AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	8/2/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	1/1/2021 **to** 12/31/2021
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	SHELBY COUNTY, TN: RETIREMENT
2.	2021	STATE OF TN: UNIVERSITY OF MEMPHIS - JANUARY THROUGH DECEMBER 2021
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	8/2/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
1.	TRUIST (FORMERLY SUNTRUST)-	A	Interest	L	T					
2.	FEDTRUST. FEDERAL CREDIT UNION ACCOUNT	A	Interest	L	T					
3.	STATE OF TN 401(K) (H)									
4.	-FIDELITY PURITAN FUND	D	Dividend	L	T					
5.	IRA ROLLOVER RETIREMENT PLAN (H)									
6.	-AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	A	Dividend			Sold	09/08/21	K	D	
7.	-FRANKLIN FDS: MUTUAL GLOBAL DISCOVERY		None			Sold	09/08/21	J	B	
8.	-DELAWARE IVY ASSET STRATEGY (FORMERLY IVY FDS): ASSET STRATEGY FUND	A	Dividend			Sold	09/08/21	J	A	
9.	-VOYA EMERGING MARKET FUND CL A		None			Sold	09/08/21	J	B	
10.	-PIMCO TOTAL RETURN CLASS A	A	Dividend			Sold	09/08/21	K	A	
11.	-PACIFIC LIFE OPTIMIZATION MODERATE AGGRESSIVE CL A		None			Sold	09/08/21	K	D	
12.	-ALTRIA GROUP	A	Dividend			Sold	09/08/21	K	A	
13.	-TOUCHSTONE DIV EQUITY FD (FORMERLY AIG FOCUSED) DIVIDEND STRATEGY	A	Dividend			Sold	09/08/21	K	A	
14.	-GLU MOBILE INC		None			Sold	04/29/21	J	B	
15.	-EATON VANCE HIGH INCOME 2021 TARGET	A	Dividend			Sold	07/09/21	J	A	
16.	-NUVEEN HIGH INCOME NOVEMBER 2021 TARGET	A	Dividend			Sold	09/08/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
17. -STIFEL BANK	A	Interest	J	T					
18. -APPLE, INC.	A	Dividend			Sold	09/08/21	L	E	
19. -TWO HARBORS	A	Dividend			Sold	09/08/21	J	A	
20. -ADVANCED MICRO DEVICES		None			Sold	09/08/21	K	E	
21. -GRIDSUM HOLDING		None			Sold	03/29/21	J	A	
22. -SOUTHWEST AIRLINES		None			Sold	09/08/21	J	A	
23. -INVESCO INTL GROWTH	B	Dividend	J	T	Buy	09/08/21	J		
24. -AMCAP	A	Dividend	K	T	Buy	09/08/21	K		
25. -AMERICAN US GOV'T SECURITIES	A	Dividend	J	T	Buy	09/08/21	J		
26.					Buy (add'l)	09/09/21	J		
27. -BOND FUND OF AMERICA	A	Dividend	K	T	Buy	09/08/21	K		
28. -COLUMBIA CONTRARIARN CORE INSTL	B	Dividend	K	T	Buy	09/08/21	K		
29. -DIAMOND HILL LARGE CAP	B	Dividend	K	T	Buy	09/08/21	K		
30. -FUNDAMENTAL INVESTORS	B	Dividend	K	T	Buy	09/08/21	K		
31. -T ROWE PRICE BLUE CHIP GROWTH INV	B	Dividend	K	T	Buy	09/08/21	K		
32. -TCW TOTAL RETURN	A	Dividend	J	T	Buy	09/08/21	J		
33. -WASHINGTON MUTUAL INVESTORS	A	Dividend	K	T	Buy	09/08/21	K		

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34. -WESTERN ASSET CORE PLUS BOND	A	Dividend	K	T	Buy	09/08/21	K		
35. -INVESCO DEVELOPING MARKETS	A	Dividend	J	T	Buy	09/09/21	J		
36. -AMERICAN BEACON INTL EQUITY	B	Dividend	J	T	Buy	09/09/21	J		
37. EUROPACIFIC GROWTH	A	Dividend	J	T	Buy	09/09/21	J		
38. -GOLDMAN SACHS EMERGING MARKETS	A	Dividend	J	T	Buy	09/09/21	J		
39. -GOLDMAN SACHS INTL SMALL CAP INSIGHTS	A	Dividend	J	T	Buy	09/09/21	J		
40. -JANUS HENDERSON OVERSEAS	A	Dividend	J	T	Buy	09/09/21	J		
41. -JANUS HENDERSON GLOBAL EQUITY	A	Dividend	J	T	Buy	09/09/21	J		
42. -JPMORGAN GOVT BOND	A	Dividend	J	T	Buy	09/09/21	J		
43. -LOOMIS SAYLES LTD TERM GOVT & AGENCY	A	Dividend	J	T	Buy	09/09/21	J		
44. -LORD ABBETT HIGH YIELD	A	Dividend	J	T	Buy	09/09/21	J		
45. -MERIDIAN SMALL CAP GROWTH	A	Dividend	J	T	Buy	09/09/21	J		
46. -NUVEEN SMALL CAP VALUE	A	Dividend	J	T	Buy	09/09/21	J		
47. -PIMCO LONG TERM US GOV'T	A	Dividend	J	T	Buy	09/09/21	J		
48. -PIMCO INVESTMENT GRADE CREDIT BOND	A	Dividend	J	T	Buy	09/09/21	J		
49. -PGIM HIGH YIELD	A	Dividend	J	T	Buy	09/09/21	J		
50. -SCHWAB TREASURY INFLATION PROTECTED SECS INDEX	A	Dividend	J	T	Buy	09/09/21	J		

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51. -VANGUARD LONG TERM TREASURY ADMIRAL	A	Dividend	J	T	Buy	09/09/21	J		
52. -VANGUARD SHORT TERM FEDERAL ADMIRAL	A	Dividend	J	T	Buy	09/09/21	J		
53. -VIRTUS KAR SMALL CAP GROWTH	A	Dividend	J	T	Buy	09/09/21	J		
54. -VIRTUS CEREDEX SMALL CAP VALUE EQUITY	A	Dividend	J	T	Buy	09/09/21	J		
55. -COLUMBIA LTD DURATION CREDIT	A	Dividend	J	T	Buy	09/10/21	J		
56. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	A	Interest	K	T					
57.									

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GIBBONS, JULIA S.	8/2/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 2: FEDTRUST FEDERAL CREDIT UNION WAS FORMERLY FEDERAL BUILDING FEDERAL CREDIT UNION

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	8/2/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544