AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Ebel, David M.	Tenth Circuit Court of Appeals	09/05/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

Byron White U.S. Courthouse
1823 Stout Street
Room 109L
Denver, CO 80257

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Public Employees Retirement Association (PERA) -- retirement
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Ebel, David M.	09/05/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Northwestern Mutual Modified Whole Life Policies	E	Interest	M	T					
2. Mass. Mutual Whole Life Policy	C	Interest	K	T					
3. Thrivant Lutheran Brotherhood Whole Life Policy	B	Interest	K	T					
4. Open Intelligence Software Group, Inc. Class A Common Stock	B	Dividend	J	U					
5. IRA #1 (H)									
6. - Janus Henderson Money Market Fund D Shares	A	Interest	L	T	Buy (add'l)	10/11/21	L		
7.					Sold (part)	11/11/21	K		
8. - Janus Henderson Global Tech & Innovation D Shares	A	Dividend	L	T	Sold (part)	10/11/21	K	E	
9. - Janus Henderson Forty Fund D Shares	D	Dividend	L	T	Sold (part)	10/11/21	K	D	
10. - Janus Henderson Enerprise Fund D Shares	A	Dividend	J	T					
11. - Janus Henderson Global Real Estate Fund D Shares	A	Dividend	J	T	Sold (part)	10/11/21	J	A	
12. Brokerage (H)									
13. - Fidelity Core Cash Management Account	A	Interest	K	T					
14. - Enphase Energy Inc Com		None			Buy (add'l)	02/24/21	J		
15.					Sold	03/22/21	K	B	
16. - Verizon Communications	A	Dividend			Sold	01/15/21	J		
17. - Novavax Inc		None			Sold	02/05/21	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
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18. - American Lithium Corp		None			Sold	03/10/21	J	C	
19.					Buy	07/01/21	J		
20.					Sold (part)	07/08/21	J	B	
21.					Sold	07/15/21	J	A	
22. - Apple Inc	A	Dividend	K	T	Buy (add'l)	06/09/21	J		
23.					Sold (part)	06/23/21	J	C	
24.					Sold (part)	10/05/21	K	D	
25. - Select Sector SPDR Trust Technology	A	Dividend	K	T	Sold (part)	05/11/21	K	E	
26.					Sold (part)	09/14/21	K	D	
27. - Johnson & Johnson Com	A	Dividend			Sold	03/08/21	K	A	
28. - Ark ETF Tr Next Gnrtn Inter		None			Sold (part)	03/10/21	K	D	
29.					Buy (add'l)	07/28/21	J		
30.					Buy (add'l)	09/08/21	J		
31.					Sold (part)	09/14/21	K	E	
32.					Sold	09/29/21	J		
33.					Buy	11/02/21	J		
34.					Sold	11/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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35. - Teradyne Inc	A	Dividend			Sold (part)	03/08/21	K	D	
36.					Sold	07/07/21	J	C	
37. - Ark ETF Tr Genomic Rev ETF		None			Sold (part)	07/28/21	J	D	
38.					Sold	08/12/21	J		
39.					Buy	12/01/21	K		
40.					Sold	12/07/21	J		
41. - Ishares Tr Genomics Immun (See Note 1)									
42. - Ark ETF Tr Autnmus Technology		None			Sold (part)	09/14/21	J	C	
43.					Sold	10/08/21	J	B	
44.					Buy	11/02/21	J		
45.					Sold	12/07/21	J		
46. - Lithium Americas Corp		None	J	T	Sold	07/21/21	J	D	
47.					Buy	08/30/21	J		
48.					Sold	09/07/21	J	A	
49.					Buy	09/14/21	J		
50.					Sold (part)	10/01/21	J		
51.					Buy (add'l)	10/15/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52.					Sold (part)	12/03/21	J	A	
53. - Ark ETF Tr FinTech Innovation		None			Sold (part)	03/10/21	J	C	
54.					Sold	09/29/21	J	C	
55.					Buy	11/02/21	J		
56.					Sold	11/24/21	J		
57. - Fosterville South Exploration Ltd Com (See Note 2)									
58. - Ark ETF Tr Innovation ETF		None			Sold	03/05/21	J	C	
59.					Buy	06/22/21	J		
60.					Sold	07/19/21	J		
61.					Buy	12/21/21	K		
62.					Sold	12/30/21	K	A	
63. - Quanta Services Com	A	Dividend			Sold	12/07/21	K	C	
64. - ETF Ser Solutions Defiance Next	A	Dividend	K	T					
65. - SPDR Ser TR S&P Transn ETF	A	Dividend			Sold	08/02/21	K	D	
66. - Vanguard Mega Cap Index Fund	A	Dividend	K	T					
67. - Fidelity MSCI Consumer Discretionary Index ETF	A	Dividend	K	T					
68. - Biogen Inc Com		None			Sold	01/07/21	J	A	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. - Target Corp	A	Dividend			Sold	11/19/21	K	D	
70. - United Lithium Corp		None			Sold	01/11/21	J	B	
71. - Grayscale Bitcoin Tr BTC Shs		None			Buy (add'l)	01/08/21	J		
72.					Buy (add'l)	01/13/21	J		
73.					Sold	01/22/21	J		
74. - Zoom Video Communications Inc		None			Buy	01/20/21	J		
75.					Sold	01/27/21	J		
76. - Netflix Inc		None			Buy	01/21/21	J		
77.					Sold	02/16/21	K	B	
78. - Marvell Tech Group Com		None			Buy	01/25/21	J		
79.					Sold	01/26/21	J		
80. - KB Home Corp	A	Dividend			Buy	01/28/21	J		
81.					Sold	03/29/21	J	A	
82. - Lennar Corp Com	A	Dividend			Buy	01/28/21	J		
83.					Buy (add'l)	01/29/21	J		
84.					Sold	09/13/21	J	A	
85. - Rare Element Resources Ltd Com		None			Buy	01/29/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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86.					Buy (add'l)	03/10/21	J		
87.					Sold	04/06/21	J	A	
88. - OSI ETF Tr OSHS Glb Inter		None			Buy	02/03/21	J		
89.					Sold	03/29/21	J		
90. - Astrazeneca ADR Rep	A	Dividend			Buy	02/16/21	J		
91.					Sold	03/04/21	J		
92. - Curevac NV Com Euro.12		None			Buy	03/03/21	J		
93.					Sold	03/05/21	J		
94. - Agilent Technologies Inc		None			Buy	03/05/21	J		
95.					Sold	03/08/21	J		
96. - Deckers Outdoor Corp		None			Buy	03/10/21	K		
97.					Sold	06/08/21	K	A	
98. - Ishares Tr Focused Value Fac	A	Dividend			Buy	03/10/21	K		
99.					Sold	04/09/21	K		
100. - Medallion Resource Ltd Com		None			Buy	03/12/21	J		
101.					Sold	05/06/21	J		
102. - Texas Resource Res Corp		None			Buy	03/12/21	J		

