AO 10
Rev. 1/2022

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Edwards, Harry T. | USCA for D.C. Circuit | 04/26/2022 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Senior Circuit Judge | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2021 **to** 12/31/2021 |
| **7. Chambers or Office Address** | | |
| 5500 U.S. Courthouse 333 Constitution Ave., NW Washington, D.C. 20001 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Member | Member American Law Institute |
| 2. | Member | American Academy of Arts & Sciences |
| 3. | Member | American Bar Association |
| 4. | Board of Directors | Institute of Judicial Administration, NYU Law School |
| 5. | Fellow | American Bar Foundation |
| 6. | Professor of Law | NYU Law School |
| 7. | Member | Supreme Court Historical Society |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☐ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | 2021 | NYU School of Law, Professor of Law |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2021 | NYU Law School, Professor of Law | $235,818.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Edwards, Harry T.** | 04/26/2022 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Citibank Accounts | A | Interest | J | T | | | | | |
| 2. | TD Bank | | None | J | T | | | | | |
| 3. | Justice Federal Credit Union | | None | J | T | | | | | |
| 4. | Wells Fargo IRA money market | | None | J | T | | | | | |
| 5. | Bank of America | | None | J | T | | | | | |
| 6. | Vanguard total stock market Admiral index | A | Dividend | L | T | Buy (add'l) | 01/15/21 | J | | |
| 7. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 02/16/21 | J | | |
| 8. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 03/16/21 | J | | |
| 9. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 03/24/21 | J | | |
| 10. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 04/16/21 | J | | |
| 11. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 05/14/21 | J | | |
| 12. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 06/16/21 | J | | |
| 13. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 06/23/21 | J | | |
| 14. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 07/16/21 | J | | |
| 15. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 08/16/21 | J | | |
| 16. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 09/16/21 | J | | |
| 17. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 09/23/21 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

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☐ NONE *(No reportable income, assets, or transactions.)*

| | A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 18. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 10/15/21 | J | | |
| 19. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 11/16/21 | J | | |
| 20. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 12/16/21 | J | | |
| 21. | Vanguard total stock market Admiral index | | | | | Buy (add'l) | 12/23/21 | J | | |
| 22. | Vanguard Csh Rsrv (Federal Money Market) | A | Dividend | L | T | Buy (add'l) | 01/15/21 | J | | |
| 23. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 02/16/21 | J | | |
| 24. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 03/16/21 | J | | |
| 25. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 03/16/21 | J | | |
| 26. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 05/14/21 | J | | |
| 27. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 06/16/21 | J | | |
| 28. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 07/19/21 | J | | |
| 29. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 08/17/21 | J | | |
| 30. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 09/16/21 | J | | |
| 31. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 10/18/21 | J | | |
| 32. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Sold (part) | 11/03/21 | J | | |
| 33. | Vanguard Csh Rsrv (Federal Money Market) Market) | | | | | Buy (add'l) | 11/15/21 | J | | |
| 34. | Vanguard Csh Rsrv (Federal Money Market) | | | | | Buy (add'l) | 12/16/21 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
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| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 35. Vanguard Federal Money Market (settlement Fund) | | | J | T | Open | 03/01/21 | J | | |
| 36. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 04/01/21 | J | | |
| 37. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 05/03/21 | J | | |
| 38. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 06/01/21 | J | | |
| 39. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 07/01/21 | J | | |
| 40. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 08/02/21 | J | | |
| 41. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 09/01/21 | J | | |
| 42. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 10/01/21 | J | | |
| 43. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 11/01/21 | J | | |
| 44. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 12/01/21 | J | | |
| 45. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 12/16/21 | J | | |
| 46. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 12/20/21 | J | | |
| 47. Vanguard Federal Money Market (settlement Fund) | | | | | Buy (add'l) | 12/23/21 | J | | |
| 48. Vanguard Wellesley Fund | C | Dividend | M | T | Buy (add'l) | 01/15/21 | J | | |
| 49. Vanguard Wellesley Fund | | | | | Buy (add'l) | 02/16/21 | J | | |
| 50. Vanguard Wellesley Fund | | | | | Buy (add'l) | 03/16/21 | J | | |
| 51. Vanguard Wellesley Fund | | | | | Buy (add'l) | 03/24/21 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
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| A | B | | C | | D | | | | |
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| 52. Vanguard Wellesley Fund | | | | | Buy (add'l) | 04/16/21 | J | | |
| 53. Vanguard Wellesley Fund | | | | | Buy (add'l) | 05/17/21 | J | | |
| 54. Vanguard Wellesley Fund | | | | | Buy (add'l) | 06/17/21 | J | | |
| 55. Vanguard Wellesley Fund | | | | | Buy (add'l) | 06/18/21 | J | | |
| 56. Vanguard Wellesley Fund | | | | | Buy (add'l) | 07/19/21 | J | | |
| 57. Vanguard Wellesley Fund | | | | | Buy (add'l) | 08/16/21 | J | | |
| 58. Vanguard Wellesley Fund | | | | | Buy (add'l) | 09/16/21 | J | | |
| 59. Vanguard Wellesley Fund | | | | | Buy (add'l) | 09/17/21 | J | | |
| 60. Vanguard Wellesley Fund | | | | | Buy (add'l) | 10/18/21 | J | | |
| 61. Vanguard Wellesley Fund | | | | | Buy (add'l) | 11/16/21 | J | | |
| 62. Vanguard Wellesley Fund | | | | | Buy (add'l) | 12/16/21 | J | | |
| 63. Vanguard Wellesley Fund | | | | | Buy (add'l) | 12/20/21 | J | | |
| 64. Vanugard US Growth Inv | A | Dividend | J | T | Buy | 09/08/21 | J | | |
| 65. Vanugard US Growth Inv | | | | | Buy (add'l) | 09/16/21 | J | | |
| 66. Vanugard US Growth Inv | | | | | Buy (add'l) | 10/18/21 | J | | |
| 67. Vanugard US Growth Inv | | | | | Buy (add'l) | 11/16/21 | J | | |
| 68. Vanugard US Growth Inv | | | | | Buy (add'l) | 12/15/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| Name of Person Reporting | Date of Report |
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| **Edwards, Harry T.** | 04/26/2022 |

