AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gilman, Ronald L.	U. S. Court of Appeals, Sixth Circuit	03/28/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge (Senior Status)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
167 North Main Street, Suite 1176 Memphis, TN 38103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gilman, Ronald L.	03/28/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Gulf Housing Ltd. Partnership (5 apt. projects in Ala. and Miss.)	A	Interest	J	W					
2.		C	Rent							
3.	FedTrust Federal Credit Union (cash-equivalent)	A	Interest	L	T					
4.	Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	K	T					
5.	Charles Schwab U.S. Broad Market	D	Dividend	O	T	Sold (part)	08/27/21	L	E	
6.	DFA Emerging Markets Core Equity Portfolio	D	Dividend	N	T	Sold (part)	01/08/21	K	C	
7.						Buy (add'l)	08/27/21	K		
8.	DFA International Core Equity	E	Dividend	O	T					
9.	DFA U.S. Small Cap Value	E	Dividend	M	T					
10.	Fidelity Select IT Services Portfolio	E	Dividend	N	T					
11.	Fidelity Select Semiconductors Portfolio	A	Dividend	J	T	Buy	11/01/21	J		
12.	Fidelity Select Technology	E	Dividend	N	T	Buy (add'l)	10/01/21	K		
13.	Goldman Sachs GQG Partners International Opportunities	B	Dividend	K	T					
14.	iShares S&P Midcap 400 Value	D	Dividend	N	T	Sold (part)	01/08/21	K	E	
15.						Sold (part)	03/04/21	K	D	
16.	iShares Tr. Russell 3000 Index	E	Dividend	P1	T					
17.	John Hancock Multifactor Developed Int'l	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Gilman, Ronald L.	03/28/2022

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. JP Morgan Ultra Short Income	A	Dividend	L	T	Buy	03/19/21	K		
19.					Buy (add'l)	11/30/21	J		
20. Pimco Enhanced Short Maturity Strategy	A	Dividend			Sold	03/19/21	K	A	
21. SPDR S&P 600 Small Cap Value	C	Dividend	M	T					
22. Stone Ridge Alt. Lending Risk Premium		None			Sold	03/12/21	J	A	
23. Stone Ridge Reinsurance Risk Premium Interval		None	J	T	Sold (part)	02/26/21	K		
24.					Sold (part)	05/21/21	J		
25.					Sold (part)	08/20/21	J		
26.					Sold (part)	12/03/21	J		
27. T Rowe Price Summit Int. Term Bond	D	Interest	O	T	Buy (add'l)	01/08/21	L		
28.					Buy (add'l)	03/04/21	K		
29.					Buy (add'l)	03/08/21	K		
30.					Buy (add'l)	08/27/21	K		
31.									
32. IRA #1 (H)									
33. - Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	J	T					
34. - Charles Schwab U.S. Broad Market	A	Dividend			Sold	11/30/21	J	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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35. - DFA Int'l Core Equity	D	Dividend	M	T	Sold (part)	01/08/21	J	C	
36.					Buy (add'l)	11/30/21	K		
37. - DFA U.S. Small Cap Value	D	Dividend	L	T	Sold (part)	01/08/21	L	E	
38.					Sold (part)	03/04/21	K	E	
39. - Dodge & Cox Income Fund	C	Dividend	M	T	Buy (add'l)	01/08/21	M		
40.					Buy (add'l)	03/08/21	J		
41. - Goldman Sachs GQG Partner International Opportunities	C	Dividend	M	T					
42. - iShares Total U.S. Stock Market	A	Dividend			Buy	03/04/21	K		
43.					Buy (add'l)	03/19/21	J		
44.					Sold	11/30/21	L	D	
45. - Stone Ridge Alt. Lending Risk Premium		None			Sold	03/12/21	J	A	
46.									
47. IRA #2 (H)									
48. -Schwab Cash & Bank Sweep (cash-equivalent)	A	Interest	J	T					
49. - DFA Emerging Markets Core Equity	B	Dividend	L	T					
50. -DFA Int'l Core Equity	A	Dividend	J	T	Buy	03/08/21	J		
51. - DFA U.S. Small Cap Value	C	Dividend	K	T	Sold (part)	03/19/21	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
52. -Dodge & Cox Income Fund	B	Dividend	K	T	Buy (add'l)	03/19/21	K		
53.					Sold (part)	11/30/21	J		
54. -Goldman Sachs GQG Partners International Opportunities	A	Dividend	K	T	Buy	01/08/21	K		
55. -iShares Total U.S. Stock Market	A	Dividend	J	T	Buy	03/19/21	J		
56. - Stone Ridge Alt. Lending Risk Premium		None			Sold	03/12/21	K	B	
57. -Stone Ridge Reinsurance Risk Premium Interval		None			Sold (part)	02/26/21	J		
58.					Sold	05/21/21	J		
59.									
60. College Savings Plan (529 account) for [redacted] (H)									
61. - Fidelity/Delaware Money Market		None			Sold (part)	02/21/21	J		
62.					Sold	12/28/21	L		
63. -Fidelity/Delaware International Index		None	L	T	Buy	12/28/21	L		
64.									
65. College Savings Plan (529 account) for [redacted] (H)									
66. - Fidelity/Delaware Money Market		None	M	T					
67.									
68.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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69. College Savings Plan (529 account) for [redacted] (H)									
70. -Fidelity/Delaware Money Market		None	M	T					
71. -Fidelity/Delaware Total Market Index Fund		None	L	T					
72.									
73. College Savings Plan (529 account) for [redacted] (H)									
74. - Fidelity/Delaware Money Market		None	M	T					
75. -Fidelity/Delaware Total Market Index Fund		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 60-75. I have shown "None" for "Income during reporting period" for these college savings plans (Sec. 529 accounts) because that information is not generated by Fidelity Investments due to the tax-free nature of the accounts.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald L. Gilman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544