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103.					Sold	05/10/21	J		
104. - Vanguard Index Funds S&P 500 ETF	A	Dividend	K	T	Buy	03/12/21	K		
105. - Atlas Air Worldwide Holdings Inc		None			Buy	03/15/21	J		
106.					Sold	04/06/21	J		
107. - Century Communities Inc	A	Dividend			Buy	03/22/21	K		
108.					Sold (part)	07/07/21	J	A	
109.					Sold (part)	07/28/21	J		
110.					Sold	09/09/21	J	A	
111. - Morgan Stanley Com	A	Dividend	J	T	Buy	03/25/21	J		
112.					Buy (add'l)	03/31/21	J		
113.					Sold (part)	10/04/21	K	C	
114. - Owens & Minor Inc		None			Buy	03/31/21	J		
115.					Sold	04/29/21	J	A	
116. - Ark ETF Tr ARK Space Expl		None			Buy	04/01/21	J		
117.					Sold	05/06/21	J		
118. - Alphabet Inc		None	J	T	Buy	04/06/21	J		
119. - Haverty Furniture Cos Inc	A	Dividend			Buy	04/09/21	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Sold	06/17/21	J	B	
121. - Mosaic Co	A	Dividend			Buy	04/09/21	J		
122.					Sold	06/18/21	J	A	
123. - Quanta Services Com	A	Dividend			Buy	04/26/21	J		
124.					Buy (add'l)	05/06/21	J		
125.					Buy (add'l)	07/21/21	J		
126.					Sold (part)	10/08/21	K	E	
127.					Sold	12/07/21	K	C	
128. - Piedmont Lithium Ltd Spon ADS		None			Buy	05/11/21	J		
129.					Sold	05/14/21	J		
130. - Novavax Inc		None	K	T	Buy	05/13/21	J		
131.					Buy (add'l)	07/22/21	J		
132.					Buy (add'l)	08/09/21	J		
133.					Buy (add'l)	08/10/21	K		
134.					Sold (part)	10/05/21	K		
135.					Sold (part)	10/22/21	J		
136.					Buy (add'l)	11/03/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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137.					Buy (add'l)	12/20/21	J		
138.					Sold (part)	12/29/21	J		
139. - Industrial Select Sector SPDR Fund	A	Dividend			Buy	06/04/21	J		
140.					Sold	07/07/21	J		
141. - Fidelity MSCI Health Care Index	A	Dividend	J	T	Buy	06/18/21	J		
142.					Sold (part)	10/15/21	J		
143. - Invesco Exchange Traded Fd Tr S&P500 Eql HLT	A	Dividend	K	T	Buy	06/18/21	J		
144. - Invesco Exchange Traded Fd Tr S&P500 Eql Wgt	A	Dividend	K	T	Buy	12/21/21	K		
145. - Perkinelmer Inc	A	Dividend	K	T	Buy	06/18/21	K		
146. - Lennar Corp Com	A	Dividend			Buy	06/23/21	J		
147.					Sold	09/13/21	J	A	
148. - Gilat Satellite Networks Ordilso		None	J	T	Buy	07/02/21	J		
149.					Buy (add'l)	07/22/21	J		
150.					Buy (add'l)	08/12/21	J		
151.					Buy (add'l)	12/27/21	J		
152. - Ford Motor Co		None	J	T	Buy	07/15/21	J		
153.					Sold	09/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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154.					Buy	12/15/21	J		
155. - General Mtrs Co		None			Buy	07/15/21	J		
156.					Sold	08/19/21	J		
157.					Buy	10/26/21	K		
158.					Sold	10/27/21	K		
159. - Global X Fds US Pfd	A	Dividend			Buy	07/15/21	J		
160.					Sold	11/10/21	J		
161. - Marketwise Inc Com		None			Buy	07/26/21	J		
162.					Sold	08/17/21	J	A	
163. - Global X Fds Cybrscurty ETF		None			Buy	07/28/21	J		
164.					Sold (part)	10/01/21	J	A	
165.					Sold	12/07/21	J		
166. - Shopify Inc Com		None			Buy	08/02/21	J		
167.					Sold	08/03/21	J		
168. - Morgan Stanley STA Inst Inc Inception Port		None			Buy	08/11/21	J		
169.					Buy (add'l)	09/07/21	J		
170.					Sold (part)	09/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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171.					Sold	11/22/21	J		
172. - Royal Dutch Shell ADR		None			Buy	08/17/21	J		
173.					Sold	08/30/21	J		
174.					Buy	10/22/21	K		
175.					Sold	11/01/21	K		
176. - Pfizer Inc		None			Buy	08/19/21	J		
177.					Sold (part)	08/31/21	J		
178.					Sold	09/14/21	J		
179. - Aviva Spon ADR	A	Dividend			Buy	08/25/21	J		
180.					Sold (part)	10/04/21	J		
181.					Sold	10/15/21	J		
182. - Global X Lithium and Battery Tech ETF		None			Buy	09/01/21	J		
183.					Buy (add'l)	09/03/21	J		
184.					Sold (part)	10/05/21	J		
185.					Sold	10/27/21	J		
186. - Ishares Inc Glb Agric Pr ETF		None			Buy	09/14/21	J		
187.					Sold	11/23/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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188. - Vanguard Scottsdale Fds Russ1000 Value Idx		None			Buy	09/14/21	J		
189.					Sold	09/22/21	J		
190. - Ishares Trust United States Treasury	A	Dividend			Buy	10/01/21	J		
191.					Sold (part)	10/04/21	J		
192.					Sold	11/24/21	J	A	
193. - Magnolia Oil & Gas Corp		None			Buy	10/01/21	J		
194.					Buy (add'l)	10/05/21	J		
195.					Sold	11/01/21	J	B	
196. - Moderna Inc		None			Buy	10/04/21	J		
197.					Sold	10/06/21	J		
198. - Invesco DB Multi-Sector Commod Agriculture Fd		None			Buy	10/05/21	J		
199.					Sold (part)	10/15/21	J		
200.					Sold	11/10/21	J		
201. - Merck & Co Inc		None			Buy	10/05/21	J		
202.					Sold	10/13/21	J		
203.					Buy	11/08/21	J		
204.					Sold	11/09/21	J		

