AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	06/27/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2023 **to** 12/31/2023
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	SHELBY COUNTY, TN RETIREMENT
2.	2023	STATE OF TN: UNIVERSITY OF MEMPHIS - JANUARY THROUGH DECEMBER 2023
3.	2023	STATE OF TN RETIREMENT - JANUARY THROUGH DECEMBER 2023
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	AMERICAN LAW INSTITUTE	05/22/2023-05/24/2023	WASHINGTON, D.C.	REIMBURSEMENT FOR EXPENSES ASSOCIATED WITH TRAVEL-ALI's 2023 ANNUAL MBERSHIP MEETING	AIRFARE, HOTEL, AWARD DINNER,
2.	HAMILTON BURNETT AMERICAN INNS OF COURT	03/28/2023	KNOXVILLE, TN	REIMBURSEMENT FOR ATTENDING INNS OF COURT	HOTEL
3.					

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/27/2024

4. _____ _____ _____ _____ _____

5. _____ _____ _____ _____ _____

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/27/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	TRUIST (FORMERLY SUNTRUST)- [redacted]	A	Interest	L	T					
2.	FEDTRUST. FEDERAL CREDIT UNION ACCOUNT	A	Interest	L	T					
3.	STATE OF TN 401(K) (H)									
4.	-FIDELITY PURITAN FUND	C	Dividend	L	T					
5.	IRA ROLLOVER RETIREMENT PLAN (H)									
6.	-STIFEL BANK	A	Interest	J	T					
7.	-AMCAP	A	Dividend			Sold (part)	01/25/23	J	A	
8.						Sold	09/13/23	K	A	
9.	-BOND FUND OF AMERICA	A	Dividend	K	T	Buy (add'l)	01/25/23	J		
10.						Buy (add'l)	09/13/23	J		
11.	-COLUMBIA CONTRARIARN CORE INSTL	A	Dividend	K	T	Sold (part)	01/25/23	J	A	
12.						Sold (part)	09/13/23	J	A	
13.	-DIAMOND HILL LARGE CAP	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
14.						Buy (add'l)	09/13/23	J		
15.	-FUNDAMENTAL INVESTORS	B	Dividend	K	T	Sold (part)	01/25/23	J	A	
16.						Sold (part)	09/13/23	J	A	
17.	-T ROWE PRICE BLUE CHIP GROWTH INV	A	Dividend	K	T	Buy (add'l)	01/25/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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GIBBONS, JULIA S.	06/27/2024

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold (part)	09/13/23	J	A	
19. -WASHINGTON MUTUAL INVESTORS	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
20.					Sold (part)	09/13/23	J	A	
21. -INVESCO DEVELOPING MARKETS	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
22.					Buy (add'l)	09/13/23	J		
23. -AMERICAN BEACON INTL EQUITY		None	J	T	Sold (part)	01/25/23	J	A	
24. EUROPACIFIC GROWTH	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
25.					Buy (add'l)	09/13/23	J		
26. -GOLDMAN SACHS EMERGING MARKETS	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
27.					Buy (add'l)	09/13/23	J		
28. -GOLDMAN SACHS INTL SMALL CAP INSIGHTS	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
29.					Buy (add'l)	09/13/23	J		
30. -JANUS HENDERSON OVERSEAS		None			Sold (part)	01/25/23	J	A	
31.					Sold	08/16/23	J	A	
32. -JANUS HENDERSON GLOBAL EQUITY	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
33.					Buy (add'l)	09/13/23	J		
34. -LORD ABBETT HIGH YIELD	A	Dividend	J	T	Buy (add'l)	01/25/23	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Buy (add'l)	09/13/23	J		
36. -MERIDIAN SMALL CAP GROWTH		None	J	T	Buy (add'l)	01/25/23	J		
37.					Buy (add'l)	09/13/23	J		
38. -NUVEEN SMALL CAP VALUE	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
39.					Buy (add'l)	09/13/23	J		
40. -PGIM HIGH YIELD	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
41.					Buy (add'l)	09/13/23	J		
42. -VIRTUS KAR SMALL CAP GROWTH	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
43.					Sold (part)	09/13/23	J	A	
44. -VIRTUS CEREDEX SMALL CAP VALUE EQUITY		None	J	T	Sold (part)	01/25/23	J	A	
45. -BAIRD AGGREGATE BOND	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
46.					Buy (add'l)	09/13/23	J		
47. -GUGGENHEIM TOTAL RETURN	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
48.					Buy (add'l)	09/13/23	J		
49. -JPMORGAN CORE BOND	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
50.					Buy (add'l)	09/13/23	J		
51. LOOMIS SAYLES CORE PLUS BOND	A	Dividend	J	T	Buy (add'l)	01/25/23	J		

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52.					Buy (add'l)	09/13/23	J		
53. -MFS INTL GROWTH	A	Dividend	J	T	Sold (part)	01/25/23	J	A	
54.					Buy (add'l)	09/13/23	J		
55. -PIMCO TOTAL RETURN	A	Dividend	J	T	Buy (add'l)	01/25/23	J		
56.					Buy (add'l)	09/13/23	J		
57. -T ROWE PRICE OVERSEAS STOCK	A	Dividend	J	T	Buy	01/25/23	J		
58.					Buy (add'l)	09/13/23	J		
59. -ALLSPRING SPEC SMALL CAP VALUE	A	Dividend	J	T	Buy	01/25/23	J		
60.					Buy (add'l)	09/13/23	J		
61. -GROWTH FUND OF AMERICA	B	Dividend	K	T	Buy	09/13/23	K		
62. -TRANSAMERICA INTL EQUITY	A	Dividend	J	T	Buy	09/13/23	J		
63. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	A	Interest	K	T					
64.									

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Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/27/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/27/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544