AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Floyd, Henry F.	U.S. Court of Appeals for the Fourth Circuit	09/01/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. Circuit Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	1/1/2023 **to** 12/31/2023
7. Chambers or Office Address		
201 Magnolia Street Spartanburg, SC 29306		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1992	S.C. Judges and Solicitors Retirement System, retirement fund payable upon retirement at age 55
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Judges & Solicitors Retirement System - retirement	$141,200.00
2.	2023	Wofford College, Teaching income	$5,000.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Floyd, Henry F.	09/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Southern Company common stock	B	Dividend	K	T					
2.	South State Bank accounts	A	Interest	M	T					
3.	New York Life - Variable Universal Life Policy (H)									
4.	-MainStay VP Capital Appreciation	B	Dividend	K	T					
5.	-MainStay VP High Yield Corp. Bond	B	Dividend	K	T					
6.	Truist Bank Accounts	A	Interest	M	T					
7.	First Citizens Bank accounts	A	Interest	M	T					
8.	AMERIPRISE BROKERAGE [redacted] (H)									
9.	-AMERIPRISE MONEY MARKET	A	Interest	J	T					
10.	AMERIPRISE IRA [redacted] (H)									
11.	INVENTRUST	A	Dividend			Sold	03/27/23	J	A	
12.	-AMERIPRISE MONEY MARKET	A	Interest	J	T					
13.	Ameriprise IRA Brokerage ADP [redacted] (H)									
14.	-MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z	A	Dividend	K	T	Buy (add'l)	11/14/23	J		
15.	-ALLSPRING EMERGING MARKETS	A	Dividend	J	T					
16.	-MULTI MANAGER GROWTH STRATEGIES f/k/a Multi Manager Growth Mutual Fu	A	Dividend	J	T	Sold (part)	11/14/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Floyd, Henry F.	09/01/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
17. - MULTI MANAGER VALUE STRATEGIES f/k/a Multi Manager Value Mut Fnd	A	Dividend	J	T	Sold (part)	08/14/23	J		
18. - MULTI MANAGER VALUE STRATEGIES f/k/a Multi Manager Value Mut Fnd			J	T	Buy (add'l)	11/14/23	J		
19. - MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL	A	Dividend	J	T	Sold (part)	11/14/23	J	A	
20. - BLACKROCK STRAT INCOME CL I	A	Dividend			Sold	05/16/23	J	A	
21. - MULTI MANAGER SMALL CAP EQUITY STRATEGIES CL Z	A	Dividend	J	T	Sold (part)	05/16/23	J		
22. - MULTI MANAGER SMALL CAP EQUITY STRATEGIES CL Z					Buy (add'l)	11/14/23	J		
23. - JOHN HANCOCK ENERGING MARKETS CL I	A	Dividend			Sold	05/16/23	J	A	
24. - MFS GROWTH CL I a/k/a MFSMidCap Growth	A	Dividend	J	T	Buy	05/16/23	J		
25. -iShares Global Min	A	Dividend	J	T	Buy	05/16/23	J		
26. -JP Morgan Mid Cap Growth	A	Dividend	J	T	Buy	05/16/23	J		
27. -Lord Abbott Bond Debenture	A	Dividend	J	T	Buy	05/16/23	J		
28. -Allspring Mid-cap Value	A	Dividend	J	T	Buy	05/16/23	J		
29. -MFS Value	A	Dividend	J	T	Buy	05/16/23	J		
30. -MFS Intrinsic Value	A	Dividend	J	T	Buy	12/15/23	J		
31. Ameriprise INHERITED IRA Brokerage ADP[redacted] (H)									
32. - MULTI MANAGER GROWTH STRATEGIES CL Z	A	Dividend	J	T	Sold (part)	11/14/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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33. -MULTI MANAGER INTL EQUITY STRAT (Y)									
34. - MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z	A	Dividend	K	T	Buy (add'l)	11/14/23	J		
35. - MULTI MANAGER VALUE STRATEGIES CL Z	A	Dividend	J	T	Buy (add'l)	11/14/23	J		
36. -ALLSPRING EMERGING MARKETS EQUITY INSTL CL	A	Dividend	J	T					
37. - MFS Growth CL I a/k/a MFS MidCap Growth	A	Dividend	J	T	Sold (part)	05/16/23	J		
38. -MULTI MANAGER SMALL CAP EQUITY STRATEGIES	A	Dividend	J	T	Buy	11/14/23	J		
39. -iShares Global Min	A	Dividend	J	T	Buy	05/16/23	J		
40. -Lord Abbott Bond Debenture	A	Dividend	J	T	Buy	05/16/23	J		
41. -JP Morgan Mid-Cap Growth	A	Dividend	J	T	Buy	05/16/23	J		
42. -Allspring Mid-cap Value	A	Dividend	J	T	Buy	05/16/23	J		
43. MFS Value (X)	A	Dividend	J	T					
44. Ameriprise SPS [redacted] (H)									
45. - AMERIPRISE MONEY MARKET	A	Interest	J	T	Buy (add'l)	12/20/23	J		
46. - JOHN HANCOCK INTL GROWTH CL I	A	Dividend			Buy	03/29/23	K		
47. - JOHN HANCOCK INTL GROWTH CL I					Sold	12/05/23	K	A	
48. - SECTOR FINANCIAL SELECT SECTOR SPDR ETF	B	Dividend			Sold	12/18/23	K	D	
49. - SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	A	Dividend	K	T	Buy (add'l)	12/18/23	K		

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50. - ISHARES RUSSELL 3000 ETF	C	Dividend	K	T					
51. - ISHARES TECHNOLOGY ETF	C	Dividend	K	T					
52. -LORD ABBETT BOND DEBENTURE CL	A	Dividend	J	T					
53. -ISHARES EXPANDED TECH SECTOR ETF	B	Dividend	K	T	Sold (part)	12/18/23	J	A	
54. -JOHN HANCOCK BOND CL I	B	Dividend	K	T					
55. -LORD ABBETT INFLATION FOCUSED CL F	A	Dividend			Sold	08/14/23	J	A	
56. -LORD ABBETT SHORT DURATION INCOME CL 1	A	Dividend	J	T					
57. -MFS MUN HIGH INCOME CL 1	A	Dividend	J	T					
58. GOLDMAN SACHS INTL.	A	Dividend	K	T	Buy	12/5/23	K		
59. iShares SemiConductor ETF	A	Dividend	K	T	Buy	12/18/23	K		
60. Columbia Overseas (X)	A	Dividend	J	T					
61. Midland National Executive Universal Life 2A (Y)									See Part VIII
62. South State Bank Investment Acct (H)									
63. -South State Investment Cash account	A	Interest	K	T					
64. -Dominion Energy Inc.	C	Dividend	L	T					
65. -DUK	A	Dividend	J	T					
66. -SSB	A	Dividend	K	T					

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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
67. -TFC	C	Dividend	L	T					
68. -MITTX	A	Dividend	L	T					
69. -MFSCX	A	Dividend	J	T					
70. HD	A	Dividend	K	T					
71. DIS	A	Dividend	J	T					
72. MSFT	A	Dividend	K	T					
73. NSC	A	Dividend	K	T					
74. PG	A	Dividend	K	T					
75. CVS	A	Dividend	J	T	Buy	03/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 61 --- The Midland National Life Insurance policy was lapsed. No cash value remained.

Name of Person Reporting	Date of Report
Floyd, Henry F.	09/01/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Henry F. Floyd

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544