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205. - Stem Inc Com		None	J	T	Buy	02/24/21	J		
206.					Buy (add'l)	10/05/21	J		
207.					Sold	10/25/21	J		
208.					Buy	10/29/21	K		
209. - Vanguard World Fd ESG US Stk ETF		None			Buy	10/05/21	K		
210.					Sold (part)	10/15/21	J		
211.					Sold	12/07/21	J	A	
212. - Canadian Natural Resources Ltd		None			Buy	10/08/21	J		
213.					Sold	12/15/21	J	A	
214. - General Dynamics Com		None			Buy	10/12/21	K		
215.					Sold	11/23/21	K		
216. - Continental Res Inc Com		None			Buy	10/13/21	J		
217.					Sold	10/15/21	J		
218. - Meritor Inc		None			Buy	10/22/21	K		
219.					Sold	11/02/21	J		
220. - Fidelity Value Factor ETF	A	Dividend	K	T	Buy	10/25/21	K		
221. - Select Sector SPDR Tr Financial		None			Buy	10/25/21	K		

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222.					Sold	11/02/21	K	A	
223. - Square Inc		None			Buy	10/27/21	K		
224.					Sold	12/07/21	J		
225. - Fubotv Inc		None			Buy	10/28/21	J		
226.					Buy (add'l)	11/03/21	J		
227.					Sold	12/31/21	J		
228. - OpenDoor Technologies Inc		None			Buy	10/28/21	J		
229.					Buy (add'l)	11/03/21	J		
230.					Sold	12/07/21	J		
231. - Reinvent Technology Partners Y Com		None			Buy	11/02/21	J		
232.					Merged (with line 245)	11/04/21	J		
233. - Invesco Exch Traded Fd Trt II NASDAQNXT Gen 100	A	Dividend			Buy	11/03/21	K		
234.					Sold	12/31/21	K		
235. - ESS Tech Inc		None	J	T	Buy	11/04/21	J		
236. - Luminar Technologies Inc Com		None			Buy	11/04/21	J		
237.					Sold	12/07/21	J		
238. - Vanguard Consumer Discretionary ETF		None			Buy	11/05/21	K		

