AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Fernandez, Ferdinand F.	Ninth Circuit Court of Appeals	04/11/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Judge, Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
125 S. Grand Avenue, Suite 602 Pasadena, CA 91105		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Fernandez, Ferdinand F.	04/11/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Accts. at Wells Fargo Bank	A	Interest	M	T					
2.	Accts. at JP Morgan Chase	C	Interest	M	T					
3.	Accts. at Bank of America	B	Interest	M	T					
4.	Accts. at California Bank and Trust	C	Interest	M	T					
5.	Accts. at Pacific Western Bank	C	Interest	M	T					
6.	Accts. at U.S. Bank	D	Interest	M	T					
7.	Accts. at Community Commerce Bank	C	Interest	M	T					
8.	Accts at Bank of the West (now BMO Harris)	C	Interest	M	T					
9.	Accts. at Citizens Business Bank	A	Interest	M	T					
10.	Accts. at Pacific Premier Bank	C	Interest	M	T					
11.	Accts. at Bank Hapoalim	A	Interest	M	T	Sold	10/31/23	M	C	
12.	Acct. at East West Bank	A	Interest	M	T					
13.	Accts. at Texas Capital Bank	A	Interest			Closed	04/17/23	L		
14.	Acct. at BMO Harris Bank	A	Interest			Closed	05/01/23	M		
15.	Acct. at Bank of China	A	Interest	M	T	Open	04/27/23	M		
16.	Accts. at Valley National Bank	C	Interest			Open	05/08/23	M		
17.	Same as #16					Closed	11/13/23	M	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Fernandez, Ferdinand F.	04/11/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Accts. at Fifth-Third Bank	C	Interest	M	T					
19. First Nat. Bank of Omaha	C	Interest	M	T					
20. Fidelity MMKT Cap. Reserves CL	A	Dividend	J	T					
21. Am. Fund Cap Income Builder; Cl A	D	Dividend	L	T					
22. Am. Fund New Economy Cl A	C	Dividend	K	T					
23. Income Fund of America Cl A	D	Dividend	M	T					
24. Am. Cap. Fund Cl A	D	Dividend	M	T					
25. Am. Fund Balanced Fund Cl A	E	Dividend	M	T					
26. Am Fund Growth Fund Cl A	E	Dividend	M	T					
27. Am Fund, Inv. Co. of America Cl A	D	Dividend	K	T					
28. Am. Wash. Mut. Inv. Fund Cl A	E	Dividend	M	T					
29. Invesco Am. Franchise Fund Cl A	E	Dividend	M	T					
30. Invesco Real Estate Fund Cl A	C	Dividend	L	T					
31. Invesco Comstock Fund Cl A	D	Dividend	K	T					
32. Select Notes Trust Sec. LT #5	A	Interest	J	T					
33. GNMA Pool Cert.	A	Interest	J	T					
34. Trust #1; Income Beneficiary, Wells Fargo, Trustee (Items 35-62)									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
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3. Value Method Codes (See Column C2)
Q =Appraisal
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S =Assessment
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35. (a) Wells Fargo Cash	A	Interest	J	T					
36. (b) I Shares Russell 2000 ETF	A	Dividend	J	T	Sold	05/16/23	J	B	
37. (c) I Shares Core S&P Total US Stock Mkt. ETF	A	Dividend	K	T					
38. (d-i) Vanguard Midcap Viper	A	Dividend	K	T					
39. (d-ii) Same as (d-i)					Sold (part)	01/26/23	J	A	
40. (d-iii) Same as (d-i)					Sold (part)	05/15/23	J	B	
41. (d-iv) Same as (d-i)					Sold (part)	08/14/20	J	A	
42. (e) I Share Core U.S. Aggregate	B	Dividend	L	T				A	
43. (f-i) PGIM High Yield DQ #1067	A	Dividend	J	T	Buy (add'l)	08/14/23	J		
44. (f-ii) Same as (f-i)					Sold (part)	06/27/23	J	A	
45. (g) Vanguard Dividend Appreciation	A	Dividend	J	T					
46. (h-i) Invesco Optimum Yield Diversified	A	Dividend	J	T	Sold (part)	06/24/23	J	A	
47. (h-ii) Same as (h-i)					Buy (add'l)	08/14/23	J		
48. (i) Goldman Active Beta U.S. Large Cap Equity	A	Dividend	K	T					
49. (j) I Shares Core Total USD Bond Mkt EDF	A	Dividend	K	T					
50. (k) i Shares JP Morgan USD Emerging	A	Dividend	J	T	Sold (part)	01/26/23	J	A	
51. (l) Goldman Sachs Active Beta International Equity ETF	A	Dividend	J	T					

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52. (m-i) Goldman Active Beta Int ETF	A	Dividend	J	T					
53. (m-ii) Same as (m-i)					Sold (part)	01/26/23	J	A	
54. (m-iii) Same as (m-i)					Sold	05/15/23	J	A	
55. (n-i) i Shares Broad USD High Yield	A	Dividend	J	T	Buy (add'l)	06/28/23	J		
56. (n-ii) Same as (n-i)					Sold	08/14/23	J	A	
57. (o) i Shares Core MSCI EAFE ETF	A	Dividend	J	T	Buy (add'l)	08/14/23	J		
58. (p) Pimco Enhanced Short Maturity Exchange Traded Fund	A	Dividend	J	T	Buy	05/15/23			
59. (q-i) Vanguard Long Term Bond ETF	A	Dividend	J	T	Buy	01/26/23	J		
60. (q-ii) Same as (q-i)					Buy	05/15/23	J		
61. (q-iii) Same as (q-i)					Buy	08/14/23	J		
62. (r) First Trust Global Tactical Commodity Strategy Fund	A	Dividend	J	T	Buy	08/14/23	J		

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	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Fernandez, Ferdinand F.	04/11/2025

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Fernandez, Ferdinand F.	04/11/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ferdinand F. Fernandez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544