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| A | B | | C | | D | | | | |
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| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 69. Vanugard US Growth Inv | | | | | Buy (add'l) | 12/16/21 | J | | |
| 70. Vanguard Total Bond Mkt | A | Dividend | J | T | Buy | 02/25/21 | J | | |
| 71. Vanguard Total Bond MktVanguard Total Bond MktVanguard Total Bond Mkt | | | | | Buy (add'l) | 03/17/21 | J | | |
| 72. Vanguard Total Bond Mkt | | | | | Buy (add'l) | | J | | |
| 73. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 04/19/21 | J | | |
| 74. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 05/17/21 | J | | |
| 75. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 06/17/21 | J | | |
| 76. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 07/19/21 | J | | |
| 77. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 08/17/21 | J | | |
| 78. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 09/16/21 | J | | |
| 79. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 10/18/21 | J | | |
| 80. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 11/16/21 | J | | |
| 81. Vanguard Total Bond Mkt | | | | | Buy (add'l) | 12/16/21 | J | | |
| 82. Vanguard Total Bond Mkt | | | | | Sold (part) | 12/20/21 | J | | |
| 83. Vanguard Life Strategy Growth | A | Dividend | J | T | Buy (add'l) | 01/19/21 | J | | |
| 84. Vanguard Life Strategy Growth | | | | | Buy (add'l) | 02/17/21 | J | | |
| 85. Vanguard Life Strategy Growth | | | | | Sold (part) | 09/08/21 | J | | |

| | | | | | |
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| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 86. Vanguard Life Strategy Growth | | | | | Sold (part) | 12/20/21 | J | | |
| 87. Vanguard Life Strategy Growth | | | | | Buy (add'l) | 12/29/21 | J | | |
| 88. VNGRD INTL COR STK INVS | A | Dividend | J | T | Sold (part) | 02/26/21 | J | | |
| 89. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 03/17/21 | J | | |
| 90. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 04/19/21 | J | | |
| 91. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 05/17/21 | J | | |
| 92. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 06/17/21 | J | | |
| 93. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 07/19/21 | J | | |
| 94. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 08/17/21 | J | | |
| 95. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 09/17/21 | J | | |
| 96. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 10/18/21 | J | | |
| 97. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 11/17/21 | J | | |
| 98. VNGRD INTL COR STK INVS | | | | | Buy (add'l) | 12/17/21 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Edwards, Harry T.** | 04/26/2022 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The valuation that I marked for Wells Fargo Money Market in 2019 was a mistake. That amount was and still is under $15,000.00

| Name of Person Reporting | Date of Report |
|---|---|
| **Edwards, Harry T.** | 04/26/2022 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Harry T. Edwards**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544