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239.					Sold	12/15/21	K		
240. - Oramed Pharmaceuticals Inc Com		None	J	T	Buy	11/09/21	J		
241. - Fluence Energy Inc		None	J	T	Buy	11/10/21	J		
242. - First Tr Exchange-Traded Fd NAS Clnedg Green		None	J	T	Buy	11/16/21	J		
243. - Actiniuim Pharmaceuticals Inc		None			Buy	11/17/21	J		
244.					Sold	12/01/21	J		
245. - Aurora Innovation Inc		None	J	T	Buy	11/24/21	J		
246. - Abbvie Inc		None	K	T	Buy	12/01/21	K		
247. - Ishares Tr Semiconductor	A	Dividend	K	T	Buy	12/01/21	K		
248. - Freeport McMoran Inc		None	J	T	Buy	12/03/21	J		
249.					Buy (add'l)	12/28/21	J		
250. - Glencore ADR Unsponsored ADR		None			Buy	12/03/21	J		
251.					Sold	12/07/21	J		
252. - Ilika PLC		None	J	T	Buy	12/03/21	J		
253.					Buy (add'l)	12/10/21	J		
254.					Buy (add'l)	12/16/21	J		
255. - Panasonic ADR		None			Buy	12/03/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
256.					Sold	12/07/21	J		
257. - Glazosmithkline ADV		None	J	T	Buy	12/09/21	J		
258. - Roblox Corp		None			Buy	12/09/21	J		
259.					Sold	12/15/21	J		
260. - AT&T Inc		None	K	T	Buy	12/10/21	K		
261.					Buy (add'l)	12/27/21	J		
262. - Solid Power Inc		None	J	T	Buy	12/13/21	J		
263. - Lucid Group Inc		None			Buy	12/16/21	J		
264.					Sold	12/23/21	J		
265. - Homestreet Inc		None	J	T	Buy	12/28/21	J		
266. IRA #2 (H)									
267. - FDIC Insured Deposit at US Bank	A	Interest	L	T					
268. - Amazon.Com Inc		None			Sold (part)	04/23/21	K	D	
269.					Sold	12/30/21	J	A	
270. - ALPS ETF Tr Clean Energy	A	Dividend	K	T	Sold (part)	05/11/21	L	E	
271.					Sold (part)	08/02/21	J	C	
272. - Nvidia Corp	A	Dividend	L	T	Buy (add'l)	07/22/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273.					Sold (part)	11/18/21	L	E	
274. - Microsoft Corp	A	Dividend	M	T	Sold (part)	05/12/21	K	D	
275.					Buy (add'l)	12/28/21	K		
276. - SPDR S&P 500 ETF Trust	A	Dividend			Sold	01/28/21	L	E	
277. - Fidelity MSCI Information Technology Index ETF	A	Dividend	L	T					
278. - Morgan Stanley Discovery Portfolio Cl A	D	Dividend	K	T	Sold (part)	11/24/21	L	D	
279. - Morgan Stanley Insight A+		None			Sold (part)	11/26/21	L	D	
280.					Sold	12/07/21	K	D	
281. - FDIC Insured Deposit at Huntington Bank	A	Interest	J	T					
282. - SalesForce.Com Inc		None			Sold	04/23/21	K	A	
283. - Qurate Retail Inc		None			Buy	02/02/21	K		
284.					Sold	04/07/21	K		
285. - OSI ETF Tr OSHS Gbl Inter		None			Buy	02/02/21	K		
286.					Sold	05/11/21	K		
287. - Evercore Inc	A	Dividend			Buy	03/22/21	K		
288.					Sold	12/30/21	K		
289. - Nushares ETF Tr Nushares ESG SMLCP		None			Buy	04/21/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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290.					Sold	10/15/21	K		
291. - Texas Instruments Inc	A	Dividend	K	T	Buy	05/06/21	K		
292. - Gilat Satellite Networks ORS ILSO.01		None			Buy	06/30/21	J		
293.					Sold	08/19/21	J		
