					
36. -Dimensional International Core Equity	A	Dividend	J	T					
37. -Dodge & Cox Income Fund	B	Dividend	K	T	Buy (add'l)	07/12/23	J		
38. -Goldman Sachs GQG Partners International Opportunities	A	Dividend	K	T	Sold (part)	10/31/23	J		
39. iShares Total U.S. Stock Market	A	Dividend			Sold	07/12/23	J	A	
40.									
41. College Savings Plan (529 account) #1 (H)									
42. -Fidelity/Delaware International Index		None	K	T	Sold (part)	07/31/23	J		
43.					Sold (part)	08/17/23	K		
44.					Sold (part)	12/22/23	K		
45.									
46. College Savings Plan (529 account) #2 (H)									
47. -Fidelity/Delaware Stable Value Port.		None	L	T	Sold (part)	05/26/23	J		
48.					Sold (part)	06/28/23	J		
49.					Sold (part)	07/31/23	K		
50.					Sold (part)	12/22/23	K		
51.									

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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52. College Savings Plan (529 account) #3 (H)									
53. -Fidelity/Delaware Stable Value Port.		None	M	T					
54. -Fidelity/Delaware Total Market Index Fund		None	L	T					
55.									
56. College Savings Plan (529 account) #4 (H)									
57. - Fidelity/Delaware Stable Value Port.		None	M	T					
58. -Fidelity/Delaware Total Market Index Fund		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 9 and 27 document a transfer of 970 shares of Dimensional International Core Equity from IRA #1 to my Schwab [redacted] account. The transfer was made to satisfy the 2023 mandatory minimum distribution required by the IRS.

Part VII, Lines 41-58. I have shown "None" for "Income during reporting period" for these college savings plans (Sec. 529 accounts) because that information is not generated by Fidelity Investments due to the tax-free nature of the accountas.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald L. Gilman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544