AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gilman, Ronald L.	U. S. Court of Appeals, Sixth Circuit	03/27/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge (Senior Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
167 North Main Street, Suite 1176 Memphis, TN 38103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gilman, Ronald L.	03/27/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Gulf Housing Ltd. Partnership (5 apt. projects in Ala. and Miss.)	A	Interest	J	W					
2.	C	Rent							
3. FedTrust Federal Credit Union (cash-equivalent)	A	Interest	L	T					
4. Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	K	T					
5. Charles Schwab U.S. Broad Market	D	Dividend	N	T	Buy (add'l)	10/10/22	K		
6. DFA Emerging Markets Core Equity Portfolio	D	Dividend	M	T					
7. DFA International Core Equity	D	Dividend	N	T					
8. DFA U.S. Small Cap Value	D	Dividend	M	T					
9. Fidelity Select IT Services Portfolio		None			Sold	01/03/22	N	E	
10. Fidelity Select Energy		None			Sold	04/18/22	N		
11.					Sold	05/23/22	N	D	
12. Fidelity Select Health Care Services	A	Dividend	L	T	Buy	08/03/22	L		
13. Fidelity Select Semiconductors Portfolio	E	Dividend			Buy (add'l)	01/03/22	O		
14.					Buy (add'l)	01/04/22	J		
15.					Sold	04/18/22	N		
16.			N	T	Buy	05/23/22	N		
17. Fidelity Select Technology		None			Sold	01/03/22	N	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Gilman, Ronald L.	03/27/2023

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☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Goldman Sachs GQG Partners International Opportunities	B	Dividend	K	T					
19. iShares S&P Midcap 400 Value	D	Dividend	N	T	Sold (part)	02/23/22	K	D	
20. iShares Total U.S. Stock Market	A	Dividend			Buy	02/23/22	L		
21.					Sold	10/11/22	K		
22. iShares Tr. Russell 3000 Index	E	Dividend	P1	T					
23. John Hancock Multifactor Developed Int'l	B	Dividend	L	T	Sold (part)	02/23/22	K	D	
24. JP Morgan Ultra Short Income	A	Dividend	L	T	Buy (add'l)	06/06/22	J		
25. SPDR S&P 600 Small Cap Value	B	Dividend	M	T					
26. Stone Ridge Reinsurance Risk Premium Interval		None			Sold (part)	02/25/22	J		
27.					Sold	05/20/22	J		
28. Schwab Short Term U.S. Treasury		None			Buy	05/27/22	J		
29.					Sold	06/06/22	J		
30. T Rowe Price Summit Int. Term Bond	D	Interest			Sold	04/21/22	N		
31.			O	T	Buy	06/14/22	N		
32. Vanguard Intermediate Term Tax-Exempt Admiral	B	Interest			Buy	04/21/22	N		
33.					Sold	06/14/22	N		
34.									

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35. IRA #1 (H)									
36. - Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	J	T					
37. -Avantis U.S. Small Cap Value	A	Dividend	L	T	Buy	10/06/22	L		
38. -DFA Emerging Markets Core Equity	A	Dividend			Buy	05/16/22	J		
39.					Sold	10/17/22	J		
40. - DFA Int'l Core Equity	C	Dividend			Buy (add'l)	05/16/22	K		
41.					Sold	10/13/22	M	D	
42. - DFA U.S. Small Cap Value	A	Dividend			Sold	10/06/22	L	E	
43. -Dimensional Emerging Markets Core Equity	A	Dividend	J	T	Buy	10/17/22	J		
44. -Dimensional International Core Equity	B	Dividend	M	T	Buy	10/13/22	M		
45. - Dodge & Cox Income Fund	B	Dividend	K	T	Sold (part)	05/16/22	L		
46.					Sold (part)	11/30/22	K		
47. - Goldman Sachs GQG Partners International Opportunities	D	Dividend	M	T					
48. -Vanguard Russell 3000	A	Dividend	K	T	Buy	05/16/22	K		
49.									
50. IRA #2 (H)									
51. -Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. -Avantis U.S. Small Cap Value	A	Dividend	K	T	Buy	10/06/22	K		
53. - DFA Emerging Markets Core Equity	B	Dividend			Sold	10/17/22	L	D	
54. -DFA Int'l Core Equity	A	Dividend			Sold	10/13/22	J		
55. - DFA U.S. Small Cap Value	A	Dividend			Sold	10/06/22	K	E	
56. -Dimensional Emerging Markets Core Equity	A	Dividend	L	T	Buy	10/17/22	L		
57. -Dimensional International Core Equity	A	Dividend	J	T	Buy	10/13/22	J		
58. -Dodge & Cox Income Fund	B	Dividend	K	T	Sold (part)	11/30/22	J		
59. -Goldman Sachs GQG Partners International Opportunities	B	Dividend	K	T					
60. iShares Total U.S. Stock Market	A	Dividend	J	T					
61.									
62. College Savings Plan (529 account) for [redacted] (H)									
63. -Fidelity/Delaware International Index		None	L	T					
64.									
65. College Savings Plan (529 account) for [redacted] (H)									
66. -Fidelity/Delaware Stable Value Port. (f/n/a Money Market)		None	M	T	Sold (part)	02/01/22	J		
67.					Sold (part)	07/14/22	J		
68.					Sold (part)	09/02/22	J		

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69.					Sold (part)	12/14/22	J		
70.									
71. College Savings Plan (529 account) for [redacted] (H)									
72. -Fidelity/Delaware Stable Value Port. (f/n/a Money Market)		None	M	T					
73. -Fidelity/Delaware Total Market Index Fund		None	L	T					
74.									
75. College Savings Plan (529 account) for [redacted] (H)									
76. - Fidelity/Delaware Stable Value Port. (f/n/a Money Market)		None	M	T					
77. -Fidelity/Delaware Total Market Index Fund		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 62-77. I have shown "None" for "Income during reporting period" for these college savings plans (Sec. 529 accounts) because that information is not generated by Fidelity Investments due to the tax-free nature of the accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald L. Gilman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544