294. - Invesco Exchange Traded Fd S&P500 Eql Rel	A	Dividend			Buy	09/08/21	K		
295.					Sold (part)	10/12/21	K		
296.					Sold	10/15/21	K		
297. - Nushares ETF Tr Nuveen Short Term	A	Dividend			Buy	09/08/21	K		
298.					Sold	10/01/21	K		
299. - Skyline Champion Corp		None	K	T	Buy	09/08/21	K		
300.					Buy (add'l)	12/30/21	K		
301. - Apple Inc	A	Dividend	L	T	Buy	10/21/21	K		
302.					Buy (add'l)	12/22/21	K		
303. - Arcbest Corp Com	A	Dividend	L	T	Buy	10/22/21	K		
304.					Buy (add'l)	11/18/21	K		
305.					Buy (add'l)	12/09/21	K		
306. - Bank of Montreal Com	A	Dividend			Buy	10/22/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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307.					Sold	12/30/21	K		
308. - Pfizer Inc		None	K	T	Buy	11/18/21	K		
309. - Fidelity Dividend ETF for Rising Rates	A	Dividend	K	T	Buy	12/09/21	K		
310. - Vanguard Financials ETF		None	K	T	Buy	12/20/21	K		
311. IRA #3 (H)									
312. - Dodge & Cox Stock Fd.	D	Dividend	M	T	Sold (part)	11/11/21	J	C	
313. - Dodge & Cox Balanced Fd.	B	Dividend	J	T	Sold (part)	11/11/21	J	B	
314. - Dodge & Cox Income Fd.	A	Dividend	J	T	Sold (part)	11/11/21	J		
315. - Dodge & Cox Global Stock Fund	A	Dividend	J	T					
316. IRA #4 (H)									
317. - Fidelity MSCI Consumer Discretionary Index ETF (See Note 3)	A	Dividend	K	T					
318. Brokerage #2 (H)									
319. - Fidelity MSCI Information	A	Dividend	J	T					
320. - Fidelity NASDAQ Composite Index	A	Dividend	J	T					
321. - Fidelity Core Cash Management Account	A	Interest	J	T					
322. - ALPS ETF Tr Clean Energy	A	Dividend	J	T					
323. - Apple Inc Com	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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324. - Costco Wholesale Corp	A	Dividend	J	T					
325. - Ishares Tr MSCI USA Mmentm	A	Dividend	J	T					
326. - Nvidia Corp	A	Dividend	J	T					
327. - Nike Inc (X)	A	Dividend	J	T					
328. - Ark ETF Tr Next Gnrtn Innov (X)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Line 41 - Ishares Tr Genomics Immun was reported on my 2020 financial disclosure report, line 140, as "Sold (part)" but the sale should have been reported as "Sold". This was an inadvertant error and did not raise any conflict of interest issues.

2) Line 57 - Fosterville South Exploration Ltd Com was sold on August 27, 2020 and the sale was not reported in error. The value code for the sale is "J" and there was no gain on the sale. This was an inadvertant error and did not raise any conflict of interest issues.

3) Line 317 - Fidelity MSCI Consumer Discretionary Index ETF was reported on line 180 of my 2020 financial disclosure report

This report was prepared on my behalf by my accountant.

Name of Person Reporting	Date of Report
Ebel, David M.	09/05/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David M. Ebel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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